

1 UNIVERSIDADE ESTADUAL DO NORTE FLUMINENSE DARCY RIBEIRO - UENF

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9 JOAQUIM BARBOSA LEITE JUNIOR

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15 EFEITO DA ADMINISTRAÇÃO DE MORFINA E MK-801 NA EXPRESSÃO DE UMA
16 RESPOSTA LOCOMOTORA CONDICIONADA E SENSIBILIZADA E NA ATIVAÇÃO DA
17 PROTEÍNA ERK 1/2 EM ESTRUTURAS ENCEFÁLICAS RELACIONADAS À
18 DEPENDÊNCIA QUÍMICA

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29 CAMPOS DOS GOYTACAZES - RJ

30 2023

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17 Tese apresentada ao Centro de Ciências e Tecnologias
18 Agropecuárias da Universidade Estadual do Norte
19 Fluminense Darcy Ribeiro, como parte das exigências do
20 Doutorado no programa de Pós-Graduação em Ciência
21 Animal.

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26 Orientadora: Prof^a. Dr^a. Marinete Pinheiro Carrera
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11 Tese apresentada ao Curso de Doutorado do Programa de
12 Pós-graduação em Ciência Animal, Centro de Ciências e
13 Tecnologias Agropecuárias da Universidade Estadual do
14 Norte Fluminense Darcy Ribeiro, Área de Concentração da
15 Sanidade Animal e Psicofarmacologia, como requisito para
16 obtenção do grau de Doutor em Ciência Animal.
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RESUMO

3 Joaquim Barbosa Leite Junior, Médico Veterinário, filho de Joaquim Barbosa Leite e Carla
4 Aparecida Torres Leite e irmão de Jhean Torres Leite, nasceu na cidade de Bom Jesus do
5 Itabapoana – RJ, em 15 de junho de 1990. Morou em Carabuçu (4º distrito de Bom Jesus
6 do Itabapoana) até 2009. Ingressou na Universidade Estadual do Norte Fluminense - RJ
7 em 2010. Desde 2010 mora em Campos dos Goytacazes.

RESUMO

A dependência química é considerada uma doença grave, que evolui de forma complexa, crônica e progressiva. Sendo um problema de saúde pública, que se caracteriza pela tendência constante à recaída, mesmo após um longo período de abstinência. A morfina é um analgésico opioide para dores intensas e possui expressiva taxa de abuso nos últimos anos. Em altas doses a morfina provoca ativação das vias dopaminérgica e glutamatérgica e atua causando hiperlocomoção. O maleato de dizocilpina (MK-801), é um antagonista glutamatérgico dos receptores do tipo NMDA (N-metil-D-aspartato), em baixas, doses produz diminuição da locomoção e, em altas doses, causa hiperlocomoção. A dopamina é o neurotransmissor associado como o prazer e a recompensa, enquanto o glutamato se relaciona à recaída, abstinência e à memória de longo prazo. A sensibilização e o condicionamento são processos importantes para manter da dependência. A sensibilização é o processo de aumento progressivo da resposta, com a mesma dose do fármaco. O condicionamento é o processo onde um estímulo inicialmente neutro se torna um estímulo incondicionado, após constantes pareamentos. A sensibilização é mediada pela ERK (proteína quinase ativada por mitógenos), na via mesocorticolímbica (área tegmental ventral, córtex pré-frontal, Amigdala, Hipotálamo e núcleo accumbens), e está relacionada à memória de longo prazo na dependência química. O objetivo do presente trabalho foi verificar o efeito das manipulações dopaminérgicas, por meio de menor atividade dopaminérgica com apomorfina (0,05 mg/kg) ou maior ativação dopaminérgica com o uso de morfina (10 mg/kg) e MK-801 (0,025, 0,1 e 1,0 mg/kg) em um protocolo de condicionamento pavloviano de atraso, avaliando a resposta locomotora condicionada e sensibilizada e ativação da ERK. Para tanto, foram desenvolvidos 2 conjuntos experimentais. O primeiro conjunto experimental testou o efeito de doses baixas de apomorfina (0,05 mg/kg) na atenuação/bloqueio da aquisição de sensibilização locomotora e condicionamento por morfina (10 mg/kg). O segundo conjunto experimental examinou os efeitos de diferentes doses de MK-801 (0,025, 0,1 e 1,0 mg/kg) na aquisição de sensibilização locomotora e condicionamento. Os resultados mostram aumento na locomoção após tratamentos repetidos, porém sem alteração locomotora nos tratamentos agudos tanto nos experimentos com MK-801, quanto Morfina. Os grupos tratados com morfina, apresentaram hiper locomoção, sinalizando sensibilização comportamental. No primeiro conjunto experimental, os resultados mostraram maior ativação de ERK, nas regiões da área tegmental ventral e núcleo accumbens, nos grupos tratados com Morfina. Entretanto os grupos Morfina tratados previamente com Apomorfina não tiveram maior ativação de ERK, se igualando aos grupos veículo. Apenas uma dose de apomorfina é capaz de interferir na aquisição de sensibilização locomotora, mostrada por meio de diminuição da locomoção e menor ativação de ERK. No segundo conjunto experimental, os resultados dos tratamentos com dose alta de MK-801 (1,0 mg/kg) mostraram sensibilização locomotora. Por outro lado, nos animais do grupo MK-801 (0,1 mg/kg), só foi possível observar sensibilização locomotora a partir do quinto dia de experimentação.

1 Nos tratamentos com MK-801 (0,025 mg/kg), não houve diferença na locomoção se
2 comparados ao grupo veículo. Portanto os resultados obtidos no presente trabalho indicam
3 que manipulações dopaminérgicas, com morfina ou MK-801, assim como a avaliação da
4 ERK, se traduzem em um modelo mais completo para examinar o processo de
5 condicionamento e sensibilização induzido por drogas, fornecendo informações
6 importantes sobre os processos neurobiológicos para a compreensão das complexidades
7 da dependência de opioides.

8

9 **Palavras-chave:** Morfina; MK-801; Dopamina; Glutamato; ERK.

10

11 ABSTRACT

12

13 Addiction is considered a serious disease, which evolves in a complex, chronic and
14 progressive way. Being a public health problem, which is characterized by the constant
15 tendency to relapse, even after a long period of abstinence. Morphine is an opioid analgesic
16 for severe pain and has had a significant rate of abuse in recent years. In high doses,
17 morphine activates the dopaminergic and glutamatergic pathways and acts by causing
18 hyper locomotion. Dizocilpine maleate (MK-801) is a glutamatergic antagonist of NMDA (N-
19 methyl-D-aspartate) receptors. In low doses, it reduces locomotion and in high doses it
20 causes hyperlocomotion. Dopamine is the neurotransmitter associated with pleasure and
21 reward, while glutamate is related to relapse, withdrawal and long-term memory.
22 Sensitization and conditioning are important processes to maintain addiction. Sensitization
23 is the process of progressively increasing response with the same drug dose. Conditioning
24 is the process where an initially neutral stimulus becomes an unconditioned stimulus after
25 constant pairing. Sensitization is mediated by ERK (mitogen-activated protein kinase), in
26 the mesocorticolimbic pathway (ventral tegmental area, prefrontal cortex, amygdala,
27 hypothalamus and nucleus accumbens), and is related to long-term memory in addiction.
28 The objective of the present study was to verify the effect of dopaminergic manipulations,
29 through lower dopaminergic activity with apomorphine (0.05 mg/kg) or greater
30 dopaminergic activation with the use of morphine (10 mg/kg) and MK-801 (0.025 , 0.1 and
31 1.0 mg/kg) in a delayed Pavlovian conditioning protocol, evaluating the conditioned and
32 sensitized locomotor response and ERK activation. For this purpose, 2 experimental sets
33 were developed. The first experimental set tested the effect of low doses of apomorphine
34 (0.05 mg/kg) on attenuating/blocking the acquisition of locomotor sensitization and
35 conditioning by morphine (10 mg/kg). The second experimental set examined the effects of
36 different doses of MK-801 (0.025, 0.1 and 1.0 mg/kg) on the acquisition of locomotor
37 sensitization and conditioning. The results show an increase in locomotion after repeated
38 treatments, but without locomotor alteration in the acute treatments both in the experiments
39 with MK-801 and Morphine. The groups treated with morphine showed hyper locomotion,
40 signaling behavioral sensitization. In the first experimental set, the results showed greater
41 activation of ERK, in the regions of the ventral tegmental area and nucleus accumbens, in
42 the groups treated with Morphine. However, the morphine groups previously treated with
43 apomorphine did not have greater ERK activation, matching the vehicle groups. Only one
44 dose of apomorphine is capable of interfering with the acquisition of locomotor sensitization,
45 shown by decreased locomotion and lower ERK activation. In the second experimental set,
46 the results of treatments with a high dose of MK-801 (1.0 mg/kg) showed locomotor
47 sensitization. On the other hand, in the animals of the MK-801 group (0.1 mg/kg), it was

1 only possible to observe locomotor sensitization from the fifth day of experimentation. In
2 treatments with MK-801 (0.025 mg/kg) there was no difference in locomotion compared to
3 the vehicle group. Therefore, the results obtained in the present work indicate that
4 dopaminergic manipulations, with morphine or MK-801, as well as the ERK evaluation,
5 translate into a more complete model to examine the drug-induced conditioning and
6 sensitization process, providing important information about the neurobiological processes
7 for understanding the complexities of opioid Addiction.

8

9 **Keywords:** Morphine; MK-801; Dopamine; Glutamate; ERK.

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1 - INTRODUÇÃO

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3 A dependência química ou vício, como é mais comumente determinada, é uma
4 doença grave na qual se desenvolvem processos como a sensibilização, o
5 condicionamento, a abstinência, a recaída e a tolerância. Trata-se de uma doença incurável
6 induzida pelo uso de substâncias psicoativas, ademais se manifesta de forma crônica e
7 progressiva (CUNHA e NOVAIS, 2004). Essa doença se caracteriza pela tendência
8 constante à recaída, mesmo após um longo período de abstinência o que envolve
9 alterações biológicas e comportamentais. A dependência e o alto risco à recaída
10 acompanham o indivíduo por toda sua vida (WISE, 1996; O'BRIEN *et al.*, 1998; WISE,
11 2000). O dependente tem prejuízos cognitivos na percepção, atenção, associação,
12 memória, raciocínio, juízo, imaginação, pensamento e linguagem que podem variar em
13 intensidade de indivíduo para indivíduo (MANN *et al.*, 1999).

14 A ONU qualifica a dependência química como uma epidemia mortal, sendo o uso
15 de opioides uma das maiores crises de saúde atuais, em todo o mundo cerca de 1068
16 pessoas morrem diariamente vítimas de overdose por opioides (KOLODNY *et al.*, 2015;
17 CHANG *et al.*, 2018; UNODC, 2019). No cenário atual, os opioides já contabilizam 76%
18 das mortes envolvendo distúrbios relacionados ao uso de drogas no mundo (UNODC,
19 2022). Só em 2021, ocorreram mais de 107 mil mortes por overdose de drogas nos EUA,
20 17% maior quando comparado ao ano de 2020 (UNODC, 2022). Nos últimos 5 anos, cerca
21 de 80% dos usuários de heroína dos Estados Unidos buscaram a substância ilícita após
22 fazerem uso de opioides comerciais (HUECKER E LEAMING, 2020; KIM *et al.*, 2019).
23 Segundo dados do UNODC 2022, a produção mundial de ópio aumentou 7% entre 2020 –
24 2021.

25 Dados do Relatório Mundial sobre Drogas em relação ao uso de opioides, tanto para
26 uso médico quanto para uso recreativo como droga de abuso, indica o Brasil como o maior
27 mercado consumidor da América do Sul, com cerca de 600 mil usuários (UNODC, 2016).
28 No Brasil, houve 1,6 milhões de prescrições de opioides no ano de 2009, já em 2015 foram
29 9 milhões de prescrições, um aumento de 465% em um período de 6 anos (KRAWCZYK
30 *et al.*, 2018). Cabe ressaltar que os fármacos com maiores incrementos foram a codeína
31 (alcaloide natural do ópio) e a oxicodona (alcaloide semi-sintética), ambas substâncias tem
32 ação semelhante à da morfina (KRAWCZYK *et al.*, 2018; ALEXANDER *et al.*, 2020).

1 Nesse contexto, o desenvolvimento da dependência química ocorre devido a uma
2 complexa interação entre fatores sociais, biológicos e genéticos (ROBBINS e EVERITT,
3 1999). O dependente, na ausência da droga, quando interrompe o uso, sente forte
4 motivação para recobrar o consumo, mesmo depois de decorridos meses ou até anos do
5 último uso. A recaída é uma situação em que o dependente volta ao consumo depois de
6 algum tempo de abstinência (ZALESKI *et al.*, 2017).

7 Atualmente se sabe que a recaída é mantida não apenas pela sensação de prazer
8 ou ainda pela tentativa de evitar os possíveis efeitos da abstinência da droga, mas sim
9 pelos mecanismos que envolvem aprendizagem de longa duração, ou seja, a memória
10 associada às drogas (NESTLER, 2002). Para a dependência química, o desejo resultante
11 da associação entre os estímulos ambientais e os efeitos subjetivos da droga (particular a
12 cada indivíduo) estão amplamente ligados com os fatores de risco de longa duração para
13 a recaída (HYMAN e MALENKA (2001).

14 As alterações comportamentais geradas pelo uso de drogas de forma crônica
15 produzem adaptações neurobiológicas e moleculares permanentes, instituindo um
16 importante modelo de neuroplasticidade (ROBINSON e KOLB, 1999; EISCH *et al.*, 2000).
17 Dentre os processos relacionados com a gênese e manutenção da dependência, dois
18 processos são fundamentais: o primeiro consiste em uma forma de aprendizagem
19 associativa estabelecida entre os efeitos da droga (estímulo farmacológico) e a interação
20 com o ambiente (objetos, lugares e som), conhecido como processo de condicionamento
21 (SHALEV *et al.*, 2002); o segundo é uma forma de aprendizagem não associativa,
22 denominada sensibilização comportamental, e se caracteriza pelo aumento progressivo de
23 uma determinada resposta comportamental, quando se administra a mesma dose da droga
24 repetidas vezes (ROBINSON e BERRIDGE, 1993). Dessa forma, o estudo do
25 condicionamento e sensibilização comportamental é de grande importância para o
26 entendimento dessa doença. Os dois processos explicam os comportamentos compulsivos
27 de desejo, ingestão e recaída presentes na dependência química (WISE e ROMPRE, 1989;
28 PIERCE e BARI, 2001; SEE, 2002).

29 Já se encontra bem aceito na literatura científica que a característica comum a todas
30 as substâncias de abuso é a ativação do sistema dopaminérgico e glutamatérgico
31 (SCHULTZ *et al.*, 1993; TRUDEAU *et al.*, 2014; SAKAE *et al.*, 2015). Entretanto o fator de
32 maior importância compartilhado por essas substâncias é a transformação dos estímulos
33 contextuais contíguos (próximos) em estímulos condicionados e estímulos de incentivo, os

1 quais podem motivar e manter os comportamentos relacionados à dependência (ROBBINS
2 e EVERITT, 2002).

3 As catecolaminas, como a dopamina, atuam no circuito de recompensa no sistema
4 nervoso central (SNC), e são importantes para a aquisição de memórias de longo prazo,
5 pois variações nos seus níveis circulantes estão envolvidas na modificação do
6 aprendizado, tornando o aprendizado prazeroso ou ainda angustiante (ORDOÑEZ, 2012).
7 Níveis altos de dopamina e de glutamato facilitam o aprendizado, contribuindo para a
8 consolidação de memórias mal adaptadas como é o caso da dependência química. Por
9 outro lado, níveis baixos de dopamina podem dificultar a consolidação de memórias e
10 assim serem usados como estratégia para o tratamento do dependente químico (NODA et
11 al., 1998; NODA e NABESHIMA, 2004).

12 Os sistemas, dopaminérgico bem como o glutamatérgico estão implicados na
13 dependência por opioides e outras drogas psicoativas como o álcool e a cocaína. Nesse
14 contexto, os estudos terapêuticos atuais se voltam para drogas, que sejam capazes de
15 equilibrar a transmissão do glutamato e da dopamina no contexto de dependência química
16 (COLLINS et al., 1998; OLIVE et al., 2012; D'SOUZA, 2015).

17 Trabalhos de DOBI e colaboradores (2010) e WYLLIE e colaboradores (2013)
18 mostraram diminuição da locomoção quando antagonistas glutamatérgicos NMDA como
19 por exemplo o MK-801 (maleato de dizocilpina) foram administrados em baixas doses
20 (0,01-0,025 mg/kg), os receptores do subtipo GLUN-2A são os mais impactados e deixam
21 de inibir a glicina (FRANTZ e HARTESVELDT, 1999; TANG et al., 2006). Essa diminuição
22 da locomoção aponta para a importância do glutamato no processo da dependência
23 química (TANG et al., 2006).

24 A morfina é uma droga psicoativa capaz de gerar dependência química, pois é uma
25 substância que apresenta uma característica comum com as drogas de abuso, a
26 capacidade de aumentar os níveis circulantes de glutamato pós-sináptico (NARITA et al.,
27 2008) e também de dopamina (KREEK, 2007). De acordo com os trabalhos do nosso
28 grupo, que mostraram através de experimentos com administração de apomorfina como
29 pré-tratamento antes da administração de morfina, houve uma menor ativação de ERK 1/2
30 em regiões encefálicas como a área tegmental ventral (VTA) e o NAc (DE MELLO BASTOS
31 et al., 2019; LEITE JUNIOR et al., 2019; DIAS et al., 2021; CRESPO et al., 2022), essas
32 estruturas que são responsáveis por reforçar o aprendizado e aumentar a probabilidade de

1 se usar a droga novamente, como o NAc e VTA, são capazes de gerar e manter a
2 dependência química (SHOBLOCK *et al.*, 2005).

3 A hipótese do presente é que o aumento da atividade da dopamina por meio dos
4 tratamentos com MK-801 em alta dose (1.0 mg/kg) e com morfina (10 mg/kg),
5 administrados imediatamente antes do teste experimental, provocam aumento da
6 locomoção, como também um aumento de ERK, sugerindo aumento das respostas
7 condicionada e sensibilizada. Bem como a diminuição da atividade dopaminérgica pelo uso
8 de apomorfina (0.05 mg/kg), dificulta a consolidação de memórias associadas às drogas
9 psicoativas, resultando em menor atividade locomotora e menor ativação de ERK.

10 Assim sendo, o objetivo do presente trabalho foi verificar o efeito das manipulações
11 dopaminérgicas, por meio de menor atividade dopaminérgica com apomorfina (0,05 mg/kg)
12 ou maior ativação dopaminérgica com o uso de morfina (10 mg/kg) e MK-801 (0,025, 0,1 e
13 1,0 mg/kg) em um protocolo de condicionamento pavloviano de atraso, avaliando a
14 resposta locomotora condicionada e sensibilizada e a ativação da proteína ERK. Para
15 tanto, foram desenvolvidos 2 conjuntos experimentais. O primeiro conjunto experimental
16 testou o efeito de doses baixas de apomorfina (0,05 mg/kg) na atenuação/bloqueio da
17 aquisição de sensibilização locomotora e condicionamento por morfina (10 mg/kg). O
18 segundo conjunto experimental examinou os efeitos de diferentes doses de MK-801 (0,025,
19 0,1 e 1,0 mg/kg) na aquisição de sensibilização locomotora e condicionamento. O presente
20 trabalho busca uma maior compreensão da interação do glutamato e da dopamina na
21 dependência química, principalmente de drogas como os opioides.

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1 **1.1 HIPÓTESE**

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3 Trabalhos de colaboradores (1992) e Carey (1995), utilizando MK-801 nas doses (0,1 – 0,3
4 mg/kg), em ratos, descreveram alguns efeitos excitatórios como a hiperatividade
5 locomotora. Nos trabalhos do nosso grupo CRESPO e colaboradores (2022), utilizando
6 morfina na dose (10 mg/kg), observou-se aumento da atividade locomotora e maior
7 ativação de ERK em regiões como o VTA, Nac. Outros resultados foram encontrados por
8 De Mello e colaboradores (2020), com o uso de injeções prévias de apomorfina (0,05
9 mg/kg) antes de injeções de morfina (10 mg/kg), em que houve bloqueio da aquisição de
10 sensibilização e condicionamento.

11 Assim sendo, a hipótese do presente estudo é que manipulações dopaminérgicas
12 por meio de uma menor atividade dopaminérgica com o uso de apomorfina (0,05 mg/kg)
13 dificultam ou bloqueiam as respostas locomotoras que indicam condicionamento e
14 sensibilização, como a hiperlocomoção. Em outro ponto, uma manipulação onde ocorra
15 maior ativação dopaminérgica com o uso de morfina (10 mg/kg) e MK-801 (1,0 mg/kg) em
16 um protocolo de condicionamento pavloviano de atraso, provocam aumento na resposta
17 locomotora condicionada e sensibilizada e também na ativação da ERK.

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1 **II - OBJETIVOS**

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3 **Objetivo Geral:**

4 O objetivo do presente trabalho foi verificar o efeito das manipulações
5 dopaminérgicas, por meio de menor atividade dopaminérgica com apomorfina (0,05 mg/kg)
6 ou maior ativação dopaminérgica com o uso de morfina (10 mg/kg) e MK-801 (0,025, 0,1 e
7 1,0 mg/kg) em um protocolo de condicionamento pavloviano de atraso, avaliando a
8 resposta locomotora condicionada e sensibilizada e a ativação da proteína ERK

9

10 **Objetivos Específicos:**

11 a) Avaliar a administração de MK-801 1.0 mg/kg no desenvolvimento de uma resposta
12 condicionada e sensibilizada, empregando-se um protocolo de condicionamento
13 pavloviano de atraso;

14 b) Avaliar a administração de morfina 10 mg/kg no desenvolvimento de uma resposta
15 condicionada e sensibilizada, juntamente com a ativação da ERK 1/2, empregando-se um
16 protocolo de condicionamento pavloviano de atraso;

17 c) Avaliar a administração de Apomorfina na dose de 0,05 mg/kg, pré-arena
18 experimental, na atenuação e/ou bloqueio de uma resposta locomotora previamente
19 condicionada e sensibilizada por morfina 10 mg/kg;

20 d) Avaliar a administração de Apomorfina na dose de 0,05 mg/kg antes de uma
21 administração de morfina 10 mg/kg pré-arena experimental na atenuação e/ou bloqueio da
22 ativação da proteína ERK nas regiões do VTA, Nac, Hipo, AM e CPF.

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1 **III - REVISÃO DE LITERATURA**

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3 **3.1 Dependência Química**

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5 A dependência química é uma doença crônica que tem como característica a
6 tendência recorrente à recaída e pode ser entendida dessa forma como um problema
7 complexo e contínuo, sendo classificada entre os transtornos psiquiátricos (CID10, F19).

8 De acordo com as últimas estimativas do Relatório Mundial sobre Drogas de 2022,
9 cerca de 284 milhões de pessoas (3,55% da população mundial) entre 15 e 64 anos
10 usaram drogas no ano de 2020, enquanto cerca de 40 milhões de pessoas (13% da
11 população de usuários) sofrem de transtornos associados ao uso de drogas. As projeções
12 estatísticas sugerem um aumento de 11% no número de usuários globalmente até 2030 e
13 um aumento acentuado de 40% na África devido ao rápido crescimento da população
14 jovem, juntamente com um acréscimo do número de usuárias mulheres (UNODC, 2022).

15 A dependência é uma forma de aprendizado, que ocorre devido ao consumo de
16 substâncias psicoestimulantes que provocam alterações do SNC como o aumento de
17 níveis circulantes de dopamina, o que ocasiona uma ação estimulatória na vigília e na
18 atenção. Dessa forma, a dependência pode ser separada em dependência física, na qual
19 o organismo do dependente apresenta distúrbios físicos quando se faz a interrupção do
20 uso da droga (DIAZ, 1996). A dependência ainda pode ser entendida por dependência
21 psicológica, em que o uso tem por objetivo o bem-estar e os efeitos iniciais da droga
22 (RIBEIRO e MINAYO, 2015).

23 A dependência pode ser tratada e controlada objetivando a diminuição dos
24 sintomas, sendo, por vezes, de difícil controle (PÉREZ-CAJARAVILLE *et al.*, 2005). Os
25 indivíduos toxicodependentes tendem a ter uma rejeição por atividades que não estejam
26 ligadas ao consumo da droga, pois ocorre diminuição da sensação de prazer (NERY FILHO
27 *et al.* 2009).

28 Por muito tempo, a dependência foi entendida como sendo desvio de caráter, falta
29 de personalidade ou diminuição da força de vontade, pela qual o dependente era tratado
30 por nomes pejorativos, o que levava a uma interpretação equivocada sobre o uso de drogas
31 (DE MORAIS *et al.*, 2012). Somente em 1964 foi que a Organização Mundial da Saúde

1 (OMS) introduziu o termo “dependência” em modificação ao termo “vício” e “habituação”
2 que eram usados até então, assim o problema passou a ser entendido como uma doença
3 (DUPONT, 2005).

4 O tratamento da dependência química é um desafio árduo, pois suas causas são
5 diversas, ou seja, sendo determinada por vários fatores. Para o seu entendimento se faz
6 necessária uma abordagem cuidadosa, feita por equipes multidisciplinares, pois se refere
7 a um problema em que se relacionam fatores sociais, familiares, emocionais e da psiquê
8 (FONTES *et al.*, 2006). Na maior parte dos pacientes, cerca de 90%, ocorre recaída em
9 até 1 ano depois de iniciado o tratamento, tornando o processo bastante penoso (MILTON
10 e EVERITT, 2012).

11 O consumo de substâncias psicoestimulantes é bem antigo e de certa maneira
12 contemporâneo ao próprio nascimento das civilizações (NUNES e JÓLLUSKIN, 2007). No
13 princípio os homens consumiam drogas em cerimônias religiosas como parte da
14 celebração, depois o consumo de drogas mudou de contexto e passou a ser feito com a
15 finalidade de diminuir o sofrimento, diminuir a dor, o cansaço e também para se alcançar
16 sensações prazerosas (MARTINS e CORRÊA, 2004).

17 Dois fatos históricos ocorridos no século XIX contribuíram bastante para que a
18 dependência química se tornasse pela primeira vez um grave problema social, o primeiro
19 foi a guerra travada entre China e Inglaterra pelo abastecimento mundial de ópio e o
20 segundo foi durante a Guerra Civil Americana nos EUA (ARAÚJO e MOREIRA, 2006).
21 Nesses dois conflitos os soldados feridos em combate fizeram o uso de morfina nas formas
22 oral e subcutânea, e isso provocou um enorme número de casos de dependência química
23 entre os sobreviventes que retornaram à Europa e aos EUA (BARAKA, 2000; DUARTE,
24 2005).

25 O abuso ou uso nocivo seria um momento intermediário entre o uso recreativo (de
26 baixo risco, não sendo caracterizado como um problema médico) e a dependência. Já há
27 prejuízo decorrente do consumo da substância, mas ainda há algum controle do indivíduo
28 quanto à quantidade consumida e à duração dos efeitos (TAMELINI e MARTINS, 2007).

29 Alguns pontos são importantes para entender os limites entre o uso recreativo, o
30 abuso e a dependência de substâncias psicoativas. Postula-se que sejam fenômenos que
31 ocorrem em conjunto, com parâmetros orientando a transição de um estágio para o outro,
32 como: o impacto funcional no trabalho e na família, restrições judiciais e morais, as

1 consequências decorrentes do consumo da substância, assim como o desenvolvimento de
2 mecanismos fisiológicos de adaptação à presença da substância, como tolerância e
3 abstinência. Tolerância é a diminuição dos efeitos esperados de uma droga por exposição
4 excessiva do dependente ao seu princípio ativo, enquanto a abstinência é a privação do
5 uso da droga e de suas sensações prazerosas, podendo causar perturbações fisiológicas
6 no organismo como: irritabilidade, depressão, ansiedade, suores, enjoos, dores de cabeça
7 (RAITH e HOCHHAUS, 2004).

8 As drogas ativam o circuito da recompensa na via mesocorticolímbica (MORGANE
9 et al., 2005) liberando neurotransmissores responsáveis por uma sensação de bem-estar
10 e prazer como a dopamina e o glutamato, como consequência, o desejo por repetir a
11 experiência se mantém. Na dependência química, o comportamento de busca ativa da
12 droga é no sentido de reequilibrar o sistema de recompensa (FERREIRA et al. 2001).

13

14 **3.2 Morfina**

15

16 A morfina é um opióide analgésico. A droga foi isolada pelo alemão Friedrich
17 Serturner em 1806, mas teve sua difusão somente em 1853 após a invenção da agulha
18 hipodérmica (PATHAN e WILLIAMS, 2012). Sendo o primeiro fármaco narcótico derivado
19 do ópio (*Papaver somniferum*) e usado frequentemente na intervenção cirúrgica e clínica
20 de doenças com presença de dor crônica. A morfina pode ser administrada por via oral,
21 subcutânea, intramuscular, intravenosa, epidural e ainda pelas vias transdérmica e
22 intranasal (NESTLER, 2004). Quando usada pelas vias intramuscular e subcutânea, o pico
23 de ação se dá aos 15-20 minutos, por via intravenosa, o pico do efeito analgésico é obtido
24 aos 20 minutos. Caso seja administrada em bolus epidural ou intratecal, o pico ocorre de
25 5 a 10 minutos após a administração e tem a duração da ação analgésica de 4 a 5 horas.
26 Quando administrada por via oral, a morfina tem seu pico de ação em 30-90 minutos, com
27 6 horas de duração da ação analgésica (GLARE e WALSH, 1991; LUGO e KERN, 2002;
28 STEIN, 2015). A droga consegue ultrapassar a placenta e a barreira hematoencefálica e
29 sua excreção ocorre na maior parte pela via renal, apenas uma pequena parte é excretada
30 por via biliar. A figura 1 mostra a fórmula estrutural da morfina (SJOGREN et al., 1994).

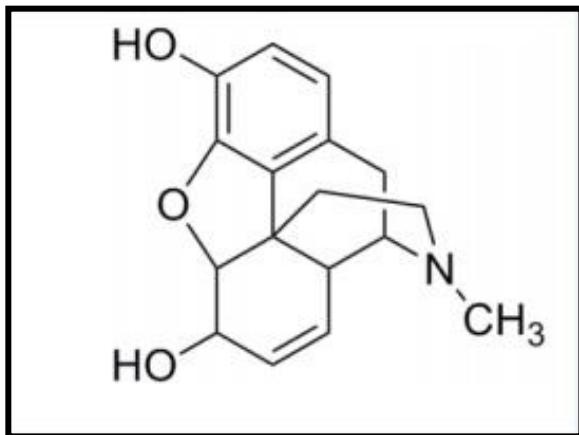


Figura 1: Fórmula estrutural da morfina (7,8-didehydro-4,5-epoxy-17-methylmorphinan-3,6-diol). Adaptado de Trescot e colaboradores (2008).

Os receptores dos opioides são classificados em 3 tipos principais: μ (Mi), κ (Kappa), δ (Delta) e ainda mais 2 tipos que se chamam Nor (nociceptina), e, mais recentemente, o ζ (Zeta). Esses receptores fazem parte da família de receptores ligados à proteína G no mecanismo de analgesia. Os receptores do tipo μ (OP3) regulam funções como a nocicepção, o controle do ciclo respiratório, miose, bradicardia, indiferença aos estímulos do meio circulante e o trânsito intestinal. São receptores onde a maior parte dos fármacos opioides atua, eles estão localizados nas lâminas III e V do córtex cerebral, no tálamo, área tegmental ventral (VTA), substância cinzenta periaquedatal, substância gelatinosa e trato gastrintestinal (PERT e SNYDER, 1973). Já os receptores do tipo κ (OP2) tem relação com as funções de nocicepção, termorregulação, controle de diurese e secreção neuroendócrina, eles estão localizados no hipotálamo, substância cinzenta periaquedatal, substância gelatinosa na medula espinhal, além de neurônios sensitivos periféricos (DHAWAN *et al.*, 1996). Quanto aos receptores do tipo δ (OP1), eles são mais fortemente expressos nos gânglios da base e nas regiões do neocortex. Esse tipo de receptor tem relação com o controle do ciclo respiratório, nocicepção e modulação de funções cognitivas (BROWNSTEIN, 1993). O quarto tipo de receptor o Nor (OP4) é encontrado no córtex, amigdala, hipocampo e hipotálamo, sendo relacionado com regulação do humor e do apetite (STEIN *et al.*, 2003). Por fim, há o receptor tipo ζ (ZOR) que tem importância para o crescimento do tecido celular e embrionário, e, ainda na regulação da proliferação de células cancerosas, esse tipo de receptor se encontra em regiões como o coração, os rins, os músculos esqueléticos, o cérebro e o pâncreas (VARGA *et al.*, 2004; MARTINS *et al.*, 2012).

1 Os receptores opioides estão envolvidos em uma série de sinais intracelulares,
2 incluindo a inibição da adenilato ciclase, a diminuição da abertura dos canais de cálcio, o
3 aumento das correntes de potássio e a ativação da proteína quinase C (PKC). O principal
4 efeito dos opioides é a redução da excitabilidade celular e da neurotransmissão. Em nível
5 celular, a morfina age como agonista total nos receptores μ acoplados a proteína G do tipo
6 inibitória (Gi), impedindo a ação da enzima adenilato ciclase, essa enzima é responsável
7 pela transformação de ATP (adenosina trifosfato) em AMPc (Monofosfato cíclico de
8 adenosina), dessa forma, não serão feitas novas sínteses de AMPc (importante
9 mensageiro intracelular); como resultado, há a diminuição das respostas celulares
10 (PETROFF, 2002).

11 Outro ponto de destaque é o aumento do efluxo de potássio, ou seja, ocorre a
12 passagem dos íons potássio para o exterior da célula, devido à abertura dos canais de
13 potássio e fechamento dos canais que levam sódio para a porção interior da célula
14 (COHEN, 1979). A diminuição da concentração de potássio no meio intracelular faz com
15 que a membrana da célula fique hiperpolarizada, dessa forma não é gerado o potencial de
16 ação ocorrendo o impedimento do impulso nervoso (SCHROLL e HAMKER, 2013).

17 Em nível de SNC, a morfina tem sua ação nos receptores do tipo μ (Mi) dos
18 neurônios gabaérgicos, onde ocorre uma diminuição da síntese do ácido gama-
19 aminobutírico (GABA) e posterior aumento da dopamina e glutamato circulantes devido à
20 excitação dos neurônios dopaminérgicos e desinibição dos neurônios glutamatérgicos,
21 posteriormente ocorre a ativação do sistema de recompensa das vias mesolímbica e
22 mesocortical, duas vias simpáticas e também ativação das vias glutamatérgicas
23 descendentes (via córtico-troncar, córtico-estriatal, córtico-accumbens, córtico-talâmica).
24 Essa excitação do sistema nervoso central pelo aumento de dopamina e glutamato é o
25 mecanismo responsável por causar a dependência química (YAMAKAGE e NAMIKI, 2002).

26 Com as diminuições da fração de mensageiros celulares e da liberação de
27 neurotransmissores inibitórios pelas células que compõem o SNC respectivamente,
28 somado a um aumento da liberação de dois importantes neurotransmissores excitatórios
29 glutamato e dopamina, ocorre uma excitação neuronal com ativação do sistema de
30 recompensa cerebral (GOLDBERG *et al.*, 2013). Com o aumento de glutamato e dopamina
31 há uma redução da síntese de importantes neurotransmissores inibitórios, responsáveis
32 pela regulação do humor, como a glicina e o GABA (WATANABE *et al.*, 2002).

1 Os receptores opioides estão localizados em neurônios de diversas áreas do
2 encéfalo (côrtez pré-frontal, tálamo, hipocampo, bulbo olfatório, núcleos pontinhos,
3 substância gelatinosa na medula espinhal e na substância cinzenta periaquedatal no
4 mesencéfalo). Além disso, podem ser encontrados no sistema nervoso periférico. A
5 ativação desses receptores é feita pelos opióides endógenos, como as endorfinas e as
6 encefalinas que são neurotransmissores semelhantes à morfina (HEMMINGS e
7 JEVTOVIC-TODOROVIC, 2013).

8 A morfina atuando nos receptores μ (M_i) tem as seguintes ações: analgesia, miose,
9 bradicardia, depressão respiratória e indiferença aos estímulos do meio circulante. Quando
10 sua atuação é nos receptores δ (Delta), ocorre primariamente a analgesia, mas também a
11 modulação de funções cognitivas e de dependência física, enquanto que sua ação nos
12 receptores κ (Kappa), vai alterar a nocicepção, a termorregulação, o controle de diurese, a
13 secreção neuroendócrina e a reduzir a atividade motora e reflexos (MARTINS *et al.*, 2012).
14 Os receptores do tipo OP1 ou σ (sigma) estimulam as funções simpáticas provocando
15 taquicardia, hipertensão, midriase, náuseas e vômitos, também podem causar
16 alucinações. Os receptores σ (sigma) são parcialmente opioides, depois de reanalisados
17 por alguns pesquisadores, foi visto que eles interagem com uma variedade de drogas
18 psicoativas e seu ligante endógeno não é conhecido (embora eles possam reagir com
19 certos esteroides endógenos), chegou-se à conclusão de que seriam, na verdade,
20 decorrentes do bloqueio de receptores glutamatérgicos do tipo NMDA (PERT e SNYDER,
21 1973; BROWNSTEIN, 1993; MARTINS *et al.*, 2012).

22 A morfina é metabolizada no fígado, essencialmente pela UDP-
23 glucuronosiltransferase 2B7 (UGT2B7). A partir daí, são obtidos dois metabólitos principais:
24 a morfina-6-glucuronideo (M6G) que é um metabólito ativo e responsável por efeitos
25 clínicos da morfina como a analgesia (LÖTSCH e GEISSLINGER, 2001) e a morfina-3-
26 glucuronideo (M3G), que em excesso pode levar a hiperalgesia (sensibilidade aumentada
27 a dor), através da reação de fase II (glucuronidação) do grupo alcoólico 6-OH e do grupo
28 fenólico 3-OH (figura 2); (DAHAN *et al.*, 2008; SMITH, 2000).

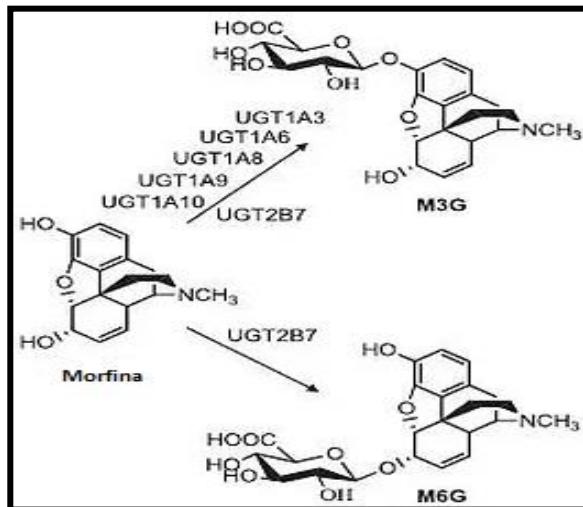


Figura 2: Metabolismo da morfina e os seus metabólitos M6G e M3G. Adaptado de Wahlström *et al.* (1988).

4

5 3.3 Condicionamento Pavloviano e Morfina

6

7 Rehman e Rehman (2018) descrevem que o condicionamento clássico é um método
 8 de aprendizado inconsciente, uma forma direta através da qual os animais podem
 9 aprender. O condicionamento clássico é o processo em que uma resposta automática e
 10 condicionada é emparelhada com estímulos específicos.

11 O condicionamento pode ser segmentado em dois tipos, o primeiro tipo é o
 12 condicionamento operante ou instrumental, em que se manifesta um aumento na
 13 probabilidade de ocorrência de um determinado comportamento, por exemplo, se a
 14 consequência for reforçadora aumenta a probabilidade dela se repetir, mas se a
 15 consequência for punitiva, pode diminuir ou extinguir a probabilidade de sua ocorrência
 16 futura (STADDON e CERUTTI, 2003). O segundo tipo é o condicionamento pavloviano
 17 clássico, que é o processo pelo qual um estímulo ambiental neutro adquire funções
 18 similares às de um estímulo incondicionado através de emparelhamentos, com drogas de
 19 abuso ou outro estímulo, de forma prévia e repetida (SCHULTZ e SCHULTZ, 1992; BARDO
 20 e BEVINS, 2000).

21 O condicionamento clássico foi postulado por Ivan Pavlov, através de trabalhos com
 22 cães. Em seu experimento mais conhecido, ele tocava um sino, Estímulo Neutro (EN), que
 23 ocasionava uma Resposta Inespecífica e Neutra (RN), antes de apresentar comida aos
 24 cães, a comida sendo um Estímulo Incondicionado (EI) e que gerava uma Resposta

1 Incondicionada (RI), que era a sialorreia. Após consecutivos pareamentos entre Estímulo
2 Incondicionado e Estímulo Neutro, os cães passaram a salivar, Resposta Incondicionada
3 (RI), ao som do sino sozinho, nesse caso, tendo uma Resposta Condicionada (RC). O
4 Estímulo que originalmente era um Estímulo Neutro, se torna um Estímulo Condicionado
5 (EC) (PAVLOV, 1927).

6 Segundo Catania (1999), o condicionamento clássico pode ser diferido em relação
7 a sua programação temporal, em dois tipos: atraso e traço. No condicionamento de atraso,
8 o tratamento farmacológico é administrado antes da colocação do animal no ambiente onde
9 ele será testado. Desse modo, a resposta ao fármaco vai ocorrer concomitantemente com
10 o estímulo do ambiente de testes. O outro tipo, o condicionamento de traço, tem-se o
11 Estímulo Condicionado terminando antes do início da administração do fármaco, ou seja,
12 o animal recebe a administração do fármaco após a saída da arena experimental e, para
13 tanto, o intervalo entre os dois estímulos precisa ser breve. Em se tratando do
14 condicionamento de atraso, que é um modelo menos utilizado, o indivíduo experimenta os
15 estímulos da arena e do fármaco em consonância, ou seja, não existe intervalo temporal
16 entre cada um dos estímulos (DE MELLO BASTOS *et al.*, 2014; SANTOS *et al.*, 2015). No
17 condicionamento de traço o animal vivencia os estímulos de forma separada, ou seja,
18 primeiro ele experimenta os efeitos causados pela permanência na arena experimental,
19 imediatamente depois, ele vai experimentar os efeitos provocados pelo fármaco (CAREY
20 *et al.*, 2014).

21 Sabe-se que a morfina, assim como outras drogas psicoativas, por exemplo,
22 anfetamina e cocaína, causa mudanças permanentes no SNC, e que essa
23 neuroplasticidade, após uso repetido, está envolvida com a dependência química
24 (JACOBS *et al.*, 2003). Para tanto, os seus estímulos incondicionados podem ser
25 facilmente condicionados a estímulos presentes em ambientes experimentais (SIEGEL,
26 2001).

27 Trabalhos de Walter e Kuschinsky (1989), usando três grupos de ratos: o primeiro
28 grupo (associado) foi condicionado 8 vezes com morfina 15 mg/kg, na presença de vários
29 estímulos condicionados definidos (auditivo, olfativo e tátil). O segundo grupo (não-
30 associado), foi exposto ao mesmo esquema de tratamento de morfina e estímulos, mas
31 sem associação positiva entre droga e os estímulos. Um terceiro grupo (veículo) foi tratado
32 com solução salina NaCl 0,9%, e exposto aos mesmos estímulos que os outros dois
33 grupos. Todos os grupos foram testados para respostas condicionadas na presença dos

1 estímulos condicionados. Uma série de experimentos foi realizada com solução salina,
2 depois de um intervalo de dois dias dos testes de condicionamento, uma segunda série foi
3 testada com soro fisiológico após um intervalo de 7 dias, uma terceira série com morfina
4 15 mg/kg após um intervalo de 2 dias, uma quarta série com a mesma dose de morfina
5 após um intervalo de 7 dias. Os resultados mostraram que, quando a morfina foi usada
6 após um intervalo de 2 dias, a tolerância farmacodinâmica "clássica" – mas não os
7 fenômenos condicionantes – poderia explicar a mudança de comportamento, enquanto
8 que, nos outros três protocolos descritos, alguns efeitos comportamentais condicionados
9 puderam ser observados na presença ou na ausência de morfina, para os parâmetros
10 monitorados (ativação locomotora, melhora das funções motoras, cheirar, roer).
11 Consequentemente, o condicionamento contribui para a mudança de comportamento após
12 a administração repetida de morfina.

13

14 **3.4 Sensibilização e Morfina**

15

16 A sensibilização é um processo onde se tem o aumento progressivo da atividade
17 locomotora, compulsão, comportamento de fuga, sendo que esse aumento ocorre após a
18 administração repetitiva de psicoestimulantes, usando-se a mesma dose da substância
19 química (WELLS e WELLS, 1971).

20 Também conhecida como tolerância reversa, a sensibilização comportamental é
21 essencial para o processo de dependência química. A administração de drogas causa
22 mudanças em nível cerebral, na via dopaminérgica mesolímbica associada ao núcleo
23 accumbens (Nac), provocando sensibilização neural. Como consequência, esses sistemas
24 se tornam hipersensíveis aos efeitos da droga e também a estímulos associados a eles,
25 mesmo após cessada a administração da substância química. Mesmos depois de algum
26 tempo sem o uso, a atividade locomotora ainda estará aumentada, devido à
27 hiperpersensibilidade comportamental que é duradoura (CADOR *et al.*, 1995). Dessa
28 forma, a sensibilização neural aumenta a busca pela droga (GARCIA *et al.*, 2011). Um
29 único tratamento com anfetamina ou morfina induz a hiperlocomoção associada à hiper-
30 responsividade da transmissão de dopamina mesocorticolímbica (VANDERSCHUREN *et*
31 *al.*, 1999).

1 A sensibilização depende do padrão temporal da exposição ao medicamento,
2 regimes de tratamento intermitente, com intervalos de 24 horas (dose diária) entre as
3 administrações, são geralmente mais eficazes na indução da sensibilização do que os
4 esquemas que empregam exposição a altas doses crescentes de medicamentos
5 (ROBINSON e BECKER, 1986; STEWART e BADIANI, 1993; VANDERSCHUREN *et al.*,
6 1997). É possível notar ainda, que até mesmo uma única exposição a drogas
7 psicoestimulantes, como morfina, pode ser suficiente para provocar uma sensibilização
8 duradoura (NASCIMENTO, 2011).

9 No trabalho de Vanderschuren e colaboradores (1997), foi observado efeito de
10 hiperlocomoção em um protocolo de sensibilização comportamental por morfina, mesmo
11 após transcorrer três semanas da última aplicação, o experimento consistia em uma
12 aplicação diária de morfina 10 mg/kg, por via subcutânea (SC), em ratos Wistar, por um
13 período de 14 dias consecutivos.

14 Viganò e colaboradores (2003), após administrarem doses crescentes de morfina
15 (10 mg/kg - 20 mg/kg - 40 mg/kg), em ratos, duas vezes ao dia, durante três dias
16 consecutivos, puderam constatar indução de sensibilização comportamental. O teste de
17 sensibilização foi feito usando-se de uma dose baixa de morfina (5 mg/kg) por via SC,
18 depois de passados 15 dias da última aplicação. Os resultados mostraram que houve
19 aumento da locomoção, quando comparado ao grupo controle.

20 Em outro trabalho de Vanderschuren e colaboradores (2001), animais pré-expostos
21 à dose única de morfina 10 mg/kg, exibiram um significativo aumento psicomotor. O mesmo
22 tipo de exposição à morfina com dose diferente, 2 mg/kg não afetou o efeito psicomotor.
23 Os testes de locomoção foram feitos três semanas após o tratamento o último
24 farmacológico. Portanto, uma única exposição à morfina, em dose alta, induz a
25 sensibilização comportamental duradoura e também a neuroadaptação associada.

26

27 **3.5 MK-801, Sistema Dopaminérgico e o Sistema Glutamatérgico**

28

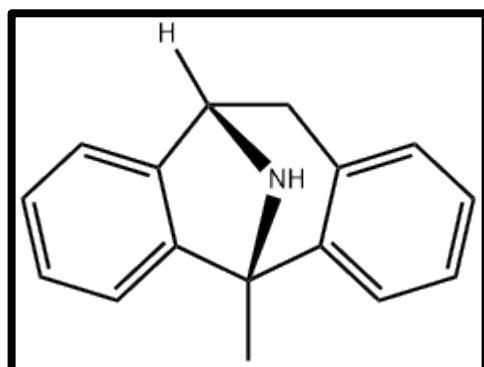
29 A presença da monoamina dopamina no SNC sugere um papel de neuromodulação
30 celular, que está ligada ao glutamato no processo da dependência química. A liberação
31 glutamatérgica reflete em excitação neuronal e, por outro lado, a presença do

1 neurotransmissor GABA causa inibição celular (JONAS *et al.*, 1998; NABEKURA *et al.*,
2 2004)

3 O maleato de dizocilpina-MK-801 (figura 3) é um fármaco antagonista
4 glutamatérgico dos receptores ionotrópicos do tipo NMDA (N-metil-D-aspartato), tendo os
5 seus efeitos dependentes da dose, pois se trata de um antagonista não competitivo (FORD
6 *et al.*, 1989; ÖGREN e GOLDSTEIN, 1994).

7 O uso de doses baixas (0,025 mg/kg) de MK-801 provoca diminuição da locomoção
8 em ratos em campo aberto e diminuição da ansiedade/medo ligada as reações defensivas
9 antipredadoras (BLANCHARD *et al.*, 1992). No caso do uso em doses elevadas (0,1 – 0,3
10 mg/kg), observaram-se os seus efeitos excitatórios como hiperatividade locomotora em
11 ratos, esses são os resultados encontrados por Carey (1995) e Hargreaves e
12 colaboradores (1992). As alterações locomotoras como a hipoatividade e hiperatividade,
13 nos mostram que há uma estreita relação dos níveis de glutamato com algumas mudanças
14 comportamentais, pois o glutamato é um neurotransmissor que desempenha um papel
15 fundamental no comportamento locomotor e na memória a longo prazo no processo
16 dependência química (SPENCER *et al.*, 2016).

17



18 Figura 3: Fórmula estrutural do maleato de dizocilpina-MK-801 (5S,10R) -(+) -5 Methyl-
19 10,11-dihydro-5H-dibenzo[a,d]cyclohepten-5,10-imine maleate). Adaptado de Yi e
20 colaboradores (2020).

22

23 Há algum tempo, a importância dos gânglios da base no comportamento locomotor
24 já é bem esclarecida. Estruturas como o núcleo accumbens, córtex pré-frontal, amígdala,
25 hipotálamo e área tegmental ventral, medeiam a interação entre as vias glutamatérgicas e

1 dopaminérgicas, e dessa forma a atividade inibitória glutamatérgica é equilibrada pela
2 atividade excitatória dopaminérgica (DAI e CAREY 1995).

3 Diversos estudos mostraram que fármacos agonistas dopaminérgicos diretos ou
4 indiretos dopaminérgicos podem induzir hiperatividade e promover condicionamento e
5 sensibilização (MATTINGLY *et al.*, 1997, 1988; ANAGNOSTARAS e ROBINSON, 1996;
6 ROWLETT *et al.*, 1997; KELLER e DELIUS, 2001; BLOISE *et al.*, 2007; BRAGA *et al.*,
7 2009; DE MATOS *et al.*, 2010). Da mesma forma, fármacos antagonistas glutamatérgicos
8 do tipo NMDA, como o MK-801, podem induzir hiperatividade em ratos, e ainda, que essa
9 hiperatividade se torna progressiva com o uso de tratamentos repetitivos, indicando
10 sensibilização (CAREY *et al.* 1995).

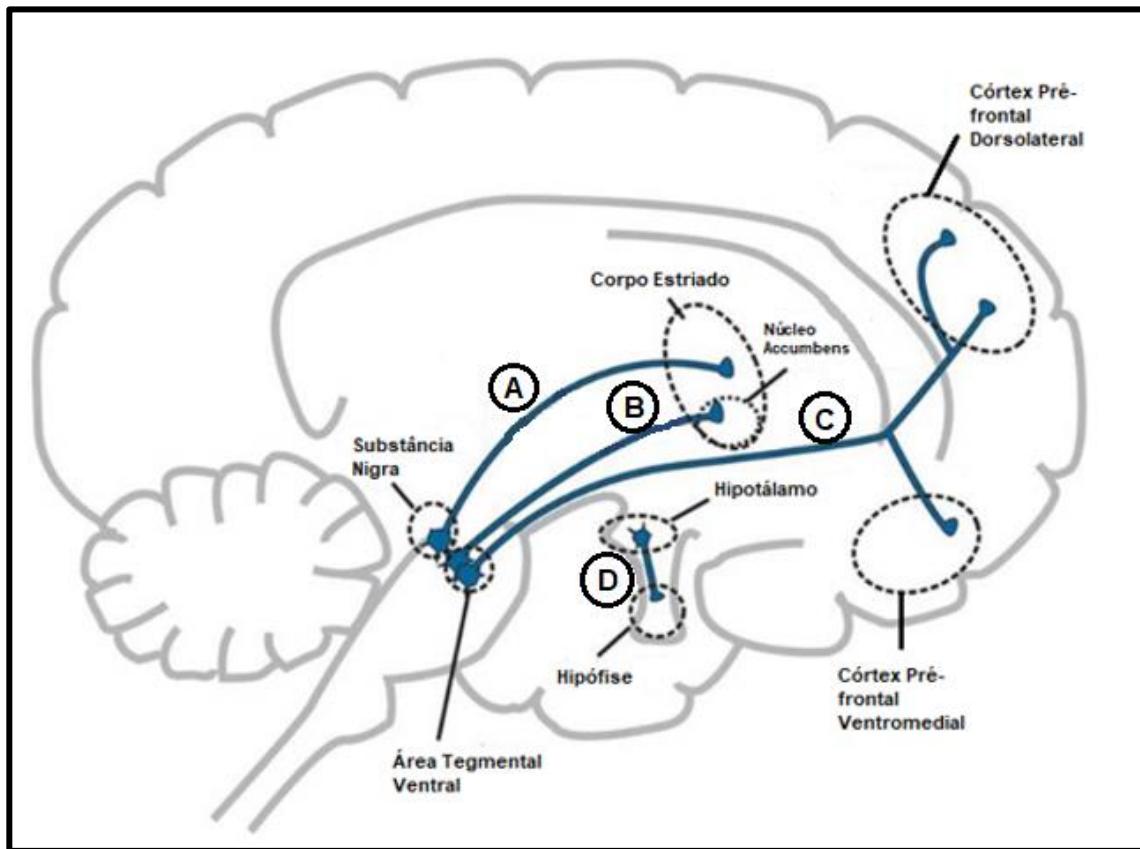
11 Os sistemas dopaminérgicos bem como o glutamatérgico estão implicados na
12 adição por opioides e outras drogas psicoativas como os opioides, o álcool e a cocaína.
13 Nesse contexto os estudos terapêuticos atuais se voltam para drogas, que sejam capazes
14 de equilibrar a transmissão do glutamato e da dopamina no contexto de dependência
15 química (OLIVE *et al.*, 2012; COLLINS *et al.*, 1998; D'SOUZA, 2015).

16 A dopamina é um neurotransmissor endógeno da família das catecolaminas, sua
17 produção ocorre na substância nigra e na área tegmental ventral (VTA) no cérebro.
18 Existem, pelo menos, 5 receptores dopaminérgicos, D1, D2, D3, D4 e D5. Sendo D2, D3 e
19 D4 com grande afinidade entre si, enquanto D1 e D5 compartilham de características
20 semelhantes. A dopamina está envolvida no controle da cognição, humor, aprendizado,
21 memória, emoções e na manutenção das funções renal, gastrointestinal e cardiovascular
22 (MATSUMOTO *et al.*, 2005).

23 Kenna e colaboradores (2007) mostraram que propriedades reforçadoras de drogas
24 psicoativas com potencial de abuso têm relação direta e indireta com o sistema
25 dopaminérgico. Os receptores dopaminérgicos se distribuem de forma ampla no
26 hipotálamo, na substância nigra e na área tegmental ventral (VTA), dando origem às quatro
27 principais vias dopaminérgicas (figura 4) no sistema nervoso central, a via mesocortical, a
28 via mesolímbica, a via nigroestriatal e a via túbero-infundibular (BERKE, 2018).

29 A compreensão e o estudo das quatro vias dopaminérgicas, do sistema nervoso
30 central e dos aspectos envolvidos na neurotransmissão são fundamentais para entender a
31 vasta gama de funções desempenhadas por estas vias. Falhas nas estruturas de
32 neurotransmissão das vias dopaminérgicas mesolímbica e mesocortical, localizadas no

1 sistema nervoso central estão relacionadas com doenças graves, como a dependência
 2 química (SALAMONE e CORREA, 2012).



3
 4 Figura 4: Vias Dopaminérgicas. (A): Via Nigroestriatal; (B): Via Mesolímbica; (C): Via
 5 Mesocortical; (D): Via Tubero-infundibular.

6
 7 A via mesolímbica é uma das vias dopaminérgicas mais importantes, por suas
 8 funções destacadas com relação à dopamina. Está ligada diretamente ao sistema de
 9 recompensa cerebral. A via mesolímbica se origina na área tegmental ventral, uma região
 10 rica em neurônios dopaminérgicos e que cobre parte do mesencéfalo, e projeta os seus
 11 feixes nervosos para outras áreas importantes do sistema nervoso central, como o córtex
 12 pré-frontal, o hipocampo, o complexo amigdaloide e, também, para o *núcleo accumbens*.
 13 É no *núcleo accumbens* que a dopamina exerce, em um primeiro instante, sua ação como
 14 mediadora dos sentimentos de prazer e recompensa (ADINOFF, 2004).

15 Portanto, no instante em que um determinado indivíduo tem contato com um
 16 estímulo de caráter recompensador ou prazeroso, como comida, sexo ou drogas, ocorre
 17 liberação de dopamina cujos sinais percorrem da área tegmental ventral ao núcleo
 18 accumbens. O caminho feito pela dopamina possibilita a criação e o reforço de sensações

1 positivas que acabam por acentuar e modular o comportamento do indivíduo (SOMALWAR
2 et al., 2018).

3 Outra via dopaminérgica importante com projeções originadas na área tegmental
4 ventral é a via mesocortical, suas projeções se encaminham para o córtex pré-frontal. A
5 via mesocortical é uma das maiores vias dopaminérgicas junto com a via mesolímbica e
6 tem grande importância para o desenvolvimento normal das funções cognitivas,
7 recompensa, aprendizagem, atenção, tomadas de decisão, memória de trabalho e
8 resposta emocional. Está presente na fisiopatogênese da esquizofrenia, patologia em que
9 ocorre a diminuição de dopamina nessa via cerebral (DIAZ, 1996; MORGANE et al., 2005).

10 A via nigroestriatal tem projeções dopaminérgicas, com origem na substância nigra,
11 indo até o corpo estriado e integra os gânglios basais. A ativação da via nigroestriatal,
12 produz um aumento na liberação de dopamina no corpo estriado dorsal, e também produz
13 estereotipias. Essa via está relacionada com a locomoção e promove aprendizado dos
14 comportamentos do vício (RIVERA et al., 2013). Os neurônios dopaminérgicos presentes
15 nessa via são responsáveis pela estimulação motora voluntária e contém em torno de 80%
16 da reserva de toda a dopamina do sistema nervoso central (YADAV et al., 2014).

17 O Glutamato é um aminoácido proteinogênico presente em diversos tipos de
18 alimentos, tem grande importância para processos metabólicos como a gliconeogênese, a
19 glicólise e o ciclo dos ácidos tricarboxílicos (REEDS et al., 2000). O glutamato é um
20 neurotransmissor com grande importância para o SNC, principalmente por seus efeitos
21 excitatórios (CONTI, 1998). Ele está presente em cerca de 80% de todas as sinapses
22 nervosas, regula muitas emoções e está envolvido na formação de lembranças,
23 aprendizado e na atenção, assim como nas situações patológicas responsáveis por
24 diversas desordens neuropsiquiátricas agudas e crônicas como na dependência química,
25 ansiedade generalizada, dor neuropática, esquizofrenia e depressão, (LI et al., 2019;
26 MELDRUM, 2000; FEATHERSTONE, 2010).

27 Existem dois grandes grupos de receptores glutamatérgicos, os ionotrópicos
28 (iGluRs) e os metabotrópicos (mGluRs). Os receptores metabotrópicos se dividem em 3
29 grupos: grupo 1 (mGluR1 e mGluR5), grupo 2 (mGluR2 e mGluR3) e grupo 3 (mGluR4,
30 mGluR6, mGluR7 e mGluR8), (REINER e LEVITZ, 2018; BONSI et al., 2005). Os
31 receptores ionotrópicos podem ser divididos em três tipos: N-metil-D-aspartato (NMDA),
32 ácido-amino-3-hidroxi-5-metil-isoxazol-4-propionico (AMPA) e cainato (KA) (RUGGIERO et
33 al., 2011). Os receptores ionotrópicos NMDA, AMPA e KA possuem alguns subtipos e

1 esses são classificados conforme as diferentes combinações das subunidades proteicas
2 que os formam.

3 A neurotransmissão quando mediada pelos receptores ionotrópicos é rápida, pois o
4 fluxo de íons é afetado de maneira imediata (principalmente Na^+ e Ca^{2+}) e da mesma
5 forma o estado eletroquímico da membrana pós-sináptica (BOWIE, 2008). O receptor do
6 tipo AMPA é responsável pela maioria das transmissões sinápticas excitadoras rápidas, o
7 tipo KA participa nas respostas pós-sinápticas em sinapses excitadoras, podendo ainda
8 modular a liberação pré-sináptica do transmissor glutamato em determinadas sinapses,
9 enquanto o receptor do tipo NMDA é fundamental na indução de formas específicas de
10 plasticidade sináptica (ENGELHARDT, 2003).

11 Existem pelo menos sete vias glutamatérgicas (figura 5). A primeira via se chama
12 córtico-troncar, essa via tem projeções indo do cortéx até o tronco cerebral. A via córtico-
13 troncar descende dos neurônios piramidais corticais no córtex pré-frontal e seguem para o
14 tronco cerebral. É uma via glutamatérgica muito importante pois é a chave na regulação
15 da liberação de neurotransmissores, envolve também a substância nigra e VTA para a
16 dopamina, os núcleos da rafe para a serotonina e o locus coeruleus para a norepinefrina.

17 A segunda, via também descendente se projeta do córtex pré-frontal ao corpo
18 estriado dorsal (via glutamatérgica córtico-estriatal) ou pode ainda se projetar do córtex
19 pré-frontal ao núcleo accumbens no corpo estriado ventral (via glutamatérgica cortico-
20 accumbens), e constituem juntas a porção das alças cortico-estriatal-talâmica. Essas vias
21 descendentes de glutamato terminam nos neurônios GABA que têm como destino uma
22 estaçao de retransmissão chamada globo pálido, essa estação tem grande importância na
23 coordenação motora, na manutenção e aquisição de informações e processamento de
24 emoções (FOX *et al.*, 1974; CAMARGO *et al.*, 1981).

25 A terceira via glutamatérgica tem grande importância, pois algumas teorias vinculam
26 essa via específica à esquizofrenia. Esta via se projeta do hipocampo para o núcleo
27 accumbens e é conhecida como via glutamatérgica hipocampal-accumbens. Da mesma
28 forma que a segunda via glutamatérgica (vias córtico-estriatal e córtico-accumbens), a
29 terceira via também tem neurônios que saem do hipocampo e fazem sinapses com
30 neurônios gabaérgicos presentes no núcleo accumbens, que por sua vez se projetam para
31 uma estação de retransmissão no globo pálido.

1 A quarta via é uma via ascendente, os neurônios saem do tálamo e fazem sinapses
 2 com neurônios piramidais no córtex (via glutamatérgica tálamo-cortical). Normalmente,
 3 essa via está envolvida no processamento de informações sensoriais.

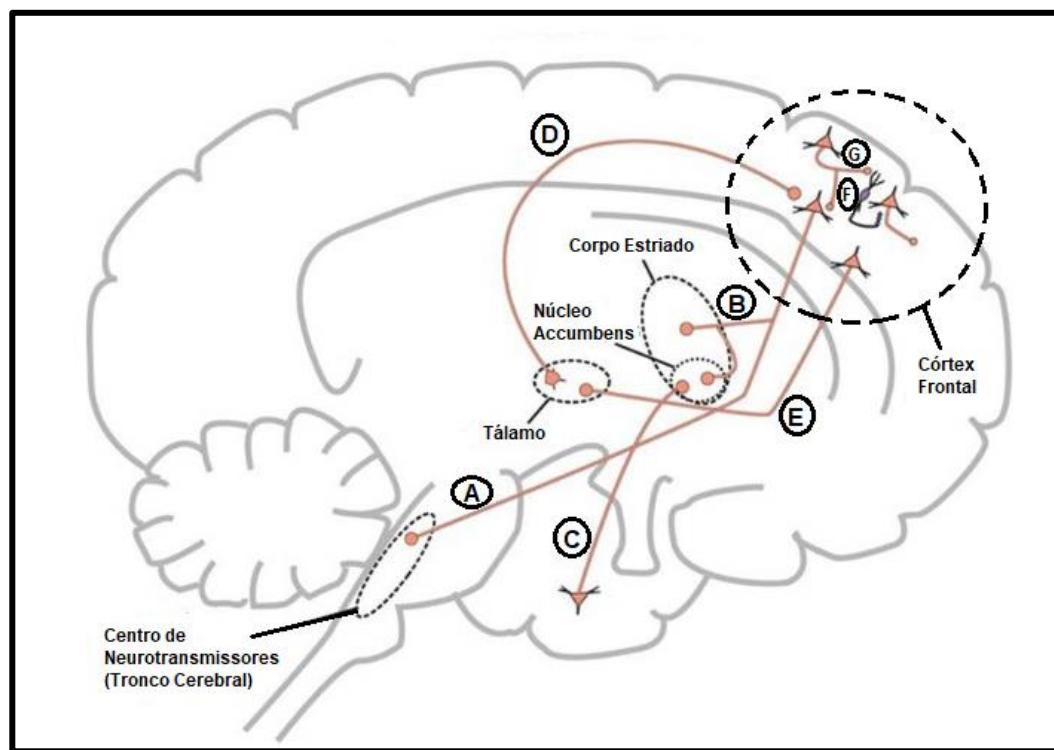
4 A quinta via é descendente, e segue do córtex pré-frontal indo até o tálamo (via
 5 glutamatérgica córtico-talâmica). Essa via pode direcionar a forma pela qual os neurônios
 6 reagem às informações sensoriais.

7 A sexta via é a córtico-cortical direta e é formada pelos neurônios piramidais
 8 intracorticais que liberam o neurotransmissor glutamato, que é excitatório.

9 A sétima via é a via córtico-cortical indireta, nessa via os neurônios piramidais fazem
 10 sinapses com interneurônios (que liberam o GABA) e estes fazem sinapses com neurônios
 11 presentes no córtex.

12 Quatro das sete vias glutamatérgicas se projetam a partir do córtex frontal e
 13 penetram em áreas mais profundas do cérebro, onde exercem controle sobre as estruturas
 14 neuroanatômicas como o corpo estriado ventral e dorsal, o núcleo accumbens, o tálamo e
 15 o tronco cerebral (STAHL, 2008; SCHWARTZ *et al.*, 2012).

16



17

Figura 5: Vias glutamatérgicas. (A): via córtico-troncar; (B): via córtico-estriatal e córtico-accumbens; (C): Via hipocampal-accumbens; (D): via tálamo-cortical; (E): via córtico-talâmica; (F): via córtico-cortical direta; (G): via córtico-cortical indireta.

4

Spencer e colaboradores (2016) esclarecem que o envolvimento da dopamina na dependência química não é completo, ou seja, ela contribui como o neurotransmissor responsável pelas associações relacionadas à droga como, por exemplo, o prazer, e, dessa forma, induzem ao desejo por voltar ao consumo da substância, enquanto que o glutamato é o maior causador da recaída após um tempo em abstinência, portanto a memória a longo prazo está mais ligada aos efeitos excitatórios do glutamato pelas vias descendentes do córtex (córtico-troncar, córtico-estriatal, córtico-accumbens, córtico-talâmica) no SNC.

Os opioides, como a morfina, provocam um aumento da atividade glutamatérgica e dopaminérgica na via mesolímbica, que acontece por meio da inibição dos neurônios gabaérgicos; dessa forma, ocorre diminuição do GABA e aumento da dopamina liberada pelos neurônios dopaminérgicos, por outro lado ocorre liberação pré-sináptica de glutamato por meio da desinibição por neurônios gabaérgicos no VTA (CHEN *et al.*, 2015; CHARTOFF e CONNERY, 2014). A morfina, quando administrada repetidamente em um ambiente determinado, produz uma série de neuroadaptações que levam ao desenvolvimento de tolerância, dependência e sensibilização. Assim como outras drogas de abuso, ela afeta as vias dopaminérgicas mesolímbica e mesocortical do SNC. A ativação do sistema dopaminérgico mesolímbico (círculo de recompensas) provoca um aumento na liberação de dopamina no *núcleo accumbens* e é responsável pelas propriedades de reforço dos fármacos (WISE, 1996; BARDO, 1998).

Existe uma diferença no curso temporal em que se tem um pico de atividade motora e níveis de concentração extracelulares no corpo estriado cerebral, para o glutamato, esse tempo é de 30 – 90 minutos, diferindo da dopamina, que ocorre entre 15 e 30 minutos após estímulo com psicoestimulantes como exemplo a morfina (GRAY *et al.*, 2001; RAWLS e MCGINTY, 2000).

A ativação das vias dopaminérgicas normalmente está ligada a respostas ocasionadas por riscos e recompensas imprevisíveis, enquanto que a ativação das vias glutamatérgicas é informativa sobre o contexto da situação (SCHULTZ, 2010).

33

1 3.6 Proteína Quinase Ativada por Mitógenos – ERK

2

3 A ERK é uma proteína quinase regulada por sinais extracelulares (mitógenos);
4 (JOHNSON e LAPADAT, 2002). A proteína é ativada de forma a coordenar a proliferação,
5 diferenciação e sobrevivência de muitos tipos de células, principalmente os neurônios no
6 SNC (LEWIS *et al.*, 1998; DAVIE e SPENCER, 2001; MORRISON, 2012).

7 Algumas doenças como o câncer, as síndromes imunológicas, inflamatórias e
8 degenerativas podem decorrer de defeitos na via relacionados à ERK (HINDLEY e KOLCH,
9 2002). Na dependência química, a sinalização celular está desregulada, o que ocasiona
10 um aumento dos níveis de produção de ERK, quando comparado ao aumento por
11 estímulos naturais, dessa forma contribuindo para a neuroplasticidade sináptica após
12 doses repetidas de drogas (GIRAUT *et al.*, 2007; SHIFLETT e BALLEINE, 2011).

13 A dopamina modula a transmissão de glutamato e controla a plasticidade estriatal
14 induzida por drogas de abuso por meio do receptor do tipo D1. Um dos principais alvos dos
15 receptores D1 presentes no estriado cerebral é a proteína quinase ativada por mitógenos
16 (ERK). A ativação da ERK por drogas de abuso se comporta como um fator integrador
17 chave de sinalização dos receptores dopaminérgicos tipo D1 e glutamatérgicos tipo NMDA,
18 formando um núcleo central de neuroplasticidade (WICKENS, 2009; CAHILL *et al.*, 2014).

19 Sanguedo e colaboradores (2015 e 2017), mostraram aumento da locomoção e
20 ativação da ERK1/2 no córtex pré-frontal, amígdala, núcleo accumbens, hipotálamo lateral
21 em grupos de ratos que receberam apomorfina (2,00 mg/kg), em dose alta, a substância
22 provoca aumento dos níveis circulantes de dopamina. Essas estruturas cerebrais são as
23 principais relacionadas ao circuito de recompensa e motivação e são também responsáveis
24 pela aquisição e manutenção da dependência química.

25 Mitra e Sinatra (2004) mostraram um aumento na densidade e alteração dos
26 receptores opioides acoplados à proteína G, após o uso crônico de morfina, juntamente
27 com a alteração dos receptores foi visto ainda uma maior ativação de ERK 1/2 em
28 indivíduos que se tornaram dependentes de opioides após passarem por procedimentos
29 cirúrgicos.

30 A ativação de ERK1/2 *in vivo* após uso de morfina sinaliza a expressão gênica em
31 regiões como o núcleo accumbens e amigdala, e dessa forma contribui para a sua

1 permanência a longo prazo (ASENSIO *et al.*, 2006). Ainda pode ocorrer sinalização no
2 início da abstinência com aumento da ERK 1/2 em regiões como amígdala, locus
3 coeruleus, hipotálamo, córtex cerebral, septo lateral (CICCARELLI *et al.*, 2013; HOFFORD
4 *et al.*, 2009).

5

6 **MATERIAL E MÉTODOS**

7

8 **4.1 Sujeitos**

9

10 Foram utilizados ratos machos, albinos, Wistar, pesando entre 200 - 250g, oriundos do
11 Biotério Central da UENF, Campos Dos Goytacazes, RJ. Os animais foram mantidos em
12 gaiolas individuais de plástico (BEIRA MAR, São Paulo) medindo 25x18x17 cm, com
13 acesso livre à ração padronizada de laboratório e à água. As gaiolas foram mantidas em
14 uma sala no setor de Farmacologia do Laboratório de Morfologia e Patologia Animal
15 (LMPA), com umidade e temperatura controladas ($22 \pm 2.0^{\circ}\text{C}$), e com ciclo de luz claro e
16 escuro de 12 em 12 horas (luz das 07:00 às 19:00 horas). O experimento foi conduzido na
17 fase clara, horário entre 09:00 e 14:00 horas. Os animais foram manipulados
18 individualmente, por um único indivíduo, pelo tempo de 5 minutos durante 7 dias antes do
19 início do procedimento experimental e foram habituados ao procedimento de injeção com
20 solução de veículo durante 3 dias antes do início do experimento. O presente projeto foi
21 aprovado pela comissão de ética de uso de animais (CEUA – UENF), sob o nº 029/2022,
22 Protocolo 473.

23

24 **4.2 Ambiente Experimental**

25

26 O presente experimento foi desenvolvido em quatro salas experimentais
27 padronizadas medindo 1,40 x 1,40 metros. Cada sala constituída de iluminação vermelha,
28 temperatura controlada ($22 \pm 2,0^{\circ}\text{C}$) e o som de um ventilador (30 cm de hélice) ligado em
29 cada uma das salas como ruído de fundo. Cada sala contendo uma arena quadrada
30 medindo 60x60x45cm, com assoalho e paredes pintados na cor preta. Para o registro do

1 comportamento locomotor, foram utilizadas câmeras (IKEGAMI, modelo ICD – 49 e
2 Panasonic, modelo WV – BP334), posicionadas 60 cm acima das arenas experimentais.
3 As câmeras ficaram acopladas a um computador PC compatível, contendo um programa
4 de análise de imagens, EthoVision (NOLDUS, Holanda), o qual estava localizado fora das
5 salas de experimento, o sistema quantificou a atividade locomotora em distância percorrida
6 (metros).

7

8 **4.3 Fármacos**

9

10 O sulfato de morfina (Dimorf 10mg/ml, Cristália®, São Paulo, SP, Brasil) foi utilizado
11 na dose de 10 mg/kg (VANDERSCHUREN *et al.*, 1997, 2001) e administrado por via
12 subcutânea (SC). O volume de administração foi de 1ml/kg.

13 A apomorfina-Hcl (Sigma-Aldrich®, Saint Louis, Mo, USA) foi utilizada na dose de
14 0,05 mg/kg, dissolvida em uma solução salina (NaCl 0,9%) e administrada por via
15 subcutânea (volume de administração: 1ml/kg).

16 O maleato de dizocilpina (MK-801, Sigma Aldrich®, Saint Louis, Mo, USA) foi
17 utilizado nas doses de 0,025 mg/kg, 0,1 mg/kg e 1.0 mg/kg por via subcutânea (SC). O
18 volume de administração foi de 1ml/kg.

19 A solução salina (NaCl 0,9%) foi utilizada como veículo na concentração de 1 ml/kg
20 e administrada por via subcutânea.

21 A solução Carbamato de etila (Urethane, Sigma Aldrich®, Saint Louis, Mo, USA) foi
22 utilizado na dose de 3000 mg/kg (CFMV, 2013; CEUA-UNIFESP, 2017; HENKE *et al.*,
23 2016) e administrado por via intraperitoneal. O volume de administração foi de 15 ml/kg.

24 As soluções foram preparadas antes de cada dia experimental.

25 **4.4 Procedimento Experimental**

26

27 Os experimentos foram conduzidos segundo o protocolo experimental de Carrera e
28 colaboradores (2013), Carrera e colaboradores (1995), Miczek e colaboradores (2011),
29 Crespo e colaboradores (2022) com modificações.

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2 **5. MANUSCRITOS**

3

4 Os dois manuscritos com os dados desta tese serão apresentados em dois
5 capítulos:

6 Primeiro capítulo, o manuscrito “Morphine and dopamine: Low dose apomorphine
7 can prevent both the induction and expression of morphine locomotor sensitization and
8 conditioning”, submetido à revista “Behavioural Brain Research” foi apresentado.

9 Segundo capítulo, o manuscrito MK-801 “Induces dose dependent stimulant
10 sensitization effects but dose independent conditioned stimulant effects: MK-801 effects on
11 sensory information processing versus learning and memory, submetido à revista
12 “Psychopharmacology” foi apresentado.

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25 **5.1 - Capítulo I**

26 **Morphine and dopamine: Low dose apomorphine can prevent both the induction**

1 **and expression of morphine locomotor sensitization and conditioning.**

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1 **Abstract**

2
3 The disinhibition of dopamine neurons in the VTA by morphine is considered an important
4 contributor to the reward potency of morphine. In this report, three separate experiments
5 were conducted in which a low dose of apomorphine (0.05 mg/kg) was used as a
6 pretreatment to reduced dopamine activity in the brain. Locomotor hyperactivity was used
7 as the behavioral response to morphine (10.0 mg/kg). In the first experiment, five
8 treatments with morphine induced the development of locomotor and conditioned
9 hyperactivity. Apomorphine, given 10 min prior to morphine, prevented the development
10 of morphine-induced hyperactivity and conditioning. Apomorphine before either vehicle or
11 morphine injections induced equivalent reductions in spontaneous activity in the vehicle-
12 and morphine-treated groups. In the second experiment, a pretreatment with apomorphine
13 was performed after the morphine-induced conditioned hyperactivity and apomorphine
14 eliminated the expression of the morphine-conditioned response. To assess the effects of
15 the apomorphine on VTA and the nucleus accumbens, ERK measurements were carried
16 out. Morphine increased ERK activation in these encephalic areas and the increase in ERK
17 activity was associated with enhanced locomotion. A third experiment was conducted to
18 assess the effects of acute morphine on ERK before locomotor stimulation was induced
19 by morphine. Acute morphine did not increase locomotion but a robust ERK response was
20 produced. The increases in ERK activation were impaired by the apomorphine. The results
21 provide substantial support for the importance of ongoing activity in dopamine neurons for
22 the efficacy of morphine disinhibition of dopamine reward systems in the mediation of
23 morphine behavioral sensitization and conditioning.

24 **Key words:** Morphine; Dopamine; VTA; ERK; Behavioral sensitization; Drug conditioning.

1 **1. Introduction**

2
3 It has been well established that dopamine neurons in the ventral tegmental area (VTA) are
4 of substantial importance in the mediation of behavioral reward effects [12, 18, 41]. Not
5 surprisingly, dopaminergic drugs that can mediate reward effects also activate VTA
6 dopaminergicneurons [17, 36, 40]. Morphine can also activate VTA dopaminergic neurons
7 but, unlike psychostimulant dopaminergic drugs, morphine produces this pharmacological
8 action indirectly by a disinhibitory and inhibitory effects. Morphine binds to μ -opioid
9 receptors located on γ - aminobutyric acid (GABA)ergic neurons that inhibit VTA
10 dopaminergic neurons. This inhibitionof GABAergic neurons by morphine recruiting μ -
11 opioid receptor-signaling can reduce the GABAergic inhibition on dopaminergic neurons
12 and thereby enhance the ongoing activity in the dopaminergic neurons [6, 16, 21, 23, 34,
13 38]. Somewhat analogous to the indirect effects of morphine on VTA dopaminergic
14 neurons, it has been suggested [8, 11] that morphine-induced behavioral increases in
15 spontaneous activity [20, 26, 28, 35, 39] are not a result of a direct morphine activation of
16 the motor system but rather a consequence of morphine activation of VTA-induced reward
17 effects that adventitiously occur in association with ongoing spontaneous activity and
18 reinforce and potentiate the spontaneous behavior.

19 The magnitude of the impact of the disinhibitory effect of morphine on dopaminergic
20 neurons to increase dopamine activity is presumably related to the level of the activity of
21 the dopaminergic neurons. Seemingly, the lower the ongoing activation state of VTA
22 dopaminergic neurons, the less the magnitude of the increase in VTA dopamine activity
23 induced by a reduction of GABAergic inhibition. Clearly, if the dopaminergic system is
24 inactive then the morphine disinhibitory effects would not increase VTA dopaminergic
25 system reward activation and, consequently, there would be no reinforcement and
26 potentiation of spontaneous behavioral

1 activity. One pharmacologically effective treatment for reducing activity in dopaminergic
2 neurons is the use of a low dose of apomorphine. At low dose range in rats (<0.1 mg/kg),
3 apomorphine can induce inhibition of dopaminergic neurons by preferential stimulation of
4 dopamine autoreceptors, thereby inhibiting dopaminergic activity in the brain [2, 7, 10, 24].
5 This work was undertaken to use the pretreatment with apomorphine (0.05 mg/kg)
6 followed by the treatment with morphine to reduce ongoing activity in dopaminergic
7 neurons. We measured the effects of apomorphine pretreatment on morphine-induced
8 effects on spontaneous activity and on extracellular signal regulated kinase activity (ERK)
9 in subcortical brain areas implicated in dopamine-related reward system nuclei namely the
10 VTA and nucleus accumbens (NAc). The rationale for measuring ERK in these encephalic
11 structures was based on findings that have reported increases in ERK activity in
12 dopaminergic projection areas [1, 14, 22, 29] including the NAc [5, 13, 19, 37] following
13 treatment with psychostimulant drugs such as cocaine. Additionally, in previous studies
14 [30, 31, 32, 33] focused on apomorphine behavioral sensitization, we showed that this
15 sensitization was associated with a strong ERK signal in brain dopaminergic systems. In
16 the present study, we used ERK as an indicator of activation in VTA and NAc subcortical
17 areas associated with reward systems. This report details the effects of a low dose
18 apomorphine pretreatment on morphine-induced behavioral sensitization and
19 conditioning, and ERK activity in the VTA and NAc of laboratory animals submitted to three
20 independent experiments.

1 **2. Method**

2 2.1. Subjects

3 Male Wistar rats provided by the Universidade Estadual do Norte Fluminense Darcy
4 Ribeiro, initially weighing 200-300 g, were housed in individual plastic cages (25 X 18 X
5 17 cm) until the end of the experiment. Food and water were freely available at all times.
6 The vivarium was maintained at a constant temperature (22 ± 2 °C), and a 12h/12h
7 light/dark cycle (lights on at 7 a.m. and off at 7 p.m.). All experiments were performed
8 between 8 a.m. and 12 p.m. For 7 days prior to all experimental procedures, each animal
9 was weighed and handled daily for 5 min. This process included being placed in a transport
10 cage and taken to the injection administration bench located in an anteroom adjacent to
11 the experimental testing room. The animals were given vehicle(VEH) injections. In this
12 way, the animals were familiarized with the handling and injection aspects of the testing
13 protocol. All the experiments were performed in compliance with Brazilian Council for
14 Animal Experimentation (CONCEA), which are based on the US National Institutes of
15 Health guide for the care and use of laboratory animals (NIH Publications No. 8023,
16 revised 1978).

17
18
19 2.2. Drugs

20
21 Morphine sulfate (Cristália, São Paulo, Brazil) was used from 10 mg ampoules (1 ml) and
22 was injected subcutaneously in the nape of the neck at a dose of 10 mg/kg. Apomorphine-
23 HCl (Sigma, St. Louis, MO, USA) was dissolved in 0.9% NaCl solution and was injected
24 subcutaneously in the nape of the neck in a dose of 0.05 mg/kg. Physiological saline was
25 used as

1 vehicle (VEH). All doses were administered in a volume of 1.0 ml/kg body weight. Drug
2 solutions were freshly prepared before each experiment.

3
4

5 2.3. Apparatus and behavioral measurements

6
7 The behavioral measurements were conducted in a black open field test enclosure (60 x
8 60x 45 cm). A closed-circuit camera (IKEGAMI, model ICD-49; Ikegami Electronics Inc.,
9 Torrance, California, USA) mounted 60 cm above the arena was used to record behavioral
10 data. Locomotion, measured as distance traveled (m), was automatically analyzed using
11 EthoVision (Noldus, the Netherlands). The complete test procedure was conducted
12 automatically without the presence of the experimenter in the test room. All behavioral
13 testing was conducted under dim red light that favors exploratory behavior and avoids the
14 possible aversive quality of white light [25] as well as to enhance the contrast between the
15 white subject and the dark background of the test chamber and reduce the animal's shadow.

16
17

18 2.4. General experimental procedure

19
20 Initially, all rats were acclimated to the test arena by being injected with VEH and placed
21 in the arena for 30 min on 3 successive days (habituation phase). The habituation protocol
22 was conducted so that a stable baseline of the locomotor behavior could be established
23 prior to the start of the drug treatments. On the basis of the locomotion distance scores
24 from the third habituation session, groups were formed that equated for the distance
25 scores. Next, the groups received their pharmacological treatment immediately prior to the
26 5 min placement in the open-field arena and the locomotor activity was measured. These
27 treatments were administered for 5 consecutive days

1 (experiments 1 and 2), one trial per day, and were designed as the induction phase. On
2 the following day, the final test was performed, in which the animals received their
3 treatment immediately before being placed into the open-field arena for a 5-min session.
4 Immediately upon completion of the final test, the animals were euthanized, intracardially
5 perfused with physiological saline and fixative and immunochemistry was performed.
6 Figure 1A shows the experimental timeline.

7
8
9 2.5. Experiment 1: Effect of a low dose of apomorphine pretreatment on the acquisition
10 of morphine-induced locomotor sensitization, conditioning, and ERK activity in VTA
11 and NAc.

12 The protocol described in the general experimental procedure was followed. In the
13 induction phase, the rats received the first injection and were then put back into home-
14 cage and after 10 min they received the second injection and were immediately placed
15 into the open-field test arena (Fig. 1C). There were 2 VEH+VEH groups, when rats
16 received 2 injections of VEH; 2 VEH+MOR, in which the first injection was VEH and the
17 second was morphine at a dose of 10 mg/kg (MOR); 2 APO+VEH groups in which the first
18 injection was apomorphine at 0.05 mg/kg (APO) and the second was VEH and; 2
19 APO+MOR groups in which the first injection was apomorphine at 0.05 mg/kg (APO) and
20 the second was MOR at 10 mg/kg. For the final test, all groups received VEH as the first
21 injection, and for the second injection, received either VEH or MOR. The experimental
22 groups (n=7 for each group) were labeled in terms of (a) their induction treatments /(b)
23 their final test treatments. The treatment groups are summarized and presented in Table
24 1.

1 2.6. Experiment 2: The effect of APO on the expression of morphine-induced conditioned
2 locomotor stimulation.

3 The protocol described in the general experimental procedure was followed. In the
4 induction phase, the rats received the first injection and were put back into home-cage.
5 After 10 min they received the second injection and were immediately placed into the open-
6 field test arena. There were 2 VEH+VEH groups, in which rats received 2 injections of VEH,
7 and 2 VEH+MOR groups, in which the first injection was VEH and the second was
8 morphine at a dose of 10 mg/kg(MOR). After completion of the 5 sessions induction phase,
9 the VEH/MOR groups developed a strong locomotor stimulant response and the next day
10 a conditioning test was conducted to assess the effects of APO on the expression of the
11 conditioned MOR response. For the conditioning test, the two VEH/MOR groups were
12 divided into 2 groups (n=7) with comparable locomotor stimulant responses, and one group
13 received two injections of VEH, while the other group received APO as the first injection
14 and VEH as the second injection. The experimental groups (n=7 for each group) were
15 labeled in terms of (a) their induction treatments / (b) their final test treatments. The
16 treatment groups are summarized and presented in Table 2A.

17
18
19 2.7. Experiment 3: Acute 1-day experiment.
20
21 After the 3 days of the habituation phase, that was used in the previous two experiments,
22 the rats received the first treatment injection and were put back into home-cage and after
23 10 min. they received the second treatment injection and immediately were placed into the
24 open-field test arena. All groups received a one day 5 min test and immediately upon
25 completion of the test the animals were euthanized, perfused and immunochemistry was
26 performed. The objective of this experiment was to assess the effects of morphine on
27 ERK activity in the VTA and NAc before

1 locomotor stimulant effects were induced. There were 4 groups (n=6 for each group):
2 VEH+VEHgroup in which rats received 2 injections of VEH; VEH+MOR group in which the
3 first injection was VEH and the second was morphine at 10 mg/kg (MOR); APO+VEH in
4 which the first injection was apomorphine at 0.05 mg/kg (APO) and the second injection
5 was VEH; andAPO+MOR group where the first injection was APO and the second injection
6 was MOR. The treatment groups are summarized and presented in Table 2B.

7
8
9 2.8. Immunohistochemistry
10
11 The immunohistochemistry protocol was conducted as previously described by Crespo and
12 co-workers [8] and Dias and co-workers [11]. In brief, rats were rapidly anaesthetized by
13 intraperitoneal injection of urethane (15 ml//kg; Sigma Aldrich, Saint Louis, MO, USA) prior
14 to intracardiac perfusion with 4% paraformaldehyde (500 ml) in 0.1 M sodium phosphate
15 buffer (pH7.4) for 30 min. The encephalon of each rat was removed and post-fixed for 2 h
16 in 4% paraformaldehyde solution before transferring to 20% sucrose in 0.1 M sodium
17 phosphate buffer (pH 7.4) for 48 h at 4 °C. Each brain was placed on an aluminum paper
18 base and cryoprotected using a solution of water-soluble glycols and resins (Tissue Tek®
19 O. C. T. Sakura Finetek®, USA).The brain tissue was then frozen and maintained in liquid
20 nitrogen until being processed for immunohistochemistry.

21 The encephalic areas sampled for the immunohistochemical analysis were ventral
22 tegmental area (approximately -4.80 mm to -5.04 mm from bregma) and nucleus
23 accumbens (core and shell approximately +2.52 mm to +2.76 mm from bregma). The
24 coordinates adopted as the reference were obtained from Paxinos and Watson [27]. Four
25 slices were collected sequentially from each brain structure of each animal and sectioned
26 in a cryostat (Zeiss, Germany) at a thickness

1 of 30 µm. The sections were placed onto previously gelatinized glass microscope slides to
2 allow fixation. For immunohistochemistry, sections were rinsed three times for 10 min in
3 phosphate- buffered saline (PBS) and placed in blocking buffer (3% normal goat serum
4 and 0.25% Triton X-100 in PBS) for 1 h at 22 °C. The sections were then treated with a
5 freshly prepared solution of hydrogen peroxide (3% vol/vol) in chilled absolute methanol
6 for 10 min at 22 °C. Sections were then incubated for 24 h at 4 °C in 1:500 dilution of anti-
7 phosphorylated-ERK protein antibody diluted in blocking buffer (Cat # 9101, Cell Signaling
8 Technology®, Boston, MA, USA) as previously described. After the end of the incubation
9 time, sections were washed 3 times for 10 min each in PBS and incubated at 22 °C with a
10 1:100 dilution of biotinylated goat anti-rabbit IgGsecondary antibody (BA-1000, Vector
11 Laboratories®, CA, USA) in 1% normal goat serum and 0.25% Triton X-100 in PBS.
12 Sections were then washed three times for 10 min in PBS and processed using an ABC
13 Elite kit (Vector Laboratories ®, Burlingame, CA, USA). In the next step, sections were
14 washed again in PBS and processed with a DAB substrate kit for peroxidase (SK-4100,
15 Vector Laboratories®, Burlingame, CA, USA) and incubated in DAB substrate
16 simultaneously and timed precisely at 22 °C for 3 min for color development of signal
17 intensity. Sections were lightly counterstained with hematoxylin. After drying, the slides
18 were mounted withDPX (Sigma ®, USA).

19 Photomicrographs of the brain sections were obtained using a CCD camera (Nikon
20 Photometrics Cool Snap) attached to a light microscope (Nikon 80i, USA). Digital images
21 were obtained at low magnification (10X) from regions of the brain identified from the
22 Paxinos and Watson [27] rat brain atlas and the labeled nuclei from each brain structure
23 of each animal were quantified using the Image J® software "multi-point" tool. The number
24 of labeled neurons was counted bilaterally from four sections and the average count of
25 labeled neurons for these sections

1 was used as the score for each animal. The number of p-ERK-labeled cells was normalized
2 to the area of the quadrant counted. In order to demonstrate details of the ERK-labeled
3 cells, specific sections were photographed at higher magnification (40X).
4 Negative control slices were incubated with normal serum instead of primary antibody
5 (data not shown). In order to minimize any potential bias in the scoring, two experimenters
6 unaware of the treatment groups independently performed the labeled nuclei counts. The
7 counts obtained by each experimenter for each encephalic area and for each group were
8 compared using Student's t-tests and no statistically significant differences ($p>0.05$) were
9 found between groups.

10
11
12 2.9. Statistics
13
14 After the determination of normal distributions of data using the Shapiro-Wilk normality
15 test, considering the induction treatment phase, a repeated two-way analysis of variance
16 (ANOVA) was used in order to determine the group effect, day effect, as well as the
17 interactions between both factors. When a significant effect of interaction was recorded,
18 data were further statistically evaluated by one-way ANOVA followed by Tukey's test with
19 $p<0.05$ as the criterion for statistical significance. For the final test, a one-way ANOVA was
20 performed followed by Tukey's post-hoc tests using $p<0.05$ as the criterion for statistical
21 significance. For the morphine induced ERK activation analysis, a one-way ANOVA was
22 performed followed by Tukey's post-hoc tests using $p<0.05$ as the criterion for statistical
23 significance.

1 **3. Results**

2
3 3.1 Experiment 1: Effect of a low dose of apomorphine pretreatment on the acquisition of
4 morphine-induced locomotor sensitization, conditioning, and ERK activity in the VTA and
5 NAc.

6 Figure 2 shows the locomotor activity over the course of days 1 to 5 of the induction phase.
7 According to a repeated two-way ANOVA, there were significant effects of interaction of
8 groupsX days [$F(28, 192) = 12.75; p<0.01$], of groups [$F(7, 48) = 45.30; p<0.01$], and of
9 days of treatment [$F(4, 192) = 10.10; p<0.01$]. According to a one-way ANOVA followed
10 by Tukey's post hoc test, to further analyze the interaction of group X days, on day 1 there
11 was a significant difference among the groups [$F(7, 48) = 11.11; p<0.01$], the groups that
12 received apomorphine at a dose of 0.05 mg/kg before being placed in the arena had lower
13 locomotion than all other groups(Tukey's post hoc test; $p<0.05$). There was no significant
14 difference between the groups that received vehicle only and the groups that received
15 morphine at a dose of 10 mg/kg (Tukey's posthoc test; $p>0.05$). On day 2 [$F(7, 48) = 9.0;$
16 $p<0.01$], the results showed that the VEH+MOR groups had higher locomotion than the
17 APO groups ($p<0.05$). On day 3 [$F(7, 48) = 32.50; p<0.01$], day 4 [$F(7, 48) = 51.70;$
18 $p<0.01$], and day 5 [$F(7, 48) = 84.0; p<0.01$], the VEH+MOR groups
19 had higher locomotion than all other groups ($p<0.05$). The APO, APO+MOR and
20 APO+VEH groups had significantly lower locomotion than all other groups ($p<0.01$). For
21 both VEH+MOR groups, there was a significant increase in locomotion across the days of
22 the induction phase ($p<0.01$).

23 Figure 3 shows the locomotor activity during the final test. According to a one-way ANOVA,
24 there was a significant difference among the groups [$F(7, 48) = 106.43; p<0.01$], and the
25 VEH+MOR/VEH+MOR and VEH+MOR/VEH+VEH groups had higher locomotion than the
26 other groups (Tukey's post hoc test; $p<0.01$). These findings suggest that the conditioned

1 morphine locomotor stimulant response was equivalent to the morphine induced locomotor
2 stimulant response. The APO+MOR/VEH+MOR group had the highest locomotion of all
3 other groups ($p<0.05$), except the APO+MOR/VEH+VEH group, which was not
4 significantly different ($p>0.05$). There was no significant difference between the
5 APO+MOR/VEH+MOR group and the APO+MOR/VEH+VEH group ($p>0.05$). In addition,
6 rats from the APO+MOR/VEH+VEH group had higher locomotion than the
7 VEH+VEH/VEH+VEH group ($p<0.05$). There was neither a significant difference among
8 the APO+MOR/VEH+VEH-, APO+VEH/VEH+VEH-, APO+VEH/VEH+MOR- and
9 VEH+VEH/VEH+MOR-treated groups ($p>0.05$), nor among the VEH+/VEH+VEH-,
10 APO+VEH/VEH+VEH-, APO+VEH/VEH+MOR- and
11 VEH+VEH/VEH+MOR-treated groups ($p>0.05$).

12 Figure 4 shows the values for ERK immunoreactive nuclei for the ventral tegmental area.
13 Regarding the number of Phosphor ERK protein immunoreactive nuclei (Fig. 4A),
14 according to a one-way ANOVA, there was a significant effect of the treatment [$F(7, 48) =$
15 89.01; $p<0.01$]. VEH+MOR/VEH+MOR and VEH+MOR/VEH+VEH groups had a
16 significantly higher number of phosphor ERK immunoreactive nuclei than all other groups
17 (Tukey's post hoc test; $p<0.01$). Figures 4B-D show examples of the sections used for
18 counting phosphorylated-ERK- immunoreactive cells in the VTA following VEH, MOR, and
19 APO administration.

21 Figure 5 shows the values for ERK immunoreactive nuclei in the nucleus accumbens.
22 Regarding the number of Phosphor ERK immunoreactive nuclei (Fig. 5A), according to a
23 one-way ANOVA, there were significant differences among the treatments [$F(7, 48) =$
24 94.91; $p<0.01$]. VEH+MOR/VEH+MOR and VEH+MOR/VEH+VEH groups had a
25 significantly higher number of phosphor ERK immunoreactive nuclei than all the other
26 groups (Tukey's post hoc test; $p <$

1 0.01). Figures 4B-D show examples of the sections used for counting phosphorylated-
2 ERK- immunoreactive cells in the NAc following VEH, MOR, and APO administration.

3
4

5 3.2. Experiment 2: Effect of a low dose of apomorphine on the expression of conditioned
6 morphinelocomotor stimulant effects, and ERK activity in VTA and NAc.

7 Figure 6 shows the locomotor activity over the course of days 1-5 induction phase.
8 According to a repeated two-way ANOVA, there was a significant effect of interaction
9 between groups X days [$F(12, 96) = 15.34; p < 0.01$], of groups [$F(3, 24) = 33.32; p < 0.01$],
10 and of days oftreatment [$F(4, 96) = 9.52; p < 0.01$]. According to one-way ANOVAs followed
11 by Tukey's post hoc test on day 1, there was no significant difference among the groups [F
12 ($3, 24) = 0.30; p > 0.05$]. On day 2 [$F(3, 24) = 30.0; p > 0.05$], day 3 [$F(3, 24) = 33.0;$
13 $p < 0.01$], day 4 [$F(3, 24) = 23.45;$
14 $p < 0.01$], and day 5 [$F(3, 24) = 37.61; p < 0.01$], the VEH+MOR-treated groups had
15 significantly higher locomotion than all of the VEH-treated groups (Tukey's post hoc test;
16 $p < 0.01$).

17 Figure 7 shows the locomotor activity during the final test. According to a one-way ANOVA,
18 showed that there was a significant effect of treatment [$F(3, 24) = 120.0; p < 0.01$]. The
19 VEH+MOR/VEH+VEH-treated group had the highest locomotion score (Tukey's post hoc
20 test; $p < 0.01$), indicative of a strong conditioned morphine response. There was no
21 significant difference between the VEH+VEH/VEH+VEH- and VEH+MOR/APO+VEH-
22 treated groups ($p > 0.05$), indicating a complete blockade of the expression of the morphine-
23 induced conditioned response.

24 Figure 8 shows the ERK values for the ventral tegmental area and for the nucleus
25 accumbens. Regarding the number of Phosphor ERK protein immunoreactive nuclei for
26 the VTA(Fig. 8A), according to a one-way ANOVA, there was a significant effect of

1 treatment [$F(3, 24) = 8.0; p < 0.01$]. The VEH+MOR/VEH+VEH-treated group had a higher
2 number of phosphors ERKimmunoreactive nuclei than all other groups (Tukey's post hoc
3 test; $p < 0.01$) and the APO pretreatment blocked the ERK response. Considering the
4 number of Phosphor ERK protein immunoreactive nuclei for the NAc (Fig. 8C), according
5 to a one-way ANOVA, there was a significant effect of treatment [$F(3, 24) = 11.50; p < 0.01$].
6 The VEH+MOR/VEH+VEH-treated group had a higher number of phosphors ERK
7 immunoreactive nuclei than all other groups (Tukey's post hoc test; $p < 0.01$), and the APO
8 pretreatment blocked the ERK response. Figures 8Band 8D show examples of the sections
9 used for counting phosphorylated-ERK-immunoreactive cells in the VTA and NAc following
10 VEH, MOR, and APO administration.

11
12
13 3.3. Experiment 3: Acute 1-day experiment.
14
15 Figure 9 shows the locomotor activity during the one-day test session. According to a one-
16 way ANOVA, there was a significant effect of treatment [$F(3, 20) = 69.30; p < 0.01$]. The
17 VEH+VEH- and VEH+MOR-treated groups had higher locomotion than the APO+VEH-
18 and APO+MOR-treated groups (Tukey's post hoc test; $p < 0.01$). Importantly, the
19 VEH+VEH and VEH+MOR groups did not significantly differ in activity levels ($p > 0.05$).
20 Figure 10 shows the ERK values for the ventral tegmental area and for the nucleus
21 accumbens. Regarding the number of Phosphor ERK protein immunoreactive nuclei for
22 the VTA(Fig. 10A), according to a one-way ANOVA, there was a significant effect of
23 treatment [$F(3, 20)$
24 = 48.0; $p < 0.01$]. The VEH+MOR-treated group had a higher number of phosphors ERK
25 immunoreactive nuclei than all the other groups ($p < 0.01$). Regarding the number of
26 Phosphor ERKprotein immunoreactive nuclei for the NAc (Fig. 10C), according to a one-
27 way ANOVA, there was a significant effect of treatment [$F(3, 20) = 55.31; p < 0.01$]. The

1 VEH+MOR-treated group had a higher number of phosphors ERK immunoreactive nuclei
2 than all the other groups (Tukey's post hoc test; p<0.01). Figures 10B and 10D show
3 examples of the sections used for counting phosphorylated-ERK-immunoreactive cells in
4 the VTA and NAc following VEH, MOR, and APOadministration.

5
6

7 **4. Discussion**

8
9 In two separate experiments, morphine (10.0 mg/kg) administered immediately prior to 5
10 min placements in an open field test arena generated a marked hyperactivity. In both
11 experiments, the first morphine treatment had no significant effect on activity level as
12 compared to vehicle controls. Hyperactivity began to emerge by the second treatment with
13 morphine and steadily increased with repeated treatments. In the first experiment,
14 morphine groups that were given a pretreatment with apomorphine (0.05 mg/kg) 10 min
15 before the morphine injection had a substantial reduction in spontaneous activity during
16 the first test session and that reduction persisted unchanged over the course of the five
17 test sessions. Vehicle-treated groups that received the apomorphine pretreatment 10 min
18 before placement in the test arena also had a reduction in spontaneous activity that was
19 indistinguishable from the combined apomorphine/morphine treatment. After completion
20 of these five test sessions, the rats from different groups were given an additional test to
21 assess if the drug induced changes in spontaneous activity were conditioned to the test
22 environment contextual cues. In the conditioning test the groups that received morphine
23 without the apomorphine pretreatment remained hyperactive regardless of whether they
24 received either morphine or vehicle immediately pretest or not, indicating that the
25 morphine-induced hyperactivity response was conditioned to the test arena cues. In
26 contrast, the groups that had received the apomorphine pretreatment with or without
27 morphine were hypoactive throughout the

1 five-day acquisition phase and when tested for a conditioned drug response exhibited either
2 vehiclelevel activity or were slightly more active than the vehicle-treated groups. Whereas
3 morphine stimulant effects were conditioned to test arena cues the apomorphine response
4 inhibitory effectswere not conditioned.

5 While the initial acquisition experiment established that apomorphine prevented the
6 development of sensitization and conditioning of morphine-induced hyperactivity, the
7 second experiment was conducted to determine if the apomorphine would also block the
8 expression of the morphine conditioned stimulant effect. As in the first acquisition
9 experiment, morphine administered immediately before testing did not elicit a hyperactivity
10 response on the first test session, but hyperactivity developed progressively over the five
11 test sessions. These morphine- treated groups were then tested for a conditioned stimulant
12 response. One morphine-treated group received the apomorphine pretreatment 10 min
13 pretest and the other morphine group received vehicle 10 min pretest. The morphine-
14 vehicle pretreatment group exhibited a strong conditioned stimulant response whereas the
15 morphine-treated group given the apomorphine pretreatment did not have a conditioned
16 stimulant response, and its behavioral response was equivalent to the vehicle-treated
17 control group. Thus, apomorphine prevented both the acquisition and expression of the
18 morphine conditioned stimulant response.

19 The rationale for using a test protocol, in which the behavioral testing is done immediately
20 post-injection and is brief (5 min), was developed in our recent study [8]. The behavioral
21 and ERKeffects of the morphine treatments in the vehicle morphine groups in the present
22 report essentiallyreplicate this previous report. While no unpaired morphine groups were
23 used in our experiments, we have shown previously [11] that the same morphine
24 treatments (10.0 mg/kg) given unpaired 15 min after a 5 min test session are not
25 significantly different from vehicle injections given

1 immediately pretest and do not generate hyperactivity effects to test either cues or
2 morphine. Thus, the immediate morphine pretest injection stimulant effects are not context
3 independent sensitization effects.

4 Ostensibly, the present conditioning findings do not readily fit into a Pavlovian drug
5 conditioning framework. That is, morphine did induce a strong behavioral stimulant
6 response but initially did not elicit an unconditioned behavioral stimulant drug response so
7 that there was no initial unconditioned behavioral response to be associated with the test
8 arena cues. The ERK findings, however, appear to have relevance to the morphine
9 conditioning effects. That is, while there was no behavioral response elicited by morphine
10 in the first arena test session in the first two experiments, the ERK findings obtained in
11 experiment three, the acute treatment-related experiment, showed that there was a strong
12 ERK response evoked during the first test session, in which no behavioral response was
13 elicited. From a conditioning perspective, the ERK response could be considered an
14 unconditioned response. This unconditioned response could be viewed in terms of either
15 instrumental or Pavlovian conditioning. When considered in terms of instrumental
16 conditioning, the ERK activation in the VTA could be seen as the elicitation of a reward
17 response that served to reinforce and enhance the preceding behavioral activity and
18 possibly even exerting this effect on the behavioral trace during the post-trial consolidation
19 process. In line with this latter consideration, we have demonstrated in several previous
20 reports [8, 9, 11] that morphine (10 mg/kg) administered immediately after a brief 5 min
21 exposure to a test arena induced a marked behavioral stimulant response, whereas the
22 same morphine treatment given 15 min after removal from the test arena was without effect
23 [11]. This analysis seems to align with an operant conditioning process wherein morphine
24 administered after a lever press response increases the frequency of the response as the
25 morphine reward effect is associated with the lever press response

1 trace. The response trace to a lever press response is brief [15]. In that the lever press
2 response trace is occurring in the context of ongoing behavior responses, the lever press
3 trace would quickly be replaced by subsequent occurring response traces, so the
4 reinforcement necessarily needs to be precisely timed to immediately follow the emission of
5 the lever press response. In the present open-field testing arrangement, the initial 5 min
6 sensory/motor exploration of the open-field test arena occurs concurrently with the
7 activation of VTA and NAc as manifested in the ERK activation. Thus, it can be argued that
8 this apparent activation of reward systems during this initial locomotor activation serves to
9 reinforce and strengthen this behavior analogous to a conventional instrumental
10 reinforcement [3, 4].

11 In contrast, to conventional instrumental conditioning in which the experimenter delivers
12 the reward following a specified response in this open-field test drug treatment
13 arrangement, the drug treatment is administered so the drug induced activation of reward
14 systems occurs during a prepotent locomotor exploration response. This arrangement
15 seemingly creates the opportunity for a Pavlovian/Instrumental conditioning fusion. The
16 drug induced Pavlovian unconditioned response of activation of reward brain areas
17 becomes associated with the test arena cues and consequently can reinforce and
18 strengthen the associated occurring behavior. Consequently, the conditioning effects
19 occur as both locomotor stimulation and ERK activation of selected brain reward areas.
20 This creates an interesting situation in which the non-drug conditioning tests would appear
21 to be able to function as additional drug treatment sessions. Indeed, in the conditioning tests
22 we performed either with or without morphine, both the locomotor and ERK responses
23 evoked were equivalent. When considered in this way, it would seem possible that the initial
24 drug induced behavior could, following conditioning, be maintained independent from
25 further drug use. This is

1 an issue of both theoretical importance as well as pragmatic importance from the
2 perspective of drug addiction.

3 In the groups treated with apomorphine, a strong inhibitory response was elicited with the
4 first drug treatment so this inhibitory response seemingly could become associated with
5 the arenatest cues. This inhibitory response, however, was not conditioned. In that low
6 dose apomorphine decreases dopamine activity broadly [7], including sensory/motor and
7 reward systems, the absenceof inhibitory conditioning is not surprising as attention to arena
8 cues would be severely suppressedand essentially would be equivalent to not being placed
9 in the test arena. Indeed, the performance of the apomorphine-treated groups in the
10 conditioning tests did not differ from the performance ofthe vehicle groups during their first
11 test session.

12 The ERK results were consistent with the behavioral results in that the morphine stimulant
13 effects were associated with a marked ERK response in the VTA and NAc, and these ERK
14 responses were impaired by the apomorphine pretreatments that eliminated the morphine
15 stimulantresponses. Thus, the apomorphine pretreatments eliminated both the behavioral
16 effects of the morphine treatment, as well as the morphine activation of the ERK response
17 in the VTA and NAc.In all three experiments, pretreatments with apomorphine at low dose
18 prevented morphine-induced increases in ERK activity in the VTA and NAc. Together,
19 these findings are consistent with the dependence of morphine GABAergic disinhibitory
20 effects on the level of ongoing activity in the dopaminergic system.

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1 **Figure captions**

2
3

4 **Fig. 1.** Timeline and scheme of injections.

5
6

7 **Fig. 2.** Distance scores (M) during the induction phase of experiment 1. Data were
8 represented as mean and S.E.M.; ** p<0.01 (higher locomotion) in comparison to all other
9 groups; * p<0.05 in comparison to APO-treated groups; + p<0.05 (lower locomotion) in
10 comparison to all other groups; # denotes a significant difference (p<0.05) between the first
11 and the last day of the induction phase for the same experimental group, according to the
12 repeated measure two-way ANOVA followed by Tukey's post hoc test.

13
14

15 **Fig. 3.** Distance scores (M) during the final test of experiment 1. Data were represented
16 as mean and S.E.M.; ** p<0.01 (higher locomotion) in comparison with all other groups; &
17 denotes the second higher locomotion score (p<0.05) except for the
18 APO+VEH/VEH+VEH-treated group; § p<0.05 in comparison to VEH+VEH/VEH+VEH-
19 treated group, according to a one-way ANOVA followed by Tukey's post hoc test.

20
21

22 **Fig. 4.** ERK activation in the ventral tegmental area (VTA) from experiment 1. **A:**
23 Quantification of immunohistochemical labeling for ERK phosphorylation in the VTA. Data
24 represent the mean
25 ± S.E.M.; ** p<0.01 in comparison to the other groups, according to one-way ANOVA
26 followed by Tukey's post hoc test. **B-C:** Modified drawing of a brain parasagittal section
27 displaying, at the vertical black bar, the location of the coronal midbrain section, obtained
28 from the Paxinos and Watson atlas (2005). **D:** Representative photomicrographs of low
29 (10X) and high (40X inserts)

1 magnification images of ERK-P-immunoreactive cells. The scale bar indicates 100 µm in
2 the lowmagnification images.

3
4
5 **Fig. 5.** ERK activation in the nucleus accumbens (NAc) from experiment 1. **A:**
6 Quantification of immunohistochemical labelling for ERK phosphorylation in the nucleus
7 accumbens (NAc). Data represent the mean ± S.E.M.; ** p<0.01 in comparison to the other
8 groups, according to a one-wayANOVA followed by Tukey's post hoc test. **B-C:** Modified
9 drawing of sagittal section of the braindisplaying, at the vertical black bar, the location of
10 the coronal section of forebrain, obtained fromthe Paxinos and Watson atlas (2005). **D:**
11 Representative photomicrographs of low (10X) and high(40X inserts) magnification images
12 of ERK-P-immunoreactive cells. The scale bar indicates 100 µm in the low magnification
13 images.

14
15
16 **Fig. 6.** Distance scores (M) during the induction phase from experiment 2. Data were
17 representedas mean and S.E.M.; ** denotes higher locomotion (p < 0.01) than all the other
18 groups; # denotes asignificant difference (p < 0.05) between the first and the last day of
19 the induction phase for the same experimental group, according to the repeated measure
20 two-way ANOVA followed by Tukey's post hoc test.

21
22
23 **Fig. 7.** Distance scores (M) during the final test of experiment 2. Data were represented
24 as mean and S.E.M.; ** denotes higher locomotion (p < 0.01) than all the other groups; +
25 denotes lower locomotion (p < 0.05) than all the other groups, according to a one-way
26 ANOVA followed by Tukey's post hoc test.

1 **Fig. 8.** ERK activation in the ventral tegmental area (VTA) and in nucleus accumbens (NAc)
2 from experiment 2. **A:** Quantification of immunohistochemical labelling for ERK
3 phosphorylation in the VTA. **C:** Quantification of immunohistochemical labeling for ERK
4 phosphorylation in the NAc. Data represent the mean \pm S.E.M.; ** denotes higher numbers
5 ($p<0.01$) of immunoreactive nuclei than the other groups, according to a one-way ANOVA
6 followed by Tukey's post hoc test. **B-D:** Representative photomicrographs of low (10X) and
7 high (40X inserts) magnification images of ERK-P-immunoreactive cells. The scale bar
8 indicates 100 μ m in the low magnification images.

9
10
11 **Fig. 9.** Distance scores (M) during the acute one-day experiment (experiment 3). Data
12 represent the mean \pm S.E.M.; ** denotes higher locomotion than all the other groups
13 ($p<0.05$; one-way ANOVA followed by the Tukey test).

14
15
16 **Fig. 10.** ERK activation in the ventral tegmental area (VTA) and in nucleus accumbens
17 (NAc) from experiment 3. **A:** Quantification of immunohistochemical results for ERK
18 phosphorylation in the VTA. **C:** Quantification of immunohistochemical labeling for ERK
19 phosphorylation in the NAc. Data represent the mean \pm S.E.M.; ** denotes higher numbers
20 ($p<0.01$) of immunoreactive nuclei than in the other groups, according to a one-way
21 ANOVA followed by Tukey's post hoc test. **B-D:** Representative photomicrographs of low
22 (10X) and high (40X inserts) magnification images of ERK-P-immunoreactive cells. The
23 scale bar indicates 100 μ m in the low magnification images.

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1 **Highlights**

- 2
- 3
- 4 • Acute MOR did not increase locomotion but repeated MOR induced
- 5 hyperactivity.
- 6 • Conditioned MOR hyperactivity was equivalent to MOR induced hyperactivity.
- 7
- 8 • APO pretreatment prevented the development and expression of MOR
- 9 conditioning.
- 10
- 11 • Acute MOR and conditioned MOR increased ERK activation in the VTA and
- 12 NAc.
- 13 • All MOR induced increases in ERK activity were eliminated by the APO
- 14 pretreatment.
- 15
- 16

Figure 1: Timeline and scheme of injections.

A: Timeline – Experiment 1 and 2.

Days	1	2	3	4	5	6	7	8	9
	Habituation			Induction Phase			Final Test		

B: Timeline – Experiment 3.

Days	1	2	3	4
	Habituation			One Test Session

C: Scheme of injections.

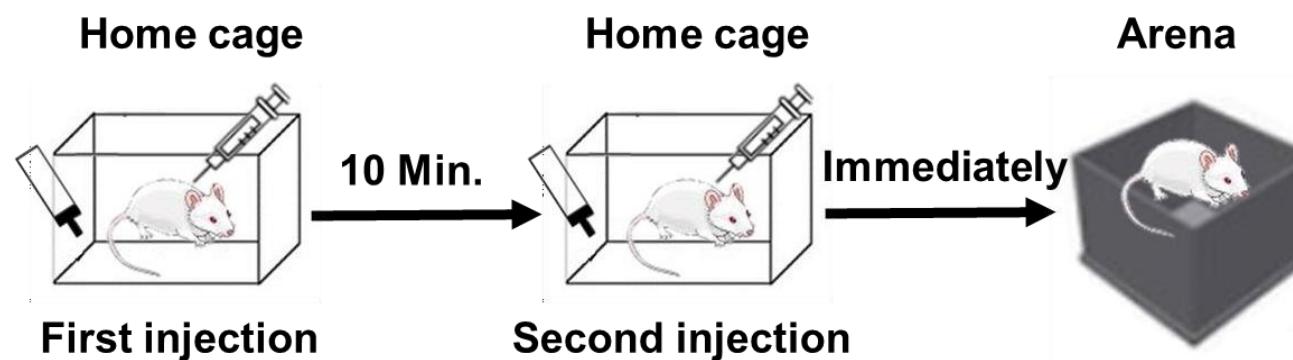


Table 1**Table 1: Groups of experiment 1.**

Induction Phase 5 Days	Final Test 1 Day	Groups
		Induction Phase / Final Test
VEH + VEH	VEH + VEH	VEH+VEH / VEH+VEH (n=7)
VEH + VEH	VEH + MOR	VEH+VEH / VEH+MOR (n=7)
VEH + MOR	VEH + VEH	VEH+MOR / VEH+VEH (n=7)
VEH + MOR	VEH + MOR	VEH+MOR / VEH+MOR (n=7)
APO + VEH	VEH + VEH	APO+VEH / VEH+VEH (n=7)
APO + VEH	VEH + MOR	APO+VEH / VEH+MOR (n=7)
APO + MOR	VEH + VEH	APO+MOR / VEH+VEH (n=7)
APO + MOR	VEH + MOR	APO+MOR / VEH+MOR (n=7)

VEH=Vehicle; MOR=Morphine 10 mg/kg; APO=Apomorphine 0.05 mg/kg.

Table 1**Table 2: Groups of experiment 2 (A) and 3 (B).****A**

Induction Phase	Final Test	Groups
5 Days	1 Day	Induction Phase / Final Test
VEH + VEH	VEH + VEH	VEH+VEH / VEH+VEH (n=7)
VEH + VEH	APO + VEH	VEH+VEH / APO +VEH (n=7)
VEH + MOR	VEH + VEH	VEH+ MOR / VEH+VEH (n=7)
VEH + MOR	APO + VEH	VEH+ MOR / APO +VEH (n=7)

B

One Test Session	Groups
VEH + VEH	VEH+VEH (n=6)
VEH + MOR	VEH+ MOR (n=6)
APO + VEH	APO +VEH (n=6)
APO + MOR	APO + MOR (n=6)

VEH=Vehicle; MOR=Morphine 10 mg/kg; APO=Apomorphine 0.05 mg/kg.

FIG. 2

EXPERIMENT 1: ACQUISITION

INDUCTION PHASE

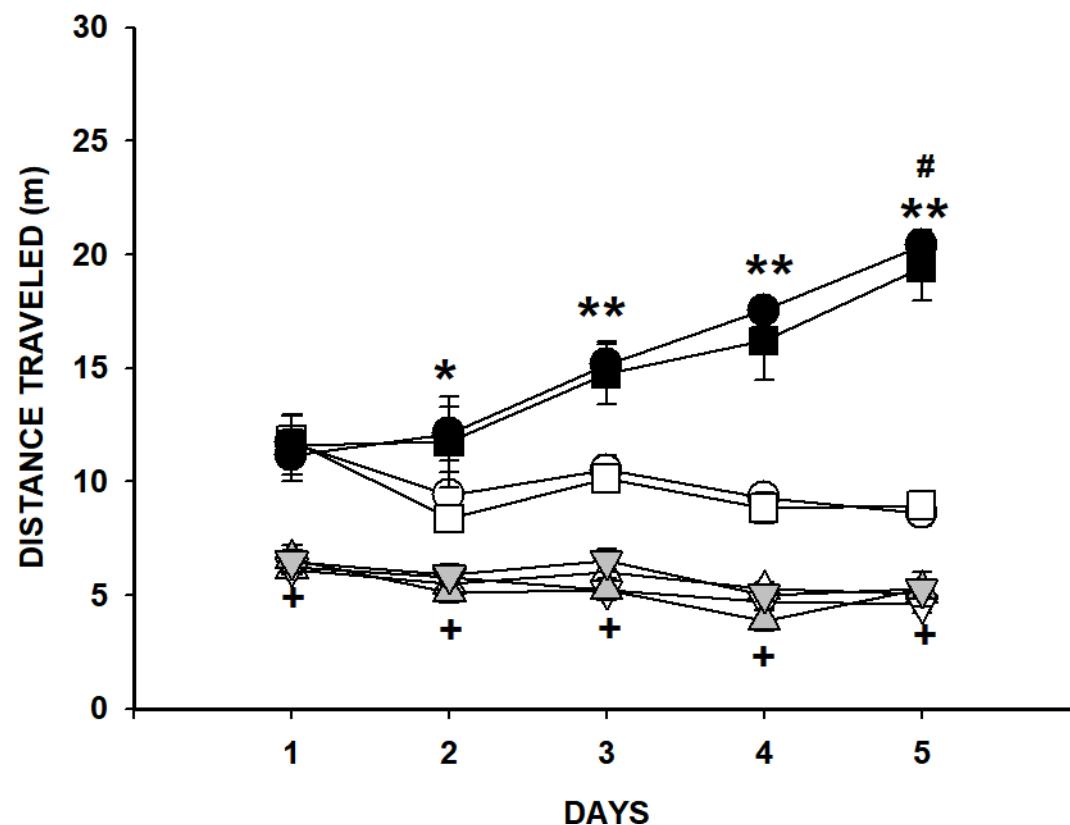
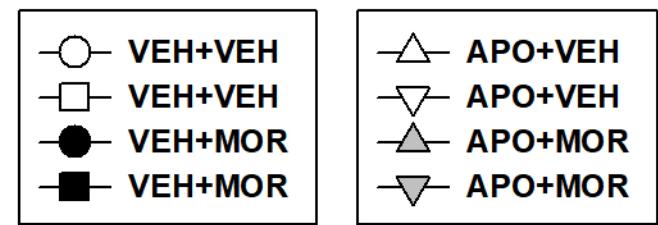


Fig. 3

EXPERIMENT 1: ACQUISITION
FINAL TEST

Group label:
Induction Phase Treatment / Final Test Treatment

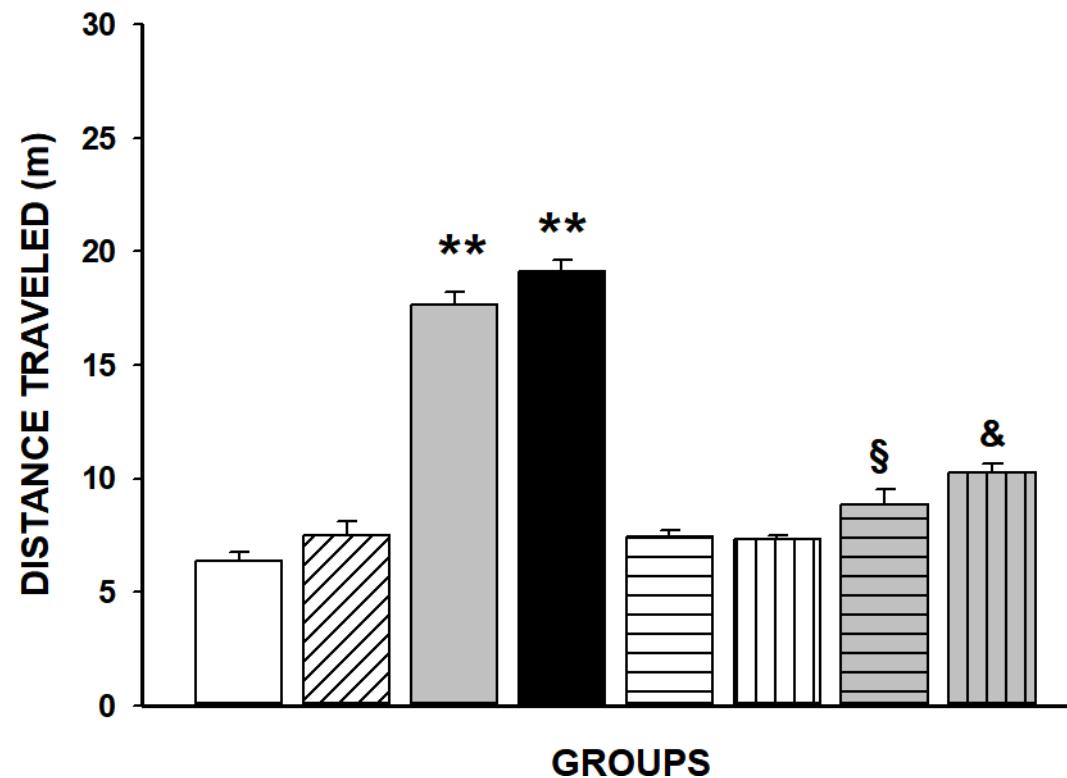
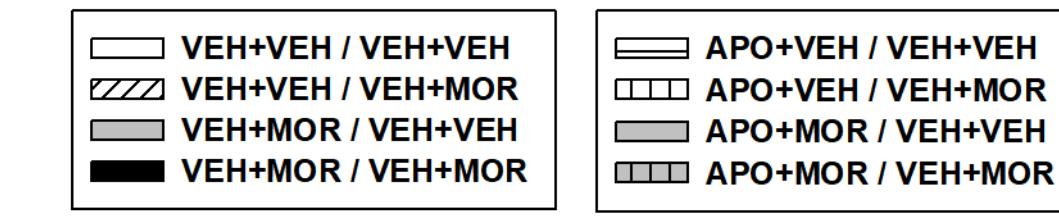


FIG. 4**EXPERIMENT 1: ACQUISITION****ERK ACTIVATION - VTA**

Group label:
Induction Phase / Final Test

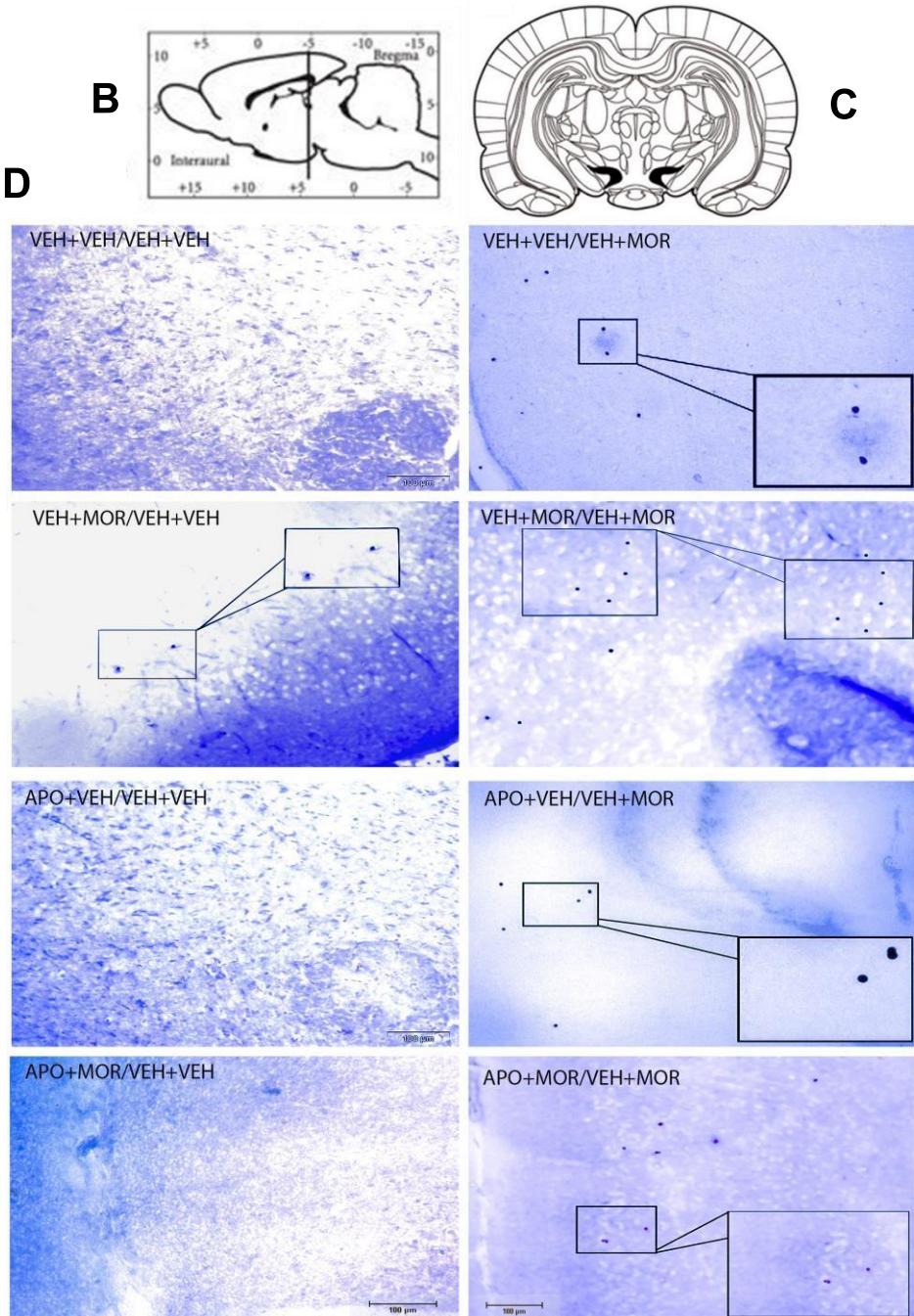
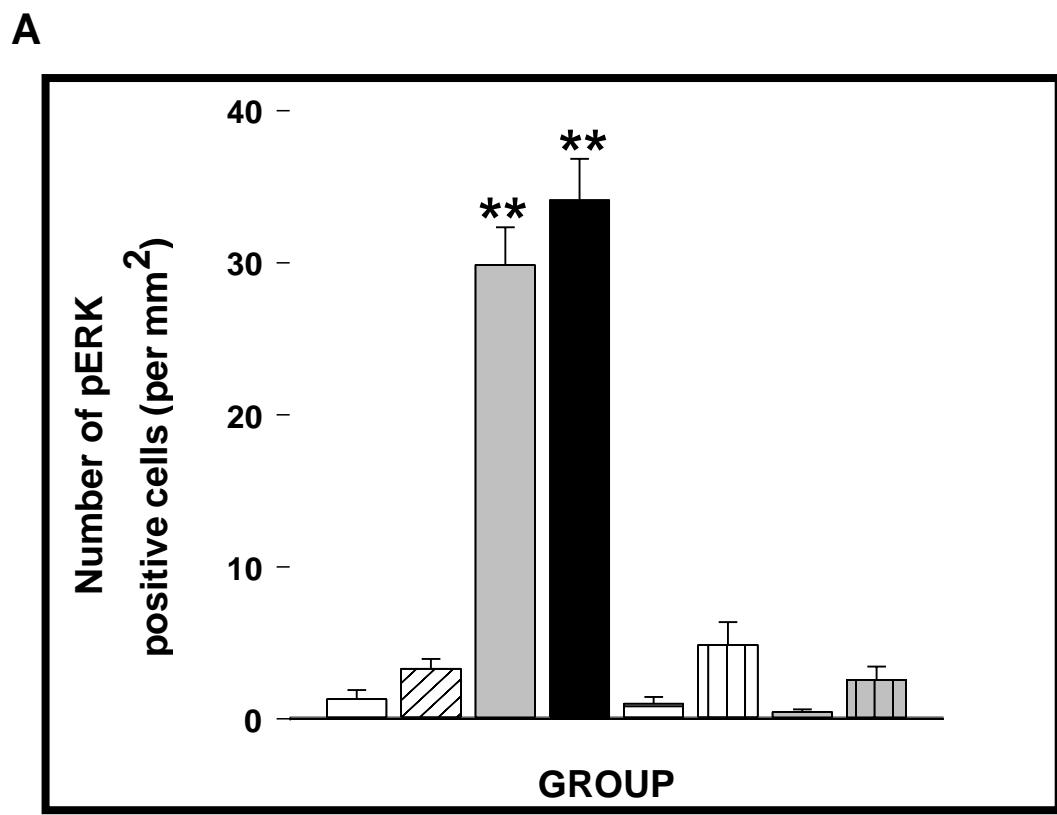
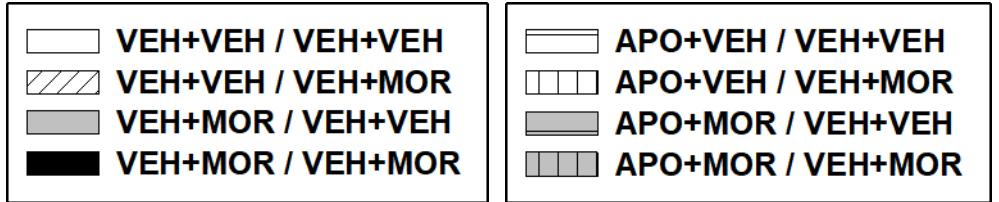


FIG. 5**EXPERIMENT 1: ACQUISITION**
ERK ACTIVATION - NAc

Group label:
Induction Phase / Final Test

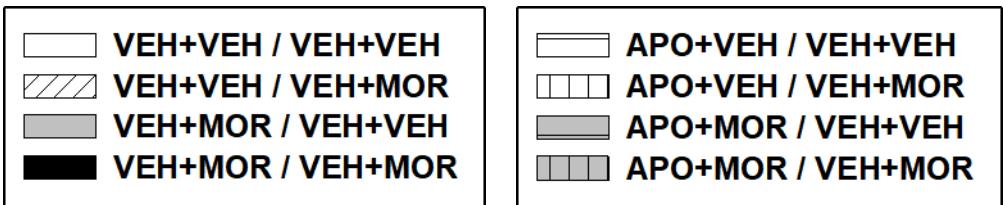
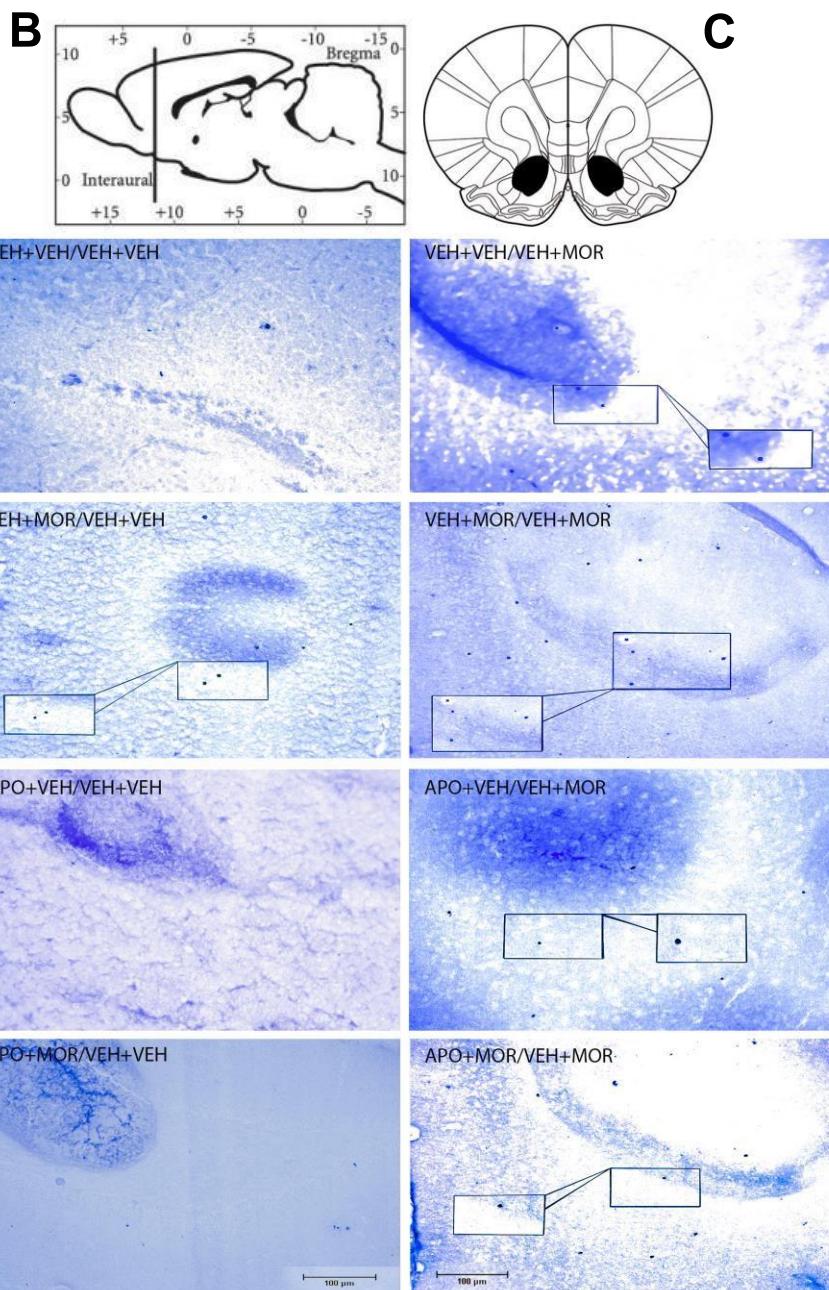
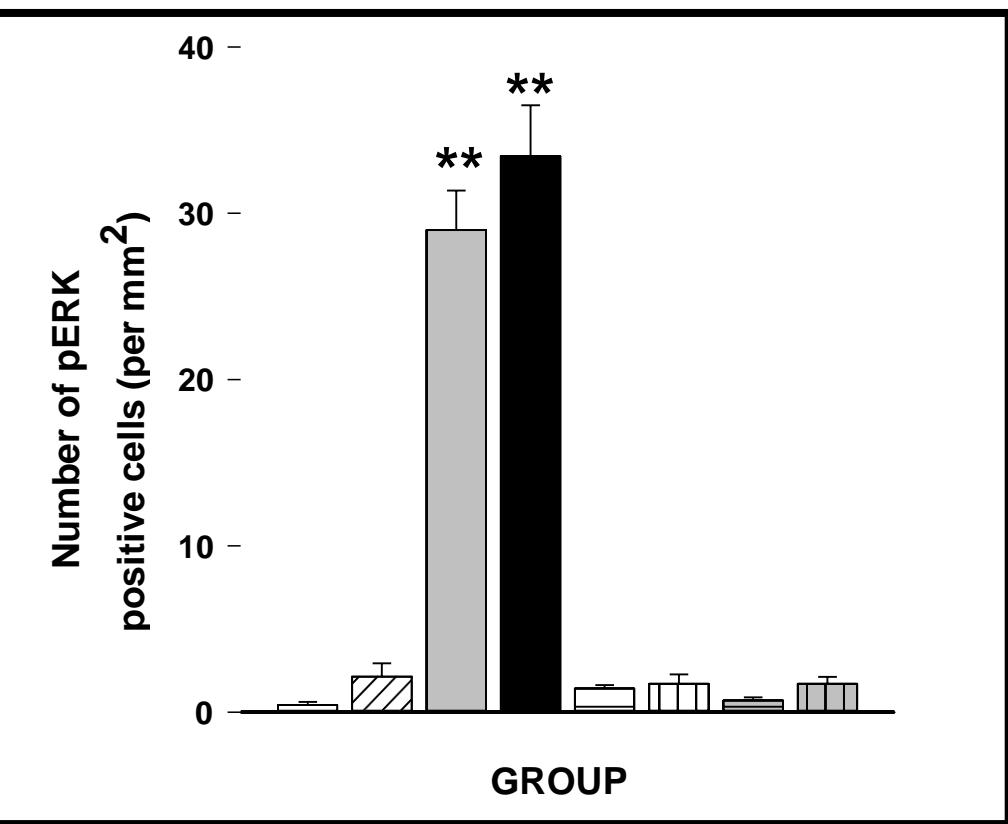
**A**

FIG. 6

EXPERIMENT 2: EXPRESSION

INDUCTION PHASE

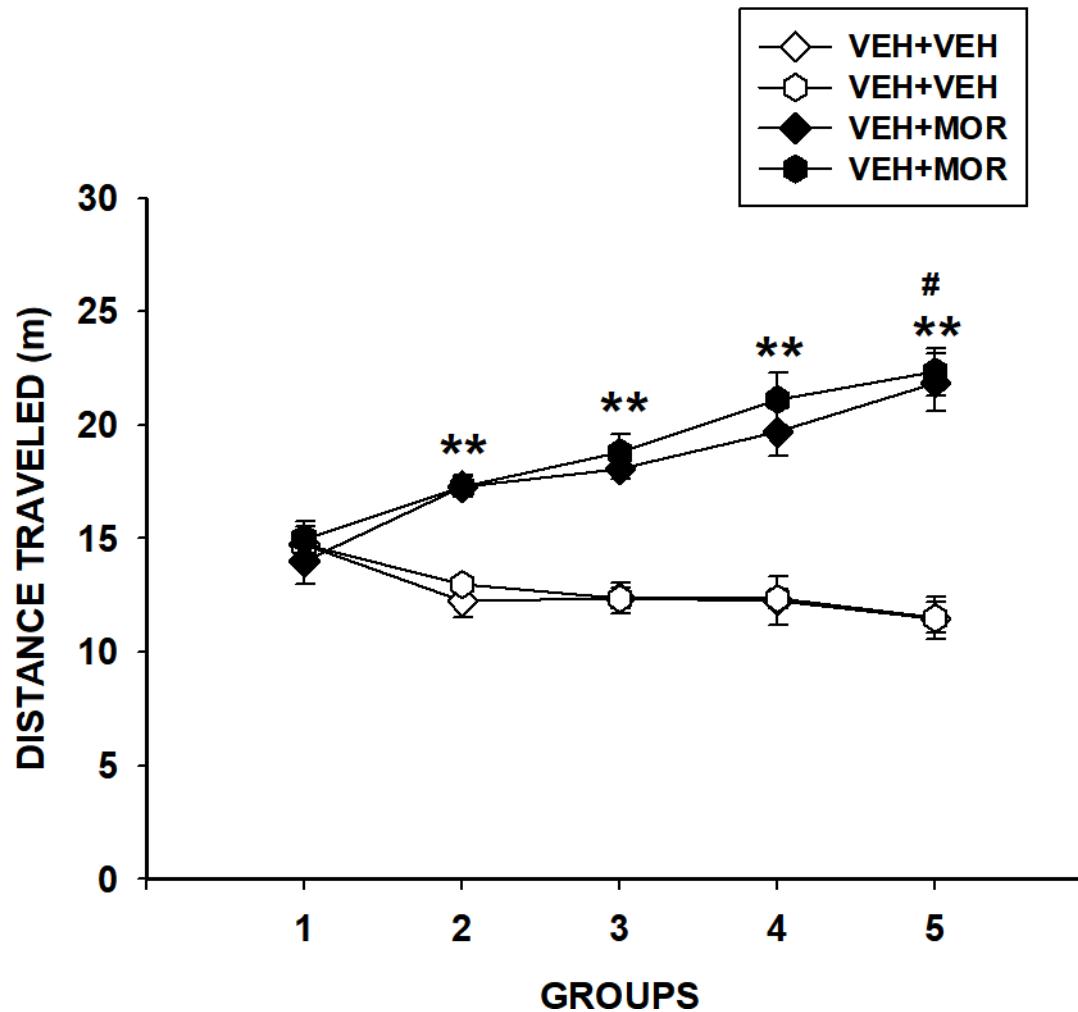


FIG.7

**EXPERIMENT 2: EXPRESSION
FINAL TEST**

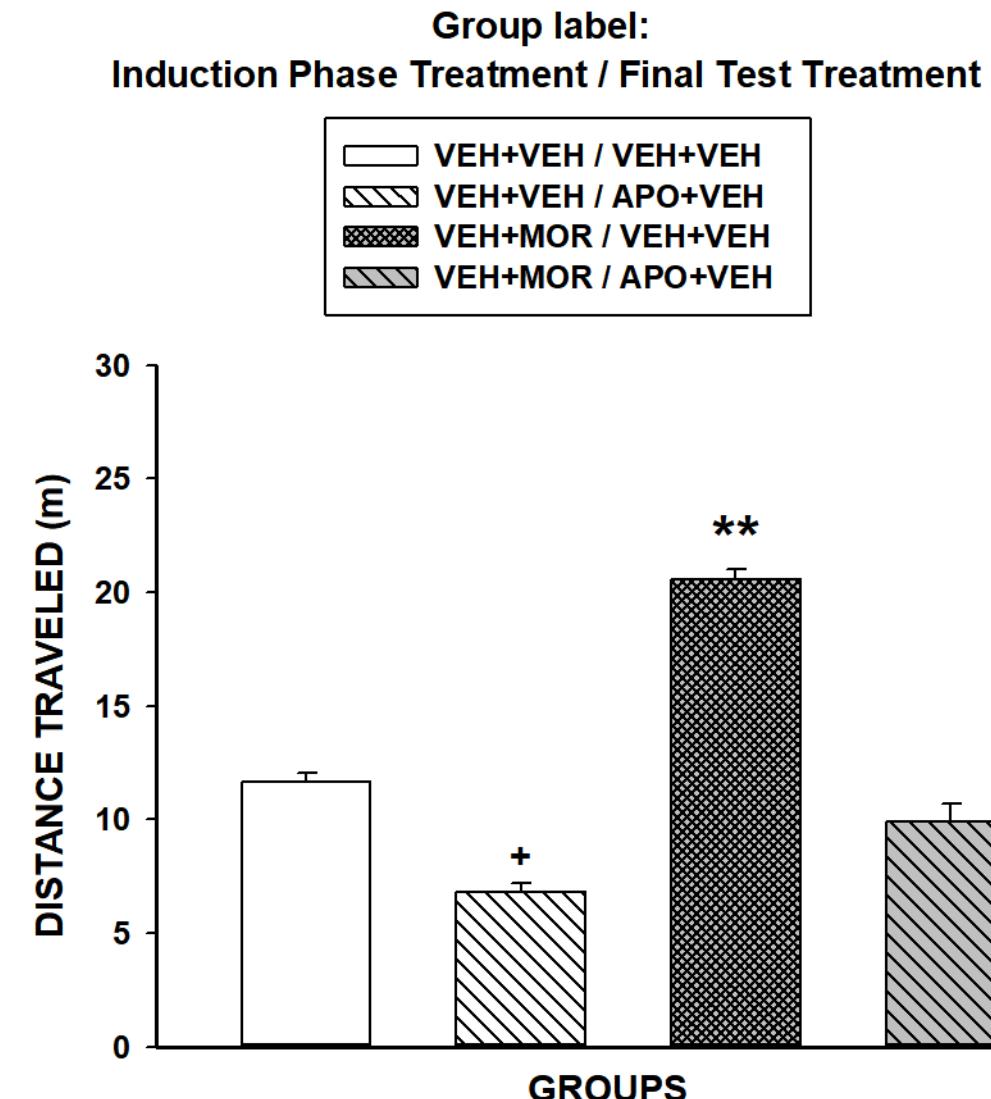
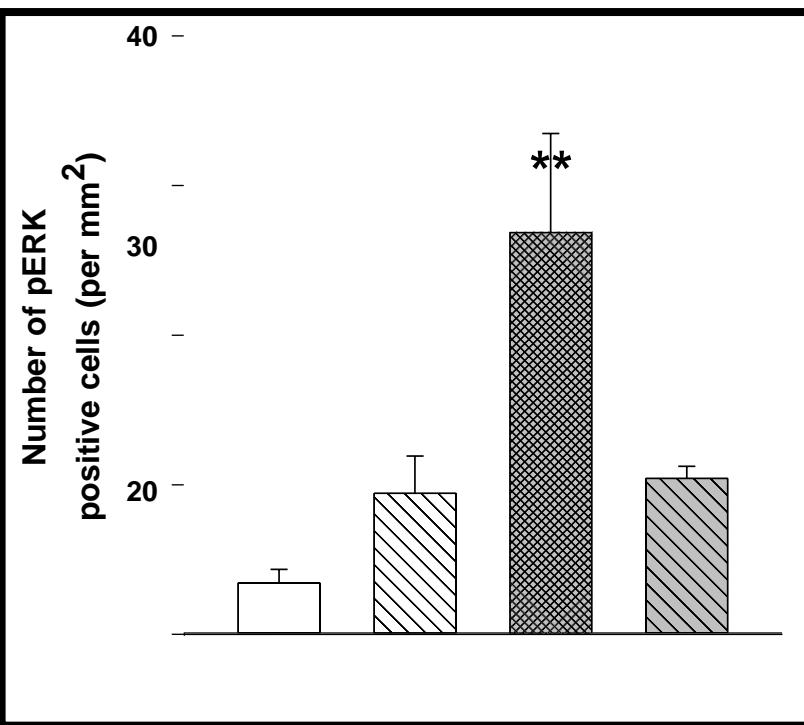
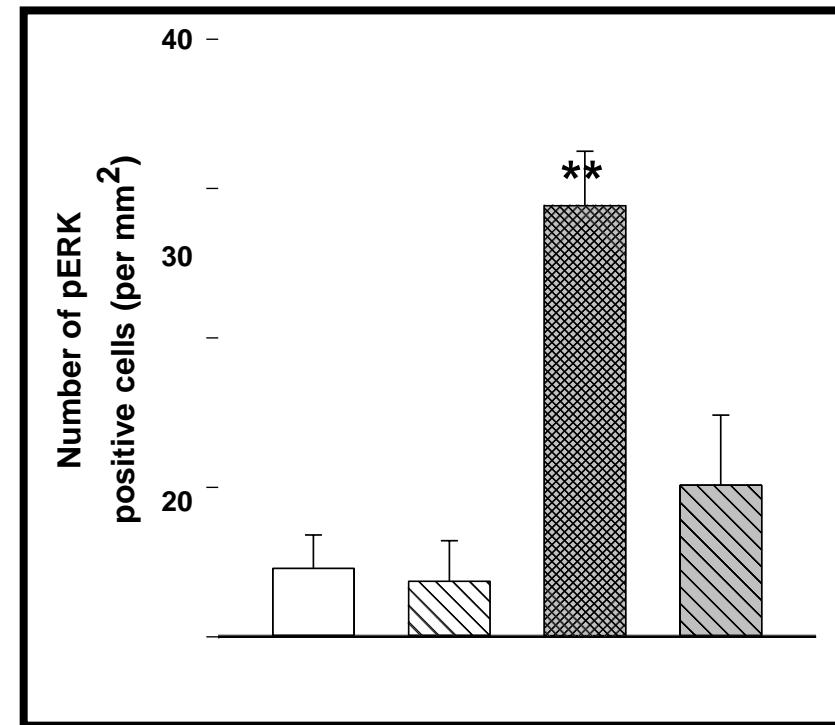
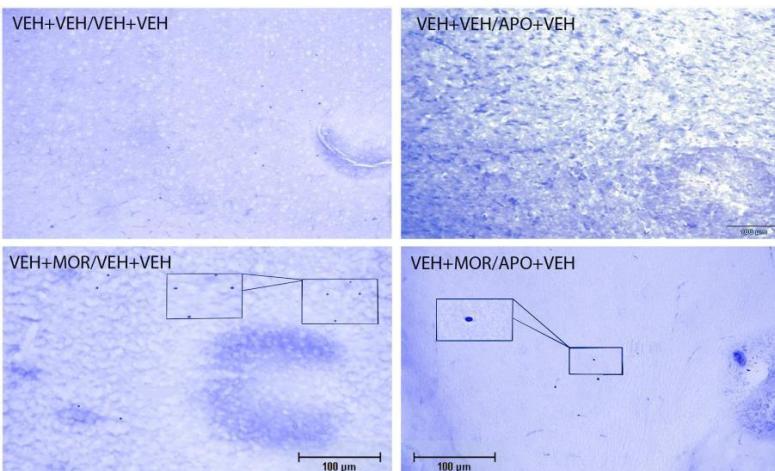
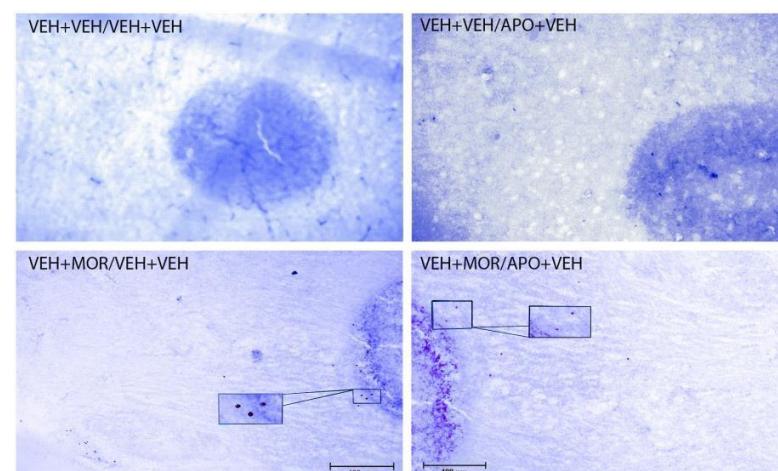


FIG. 8**EXPERIMENT 2: EXPRESSION - ERK ACTIVATION****A****VTA****C****NAc**

Group Legends:
Induction Phase / Final Test

VEH+VEH / VEH+VEH
VEH+VEH / APO+VEH
VEH+MOR / VEH+VEH
VEH+MOR / APO+VEH

B**D**

1

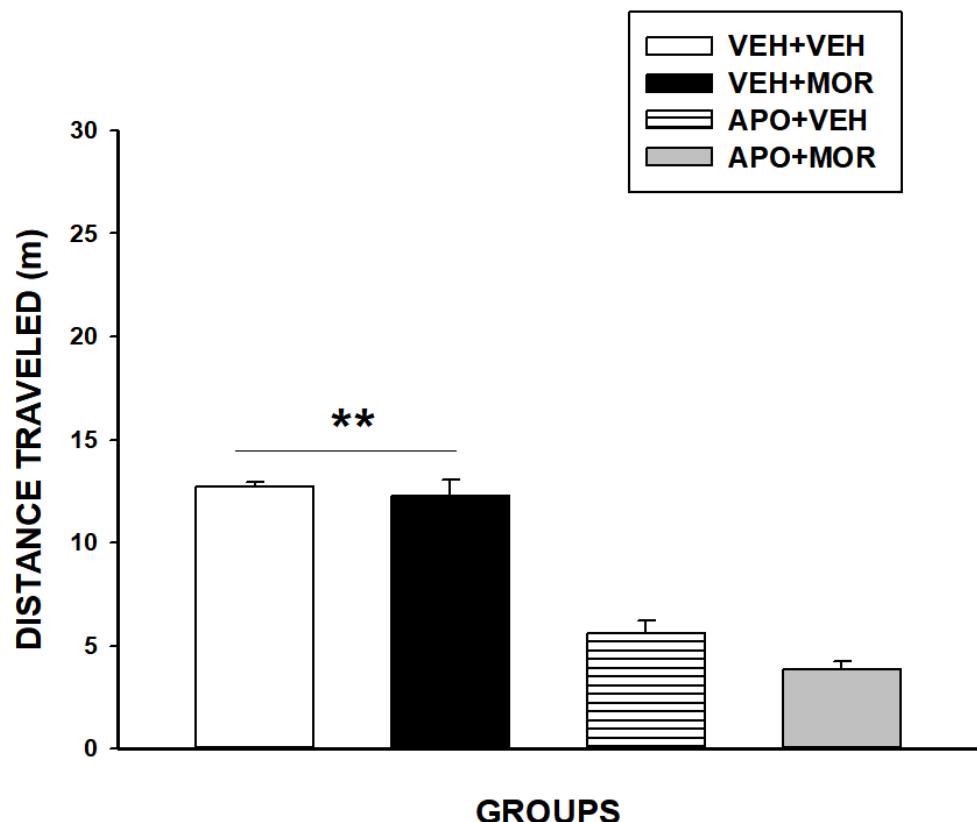
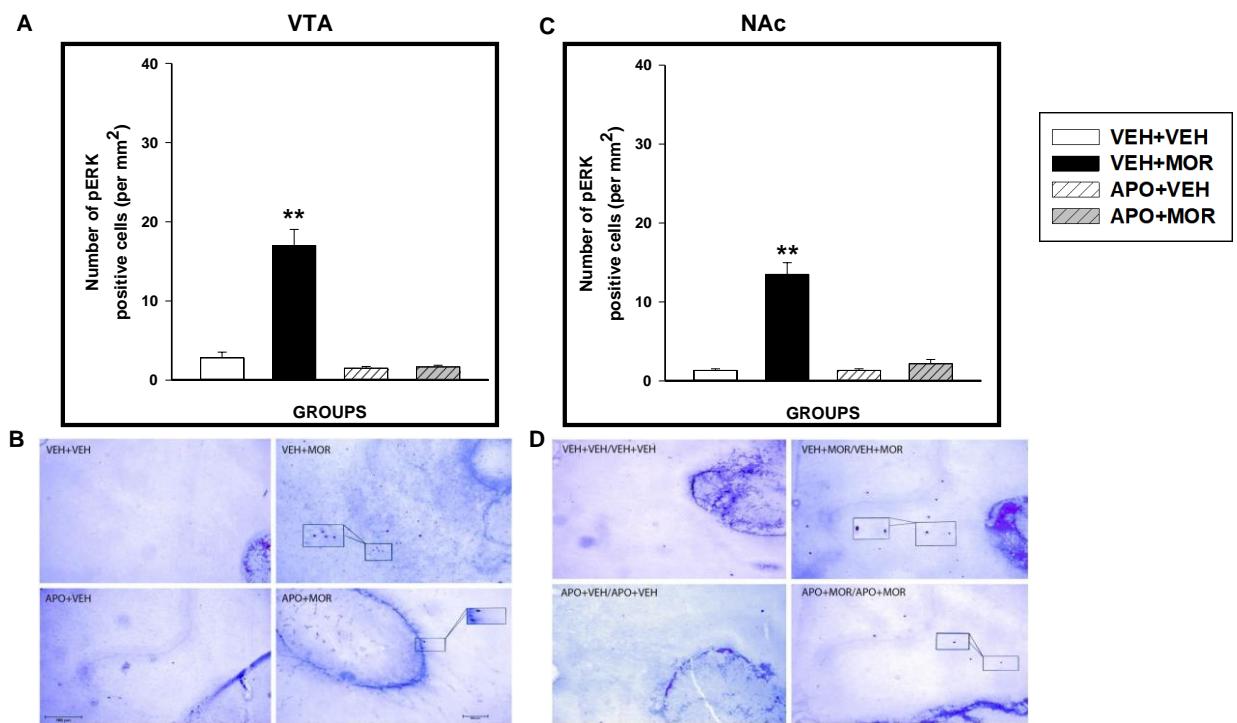
FIG. 9**EXPERIMENT 3: ACUTE ONE DAY SESSION****LOCOMOTION**

FIG. 10

EXPERIMENT 3: ACUTE ONE DAY SESSION - ERK ACTIVATION



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1 **5.2 – Capítulo II**

2

3 **MK-801 induces dose dependent stimulant sensitization effects but dose**
4 **independent conditioned stimulant effects: MK-801 effects on sensory**
5 **information processing versus learning and memory.**

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1 Abstract

2 Rationale: The NMDA receptor antagonist MK-801 can prevent sensitization
3 induced by dopaminergic stimulant drugs and also induces sensitization.

4 Objectives: To determine whether repeated MK-801 treatments can induce dose
5 dependent locomotor sensitization and conditioned effects.

6 Methods: Initially, rats received vehicle (VEH) prior to the 30 minutes open-field
7 sessions for 3 days (habituation) and locomotion was measured. Afterwards,
8 groups were formed with comparable locomotion and the pharmacological phase
9 was initiated with the treatments administered immediately prior to the five daily
10 30 min. test sessions. Three groups received one of the three MK-801 doses
11 (0.025, 0.1 and 1.0 mg/kg) and one group received VEH. Two days later there
12 was a conditioning test where rats received VEH immediately prior to a single 5
13 min. (experiment 1) test session or a 30 min. test session (experiment 2).

14 Results: A habituation response occurred in all groups. In experiment 1,
15 sensitization effects were induced in the 1.0 mg/kg MK-801 group but not in the
16 0.025 mg/kg group. Surprisingly, equivalent conditioned effects were observed in
17 all MK-801 groups. Experiment 2 confirmed the responses obtained in
18 experiment 1. Additionally, the conditioned and habituation day 3 locomotor
19 responses were equivalent in all MK-801 groups, whereas for the VEH group, the
20 conditioned response was lower than on habituation day 3.

21 Conclusions: We relate the conditioned response to sensory neglect effects
22 induced by MK-801. The impact of MK-801 on the sensory processes is
23 independent of the motor stimulant responses and can mimic effects on memory.

24 Key-words: MK-801, NMDA receptor, motor stimulation, learning and memory,
25 sensory neglect, drug stimuli.

26 Introduction

27

1 The importance of the basal ganglia in movement processes has long been
2 established. Indeed, it is the interplay between glutamate and dopamine (DA)
3 systems in the basal ganglia that mediates normative movement wherein
4 glutamatergic inhibitory activity is balanced by dopaminergic excitatory activity
5 (Dai and Carey 1995). Thus, excessive movement can occur either by decreasing
6 glutamate activity or increasing dopamine activity. Numerous studies have
7 shown that in rats direct as well as indirect acting dopamine agonists can induce
8 locomotor hyperactivity and that these effects undergo conditioning and
9 sensitization (Anagnostaras and Robinson 1996; Bloise *et al.* 2007; Braga *et al.*
10 2009; de Matos *et al.* 2010; Keller and Delius 2001; Mattingly *et al.* 1997, 1988;
11 Rowlett *et al.* 1997). Similarly, antagonism at the glutamate N-methyl-D-aspartate
12 (NMDA) receptor by drugs such as dizocilpine (MK-801) can induce hyperactivity
13 in rats and that with repeated treatments this hyperactivity becomes exaggerated,
14 indicative of sensitization (Carey *et al.* 1995).

15 Interestingly, the sensitization and conditioned effects induced by dopamine
16 agonists can be prevented if the glutamate NMDA receptor non-competitive
17 antagonist MK-801 is given in combination with DA agonists such as
18 amphetamine and cocaine (Stewart and Druhan 1993; Vezina and Queen 2000;
19 Zweifel *et al.* 2008), including the hyper motoric stimulation induced by L-DOPA
20 in an animal model of Parkinson's (Pinheiro-Carrera *et al.* 1995). In that
21 behavioral drug sensitization and conditioning are persistent and lasting effects,
22 and that NMDA receptors are important for neuronal changes such as long-term
23 potentiation (LTP), drug induced activation of the NMDA receptor site has
24 provided a possible explanation for drug sensitization and conditioning. While a
25 substantial body of evidence supports the efficacy of NMDA antagonists in
26 preventing the development of sensitization to other drugs, it is also the case that
27 repeated MK-801 treatments can induce MK-801 behavioral sensitization effects
28 (Carey *et al.* 1995). Thus, while MK-801 can prevent the sensitization induced by
29 dopaminergic stimulant drugs it also induces behavioral stimulant effects that
30 also undergo sensitization with repeated treatments. Thus, MK -801 has the
31 seemingly puzzling capability to both prevent and induce stimulant sensitization

1 effects. In that MK-801 can prevent the Pavlovian conditioning of dopaminergic
2 drug induced hyperactivity (Damianopoulos and Carey 1995), the question arises
3 as to whether MK-801 induced hyperactivity can undergo Pavlovian conditioning
4 as occurs with dopamine agonist induced hyperactivity. Specifically, the present
5 study was undertaken to determine whether repeated MK-801 treatments that
6 can induce dose dependent behavioral stimulant sensitization effects and also
7 induce conditioned stimulant effects. In this study, a replication experimental
8 design was used in which the experiment was conducted twice in two separate
9 independent experiments to enhance the reliability of the findings.

10

11 Methods

12

13 Subjects

14 Male Wistar albino rats provided by the State University of North
15 Fluminense Darcy Ribeiro, initially weighing 200-300 g, were housed in individual
16 plastic cages (25 X 18 X 17 cm) until the end of the experiment. Food and water
17 were always available. The vivarium was maintained at a constant temperature
18 ($22 \pm 2^{\circ}\text{C}$) and a 12h/12h light/dark cycle (lights on at 07:00h and off at 19:00h).
19 All experiments occurred between 8:00 and 12:00h. For 7 days prior to all
20 experimental procedures, each animal was weighed and handled daily for 5 min.
21 This process included being placed in a transport cage and taken to the injection
22 administration bench located in an anteroom adjacent to the experimental testing
23 room. The animals were given vehicle (VEH) injections. In this way the animals
24 were familiarized with the handling and injection aspects of the testing protocol.
25 The experimental procedures were in accordance with the recommendations of
26 the Commission of Ethics in Animal Experimentation (CEUA) of the University of
27 North Fluminense Darcy Ribeiro-UENF (process 395/2018). These
28 recommendations are in agreement with the ethical principles of animal research
29 adopted by the Brazilian College of Animal Experimentation (COBEA) and by the
30 National Council for Animal Experimentation Control (CONCEA).

1 Drugs

2

3 Dizocilpine [(+)-MK-801;(+)-5-methyl-10,11-dihydro-5H-dibenzo[a,d]cyclohepten-
4 5,10-imine maleate]—further referred to as MK-801—was purchased from
5 Sigma–Aldrich (Sigma, St Louis, USA) was dissolved in saline (0.9% NaCl
6 solution) at doses of 0.025 mg/kg, 0.1 mg/kg and 1.0 mg/kg (Carey *et al.* 1998;
7 Frantz and Van Hartesveldt 1999; Qi *et al.* 2008). Saline alone was administered
8 to vehicle controls. MK-801 or vehicle was subcutaneously (s.c.) injected in a
9 volume of 1.0 ml/kg body weight.

10

11 Apparatus and behavioral measurements

12

13 The behavioral measurements were conducted in a black open field chamber (60
14 X 60 X 45 cm). A closed-circuit camera (IKEGAMI, model ICD-49) mounted 60
15 cm above the arena was used to record behavioral data. Locomotion, measured
16 as distance traveled (m), was automatically analyzed using software EthoVision
17 (Noldus, the Netherlands). The complete test procedure was conducted
18 automatically without the presence of the experimenter in the test room. All
19 behavioral testing was conducted under dim red light that favors exploratory
20 behavior and avoids the possible aversive quality of white light (Nasello *et al.*
21 1998) as well as to enhance the contrast between the white subject and the dark
22 background of the test chamber and reduce the animal's shadow.

23

24 Experimental procedure

25

26 Two similar experiments were conducted. In experiment 1 rats were initially given
27 three 30 min tests in the open field arena on 3 successive days (habituation
28 period). Immediately prior to each of the habituation test days, the subjects

1 received vehicle (VEH) injections. The locomotor distance scores were used to
2 form four groups (n=5 for each group) with equivalent distance scores.
3 Subsequently the groups were injected with VEH, 0.025, 0.1 or 1.0 mg/kg MK-
4 801 immediately before 30 min test sessions in the open field arena on 5
5 successive days (pharmacological treatment phase). Following 2 days of non-
6 testing (withdrawal period), all groups were given VEH injections and tested for 5
7 min to assess possible conditioned drug effects (conditioning test; CT).

8 Experiment 2 was undertaken because of the finding in experiment 1 that all MK-
9 groups expressed similar conditioned stimulant responses in the conditioning test
10 despite having very different locomotor stimulant responses in the five days of
11 drug treatment phase. In that the number of animals per group in experiment 1
12 was modest and to have a more substantial conditioning test the protocol used in
13 experiment 1 was repeated and the conditioning test session was expanded to
14 30 minutes (n=6-7 per group). Table 1 present, respectively, the experimental
15 timeline (A) and the treatment protocols (B).

16

17 Statistics

18

19 For the drug treatment phase, a repeated two-way analysis of variance (ANOVA)
20 was used to determine the group effect, day effect, as well as the interactions
21 between both factors. When a significant effect of interaction was recorded, data
22 were further statistically evaluated using a one-way ANOVA followed by Tukey's
23 test with p<0.05 as the criterion for statistical significance. For the 5 and 30 min
24 conditioning test a one-way ANOVA followed by Tukey's post-hoc test were used
25 with p<0.05 as the criterion for statistical significance. For the within-treatment
26 assessment of the behavioral activity during conditioning test of the experiment
27 2, the total activity score was divided into 6 intervals of 5 min each and a repeated
28 measure two-way ANOVA was used to determine treatments, intervals, and
29 interactions between factors. When a significant effect of treatment group and

1 intervals was obtained, data were further evaluated by one-way ANOVA followed
2 by Tukey's post-hoc tests using $p<0.05$ as the criterion for statistical significance.

3

4 Results

5

6 Pre-treatment habituation effects

7

8 Prior to the initiation of each experiment, a three-day habituation procedure was
9 conducted and locomotion was measured. In experiment 1, a one-way ANOVA
10 showed there was an effect of days of treatment [$F(2, 63) = 24.0$; $p<0.01$] and
11 the Tukey's test showed that day 1 had higher locomotion than the day 2 and day
12 3 ($p<0.05$) (data not shown). In experiment 2, a one-way ANOVA showed that
13 there was an effect of days of treatment [$F(2, 69) = 18.0$; $p<0.01$] and the Tukey's
14 test showed that day 1 had higher locomotion than day 2 and day 3 ($p<0.05$)
15 (data not shown).

16

17 Experiment 1: 5 minute conditioning test

18

19 Figure 1 shows the locomotor activity over the course of days 1-5 of the induction
20 phase of the first experiment. For the total locomotor activity over the course of
21 days 1-5 (induction phase), a repeated two-way ANOVA showed a group X days
22 interaction [$F(12, 72) = 7.64$; $p<0.01$], an effect of groups [$F(3, 18) = 22.25$;
23 $p<0.01$] and an effect of days of treatment [$F(4, 72) = 7.33$; $p<0.01$]. A one-way
24 ANOVA followed by Tukey test to further analyze the interaction of group X days
25 showed that on day 1, the MK-1.0 group had higher locomotion than the VEH
26 group ($p<0.05$). There was no difference among the MK-1.0, MK-0.1 and MK-
27 0.025 groups ($p>0.05$). On days 2-5, the MK-1.0 group had higher locomotion
28 than all other groups ($p<0.05$). However, on day 4 and day 5, the MK-0.1 had

1 higher locomotion than the VEH group ($p<0.05$). There was no difference
2 between the MK-0.1 and MK-0.025 groups ($p>0.05$).

3 Figure 2 shows the locomotor activity during the conditioning test for the first
4 experiment. A one-way ANOVA showed that there were differences among the
5 groups [$F (3, 18) = 8.0$; $p<0.01$] and the Tukey test showed that the MK groups,
6 (MK-0.025, MK-0.1 and MK-1.0 groups), had higher locomotion than all VEH
7 groups ($p<0.05$) and were not significantly different from each other ($p> 0.05$).

8

9 Experiment 2: 30 minute conditioning test

10

11 Figure 3 shows the locomotor activity over the course of days 1-5 of the induction
12 phase of the second experiment. A repeated two-way ANOVA showed an
13 interaction group x day [$F (12, 80) = 7.54$; $p<0.01$], an effect of groups [$F (3, 20)$
14 = 50.80; $p<0.01$] and an effect of days of treatment [$F (4, 80) = 11.25$; $p<0.01$]. A
15 one-way ANOVA followed by the Tukey test to further analyze the interaction of
16 group X days, showed on day 1, that the MK-1.0 group had higher locomotion
17 than the VEH group ($p<0.05$). There was no significant difference among the
18 VEH, MK-0.025 and MK-0.1 groups ($p>0.05$). Also, there was no significant
19 difference among the MK-0.025, MK-0.1 and MK-1.0 groups ($p>0.05$). From day
20 2 until day 5, the MK-1.0 groups had higher locomotion than all groups ($p<0.05$).
21 However, from day 2 until day 4, the MK-0.1 group had higher locomotion than
22 the VEH group ($p<0.05$). There was no difference between the MK-0.1 and MK-
23 0.25 groups ($p>0.05$) and no difference between the VEH and MK-0.025 groups
24 ($p>0.05$). On day 5, the MK-0.1 group had higher locomotion than the VEH and
25 MK-0.025 groups ($p<0.05$). There was no difference between the VEH and MK-
26 0.025 groups ($p>0.05$).

27 Figure 4 shows the within session locomotor activity during the 5 induction days
28 of the second experiment. For induction day 1 (Fig. 4A), a repeated two-way
29 ANOVA showed an interaction group X interval [$F (15, 100) = 7.32$; $p<0.01$], an
30 effect of groups [$F (3, 20) = 3.35$; $p<0.05$] and an effect of intervals [$F (5, 100) =$

1 31.53; $p<0.01$]. A one-way ANOVA followed by the Tukey test to further analyze
2 the interaction showed that on interval 1 [$F(3, 20) = 14.63; p<0.01$], showed that
3 there was difference among the groups and Tukey's test showed that the MK-1.0
4 group had higher locomotion than all other groups ($p<0.05$). On interval 2 [$F(3,$
5 $20) = 5.0; p<0.01$], there was difference among the groups and Tukey's test
6 showed that the MK-1.0 group had higher locomotion than the VEH group
7 ($p<0.05$). There was no difference among the MK-1.0, MK-0.1 and MK-0.25
8 groups ($p>0.05$) and no differences among the VEH, MK-0.1 and MK-0.25 groups
9 ($p>0.05$). On interval 3 [$F(3, 20) = 0.25; p>0.05$], interval 4 [$F(3, 20) = 1.80;$
10 $p>0.05$], interval 5 [$F(3, 20) = 1.90; p>0.05$] and interval 6 [$F(3, 20) = 1.45;$
11 $p<0.05$], there were no differences among the groups.

12 For induction day 2 (Fig. 4B), a repeated two-way ANOVA showed an interaction
13 group X interval [$F(15, 100) = 5.91; p<0.01$], an effect of groups [$F(3, 20) =$
14 $34.13; p<0.01$] and an effect of intervals [$F(5, 100) = 22.02; p<0.01$]. A one-way
15 ANOVA followed by the Tukey test to further analyze the interaction, showed that
16 on interval 1 [$F(3, 20) = 2.22; p>0.05$], there was no difference among the groups.
17 On interval 2 [$F(3, 20) = 23.53; p<0.01$], there was a difference among the groups
18 and Tukey's test showed that the MK-1.0 groups had higher locomotion than all
19 other groups ($p<0.05$). On interval 3 [$F(3, 20) = 16.0; p<0.01$], there was a
20 difference among the groups and Tukey's test showed that the MK-1.0 group had
21 higher locomotion than all other groups ($p<0.05$). The results also showed that
22 the MK-0.1 group had higher locomotion than the VEH, and MK-0.25 groups
23 ($p<0.05$). There was no difference between the MK-0.1 and MK-0.25 groups
24 ($p>0.05$) and no difference between VEH and MK-0.25 groups ($p>0.05$). On
25 interval 4 [$F(3, 20) = 1.50; p>0.05$], there was no difference among the groups.
26 On interval 5 [$F(3, 20) = 8.54; p<0.01$], there was difference among the groups
27 and Tukey's test showed that the MK-1.0 groups had higher locomotion than all
28 the other groups ($p<0.05$), except the MK-0.1 group in which there was no
29 difference between these groups ($p>0.05$). However, the MK-0.1 group had
30 higher locomotion than the VEH group ($p<0.05$), but there was no difference
31 between MK-0.1 and MK-0.025 groups ($p>0.05$) and no difference between the

1 MK-0.025 and VEH groups ($p>0.05$). On interval 6 [$F (3, 20) = 0.70$; $p>0.05$],
2 there was no significant difference among the groups.

3 For induction day 3 (Fig. 4C), a repeated two-way ANOVA showed an interaction
4 group X interval [$F (15, 100) = 5.10$; $p<0.01$], an effect of groups [$F (3, 20) = 28.0$;
5 $p<0.01$] and an effect of intervals [$F (5, 100) = 18.30$; $p<0.01$]. A one-way ANOVA
6 followed by the Tukey test to further analyze the interaction, showed that on
7 interval 1 [$F (3, 20) = 20.70$; $p<0.01$], there was a difference among the groups
8 and Tukey's test showed that the MK-1.0 group had higher locomotion than all
9 other groups ($p<0.05$). The results also showed that the MK-0.1 and MK-0.025
10 groups had higher locomotion than the VEH group ($p<0.05$), there was no
11 difference between the MK-0.1 and MK-0.025 groups ($p>0.05$). On interval 2 [F
12 ($3, 20) = 23.00$; $p<0.01$] and interval 3 [$F (3, 20) = 15.50$; $p<0.01$], the MK-1.0
13 group had higher locomotion than all other groups ($p<0.05$). On interval 4 [$F (3,$
14 $20) = 5.0$; $p<0.01$] and interval 5 [$F (3, 20) = 5.50$; $p<0.01$], there was a difference
15 among the groups and Tukey's test showed that the MK-1.0 group had higher
16 locomotion than all the other groups ($p<0.05$), except the MK-0.1 group. There
17 was no difference between the MK-1.0 and MK-0.1 groups ($p>0.05$) and no
18 difference among VEH, MK-0.1 and MK-0.25 groups ($p>0.05$). On interval 6 [F
19 ($3, 20) = 4.40$; $p<0.05$], the MK-1.0 and MK-0.1 groups had higher locomotion
20 than the VEH group ($p<0.05$). There was no difference between the MK-1.0, MK-
21 0.1 and MK-0.025 groups ($p>0.05$) and no differences between the VEH and MK-
22 0.025 groups ($p>0.05$).

23 For induction day 4 (Fig. 4D), a repeated two-way ANOVA showed an interaction
24 group X interval [$F (15, 100) = 5.23$; $p<0.01$], an effect of groups [$F (3, 20) = 27.0$;
25 $p<0.01$] and an effect of intervals [$F (5, 100) = 14.21$; $p<0.01$]. A one-way ANOVA
26 followed by the Tukey test to further analyze the interaction, showed on interval
27 1 [$F (3, 20) = 14.21$; $p<0.01$], that there was a difference among the groups and
28 Tukey's test showed that the MK-1.0 group had higher locomotion than all the
29 other groups ($p<0.05$). On interval 2 [$F (3, 20) = 45.10$; $p<0.01$], there was a
30 difference among the groups and Tukey's test showed that the MK-1.0 group had
31 higher locomotion than all the other groups ($p<0.05$). The results also showed

1 that the MK-0.1 and MK-0.025 groups had higher locomotion than the VEH group
2 ($p<0.05$), and there were no differences between the MK-0.1 and MK-0.025 group
3 ($p>0.05$). On interval 3 [$F (3, 20) = 12.20; p<0.01$] and interval 4 [$F (3, 20) = 8.53;$
4 $p<0.01$], the MK-1.0 group had higher locomotion than all the other groups
5 ($p<0.05$). On interval 5 [$F (3, 20) = 6.0; p<0.01$] and interval 6 [$F (3, 20) = 5.10;$
6 $p<0.01$], there was a difference among the groups and Tukey's test showed that
7 the MK-1.0 group had higher locomotion than the VEH and MK-0.025 groups
8 ($p<0.05$). There was no difference between the MK-1.0 and MK-0.1 groups
9 ($p>0.05$) and no difference among VEH, MK-0.1 and MK-0.25 groups ($p>0.05$).
10 For induction day 5 (Fig. 4E), a repeated two-way ANOVA showed an interaction
11 group X interval [$F (15, 100) = 3.32; p<0.01$], an effect of groups [$F (3, 20) =$
12 $27.10; p<0.01$] and an effect of intervals [$F (5, 100) = 13.20; p<0.01$]. A one-way
13 ANOVA followed by the Tukey test showed that on interval 1 [$F (3, 20) = 8.70;$
14 $p<0.01$], there was difference among the groups and Tukey's test showed that
15 the MK-1.0, MK-0.1 and MK-0.025 groups had higher locomotion than the VEH
16 group ($p<0.05$). There was no difference among the MK-1.0, MK-0.1 and MK-
17 0.025 groups ($p>0.05$). On interval 2 [$F (3, 20) = 15.73; p<0.01$], there was a
18 difference among the groups and Tukey's test showed that the MK-1.0 group had
19 higher locomotion than all the other groups ($p<0.05$). The results also showed
20 that MK-0.1 had higher locomotion than the VEH group ($p<0.05$). There was no
21 difference between MK-0.1 and MK-0.025 groups ($p>0.05$) and no difference
22 between MK-0.025 and VEH groups ($p>0.05$). On interval 3 [$F (3, 20) = 12.60;$
23 $p<0.01$], the MK-1.0 groups had higher locomotion than all the other groups
24 ($p<0.05$). On interval 4 [$F (3, 20) = 12.30; p<0.01$], the MK-1.0 group had higher
25 locomotion than the VEH and MK-0.025 groups ($p<0.05$). There was no
26 difference between the MK-1.0 and MK-0.1 groups ($p>0.05$). The results also
27 showed that the MK-0.1 group had higher locomotion than the VEH group
28 ($p<0.05$). There was no difference between the MK-0.1 and MK-0.025 groups
29 ($p>0.05$) and no difference between the VEH and MK-0.025 groups ($p>0.05$). On
30 interval 5 [$F (3, 20) = 4.83; p<0.01$] there was difference among the groups and
31 Tukey's test showed that the MK-1.0 group had higher locomotion than the VEH

1 group ($p<0.05$). There was no difference among the MK-1.0, MK-0.1 and MK-
2 0.025 groups ($p>0.05$) and no difference among VEH, MK-0.1 and MK-0.25
3 groups ($p>0.05$). On interval 6 [$F(3, 20) = 4.71; p<0.01$], the MK-1.0 group had
4 higher locomotion than the VEH and MK-0.025 groups ($p<0.05$). There was no
5 difference between the MK-1.0 and MK-0.1 groups ($p>0.05$) and no difference
6 among VEH, MK-0.1 and MK-0.025 groups ($p>0.05$).

7 Figures 5 A, B and C show the locomotor activity during the 30 min. conditioning
8 test in experiment 2. For the first 5 min. interval (Fig. 5A), a one-way ANOVA
9 showed that there was a difference among the groups [$F(3, 200) = 7.0; p<0.01$]
10 and the Tukey test showed that the MK groups, i. e., MK-0.025, MK-0.1 and MK-
11 1.0, had higher locomotion than the VEH group ($p<0.05$). For the 30 min. total
12 locomotion measurements (Fig. 5B), a one-way ANOVA showed that there was
13 a difference among the groups [$F(3, 20) = 6.0; p<0.01$] and the Tukey test
14 showed that the MK-1.0, MK-0.1 and MK-0.025 groups had higher locomotion
15 than the VEH group ($p<0.05$). There were no differences among the MK-801
16 groups ($p>0.05$). For the within session analysis, the 30 min total locomotion
17 evaluation was divided into 6 intervals of 5 min. each (Fig. 5C). A repeated two-
18 way ANOVA showed an interaction group X interval [$F(15, 100) = 3.0; p<0.01$],
19 an effect of groups [$F(3, 20) = 5.70; p<0.01$] and an effect of intervals [$F(5, 100)$
20 = 60.0; $p<0.01$]. A one-way ANOVA followed by Tukey test to further analyze the
21 interaction of groups X intervals showed that on interval 1 [$F(3, 20) = 7.0; p<0.01$]
22 and interval 2 [$F(3, 20) = 10.40; p<0.01$], the MK-1.0, MK-0.1 and MK-0.025
23 groups had higher locomotion than the VEH group ($p<0.05$). There was no
24 difference among the MK-1.0, MK-0.1 and MK-0.025 groups ($p>0.05$). On interval
25 3 [$F(3, 20) = 1.12; p>0.05$], interval 4 [$F(3, 20) = 0.24; p>0.05$] and interval 5 [F
26 ($3, 20) = 0.40; p>0.05$], there was no difference among the groups ($p>0.05$). On
27 interval 6 [$F(3, 20) = 3.44; p<0.01$], there was only a difference between the MK-
28 1.0 and MK-0.025 groups, i. e., the MK-0.025 group had higher locomotion than
29 the MK-1.0 group ($p<0.05$).

30 To assess the possibility of possible differential habituation changes between the
31 MK and VEH groups in experiment 2, Figures 6A and 6B present the changes in

1 locomotor activity during the habituation and the day 9 conditioning test. Figure
2 6A compares activity levels on habituation day 1 versus habituation day 3. A
3 repeated two-way ANOVA showed that there was only an effect of days of
4 treatment [$F(1, 20) = 65.0; p < 0.01$]. There was no effect of groups [$F(3, 20) =$
5 0.74; $p > 0.05$] and no interaction groups X days [$F(3, 20) = 0.52; p > 0.05$]. The
6 paired t-test showed that habituation day 1 had higher locomotion than
7 habituation day 3 [$t(23) = 8.32; p < 0.01$]. Thus, all groups showed comparable
8 habituation. Figure 6B compares habituation day 3 versus conditioning test
9 session 9. A repeated two-way ANOVA showed that there was a groups X days
10 interaction [$F(3, 20) = 3.14; p < 0.05$] and an effect of days of treatment [$F(1, 20)$
11 = 8.0; $p < 0.01$] but no effect of groups [$F(3, 20) = 2.40; p > 0.05$]. The one-way
12 ANOVA showed on habituation day 3 [$F(3, 20) = 1.30; p > 0.05$], that there was
13 no difference among the groups. However, for the conditioning test on session 9,
14 there was a difference among the groups [$F(3, 20) = 5.70; p < 0.01$] and the
15 Tukey's test showed that all MK-801 groups had higher locomotion than the VEH
16 group ($p < 0.05$). The paired t-tests showed that for the VEH group, the activity
17 level on during the conditioning test day was lower than for habituation day 3 [t
18 ($5) = 3.04; p < 0.05$], whereas for all MK-801 groups, there were no differences
19 ($p > 0.05$) between habituation day 3 and the ninth test session.

20 In that Fig. 6A and Fig. 6B suggest that the MK treatments prevented the
21 progression of habituation that occurred in the VEH group, Figure 7 presents the
22 locomotor activity for the 30 minute conditioning test including the 30 min day 3
23 habituation test for the VEH group. For the within analysis, the 30 min total
24 locomotion was divided into 6 intervals of 5 min. each. A repeated two-way
25 ANOVA showed an interaction group X interval [$F(20, 125) = 2.23; p < 0.01$], an
26 effect of groups [$F(4, 25) = 3.10; p < 0.05$] and an effect of intervals [$F(5, 125) =$
27 70.74; $p < 0.01$]. A one-way ANOVA followed by the Tukey test to further analyze
28 the interaction of groups X intervals, showed that on interval 1 [$F(4, 25) = 5.45;$
29 $p < 0.01$], the MK-1.0, MK-0.1 and MK-0.025 groups had higher locomotion than
30 the VEH group ($p < 0.05$). There was no difference among the VEH-HAB-DAY3,
31 MK-1.0, MK-0.1 and MK-0.025 groups ($p > 0.05$) On interval 2 [$F(4, 25) = 4.13;$

1 p<0.01], the MK-1.0, MK-0.1 and VEH-HAB-DAY3 groups had higher locomotion
2 than the VEH group (p<0.05). There was no difference among the VEH-HAB-
3 DAY3, MK-1.0, MK-0.1 and MK-0.025 groups (p>0.05). On interval 3 [F (4, 25) =
4 1.43; p>0.05], interval 4 [F (4, 25) = 0.20; p>0.05], interval 5 [F (4, 25) = 0.35;
5 p>0.05] and interval 6 [F (4, 25) = 2.50; p>0.05], there was no difference among
6 the groups (p>0.05).

7

8 Discussion

9

10 In line with previous reports (Carey *et al.* 1995; Lefevre *et al.* 2016; Wolf and
11 Khansa 1991), the present study found that MK-801 can induce locomotor
12 sensitization with repeated treatments. During the pharmacological treatment
13 phase, sensitization effects were dose related and largely manifested in the MK-
14 801 1.0 mg/kg group. While the 30 min session totals indicated a rather smooth
15 increase in locomotion with repeated treatments, the analysis of the locomotor
16 stimulant effects in successive five-minute intervals during the 30 min test session
17 indicated a more complicated progression. In fact, the initial treatment (day 1 of
18 the pharmacological treatment phase) only induced a modest stimulant effect and
19 only at the highest dose level (1.0 mg/kg) that was limited to the initial five minutes
20 of the post-injection period. By the second treatment however, potent locomotor
21 stimulant effects emerged and after only five minutes post-injection. These
22 stimulant effects expanded to later intervals with additional MK-801 treatments
23 but the peak remained during the 5-10 min interval. Essentially, the same pattern
24 of hyperlocomotion was observed in the second experiment. In addition to the
25 potent stimulant effects induces by the 1.0 MK-801 dose after 4 treatments, a
26 modest locomotor stimulation developed following the 0.1 mg/kg MK-801
27 treatments, but no locomotor stimulation occurred in the 0.025 MK-801 treatment
28 group. The behavioral responses to the different MK-801 doses, which ranged
29 from no difference from the vehicle injections (0.025 mg/kg MK-801), to the major
30 hyperlocomotion pattern with the 1.0 mg/kg MK-801 treatment dose, provided the

1 opportunity to investigate the capacity of MK-801 to induce a conditioned drug
2 response. In Pavlovian conditioning the conditioned response is an attenuated
3 replica of the unconditioned response. In that the 0.025 dose did not induce a
4 locomotor response, no conditioned stimulant response was expected while the
5 profound behavioral effect of the 1.0 mg/kg MK-801 dose would be expected to
6 induce some type of locomotor stimulant response. On the other hand, if the
7 NMDA antagonism interfered with the formation of an association, then no
8 conditioning would be expected in any of the MK-801 groups. Surprisingly in both
9 experiments, all MK groups expressed equivalent conditioned stimulant
10 responses.

11 In that the result obtained in the conditioning test does not make sense in terms
12 of a conditioned drug response, we looked for other possible explanations. While
13 all groups were given three habituation test sessions prior to the start of drug
14 treatments, there were an additional five days of testing for the vehicle groups.
15 This additional testing would have permitted an enhanced degree of habituation
16 for the vehicle groups. When we examined the three-pre-drug habituation
17 sessions we found that a strong habituation response occurred in all experimental
18 groups. Interestingly, when we compared activity levels in the day three
19 habituation session with the conditioning session, we found that the activity levels
20 in all MK-801 groups in the conditioning test were the same as their third
21 habituation session level whereas, the conditioning test activity level of the
22 vehicle group in the conditioning test was significantly less than the activity level
23 in the third habituation session. These findings indicated that the MK-801
24 treatments had interfered with the acquisition of additional habituation over the
25 course of the 5 MK-801 treatment sessions. It is important to note that the MK-
26 801 treatment did not reverse the habituation acquired between habituation day
27 1 and day 3, indicating that MK-801 did not interfere with previously acquired
28 habituation but rather that MK-801 interfered with the acquisition of new additional
29 habituation. One possible explanation for the efficacy of MK-801 in preventing the
30 acquisition of additional habituation is that the exposures to the test environment
31 with MK-801 were drug state dependent, so that the possible habituation acquired

under the MK-801 drug state did not transfer to the non-drug vehicle state. This explanation suggests that the drug state cues in the 0.025 MK-801 group were sufficient to induce a complete drug state dependent effect. Another possibility is suggested by the report (Dai and Carey 1994) that low doses of MK-801 (e.g. 0.03 mg/kg) block attention to external stimuli. In this study the low dose MK-801 treatments that did not affect activity levels prevented the spontaneous responding of rats to an object placed in an open-field. Whereas non-drug animals would stop and briefly interact with the object, the MK-801 treated rats which had similar contacts with the object, did not stop and interact with the object but rather seemingly ignored the object. In that the MK-801 treated rats' behavior at the location of the object was the same with or without the object being present suggested an inattention to the external environment. This could also be viewed as a general behavioral neglect of external stimuli. This characterization would fit with other reported effects of MK-801 (Baker and Azorlosa 1996), in which MK-801 blocked the effects of extinction exposures to conditioned stimuli. Also, consistent with an MK-801 induced neglect of external stimuli, it has been reported that MK-801 behavioral sensitization is context independent (Lefevre *et al.* 2016). In that MK-801 generates interoceptive drug stimuli (Grant *et al.* 1996; Koek *et al.* 1990), then the sensitization would be exclusively associated with the MK-801 drug stimuli. When MK-801 sensitization is viewed in this way, the external contextual stimuli paired with the MK-801 sensitization would be ignored and irrelevant. Consequently, the drug induced response would therefore only be paired with the drug stimuli. An implication of this analysis is that other drugs that generate drug stimuli that can substitute for MK-801 in drug discrimination experiments (Grant *et al.* 1996; Koek *et al.* 1990) seemingly could substitute for the MK-801 drug stimuli and evoke the behavioral sensitization response (Carey and Damianopoulos 1993). In addition, the conditioning results obtained in the present study, wherein the non-motoric 0.025 mg/kg MK-801 dose was as effective as the hyper-motoric 1.0 mg/kg dose in terms of the conditioned response, suggests that rats sensitized to the 1.0 MK-801 dose would also express this sensitization if tested with the 0.025 dose. This would suggest that

1 MK-801 sensitization is associated with MK-801 drug stimuli is a testable
2 possibility.

3 In conclusion, the behavioral properties of MK-801, such as drug stimuli and MK-
4 801 induced inattention to external stimuli, provide potential explanations for
5 important effects of MK-801 that do not require invoking the NMDA receptor in
6 the mediation of memory processes (Chan *et al.* 2019; Jackson *et al.* 1992). The
7 reports showing that combined MK-801 dopaminergic drug treatments prevent
8 the expression of context sensitization to the dopaminergic drugs is readily
9 explicable by the removal of MK-801 drug stimuli in tests with the dopaminergic
10 drug. In fact, there have been several reports in which drugs with stimuli different
11 from dopaminergic drugs that are paired with dopaminergic drug treatments can
12 acquire control over the behavioral expression of the dopaminergic drug
13 sensitization effects so that the dopaminergic drug sensitization effects only occur
14 when the non-dopaminergic drug stimuli are present (Carey *et al.* 1999; Carey *et*
15 *al.* 2005). While the possible linkage of the NMDA receptor with memory
16 processes has had heuristic value in initiating behavioral pharmacology
17 investigations with drugs such as MK-801, the behavioral properties of drugs
18 such as interoceptive drug stimuli, remain of fundamental importance in the
19 elucidation of the behavioral drug effects.

20

21 Figure Captions

22

23 Fig. 1: The Means and SEMs for distance scores (M) during the five 30 minute
24 pharmacological treatment sessions in which VEH or MK-801 (0.025, 0.1 and 1.0
25 mg/kg) were administered immediately before testing sessions. ** denotes
26 difference for all groups, *denotes difference from the VEH group. + denotes
27 difference from VEH group ($p < 0.05$; repeated two-way ANOVA followed by the
28 Tukey test).

29

1 Fig. 2: The Means and SEMs for distance scores during the 5 minute conditioning
2 test sessions in which all groups received VEH before testing. ** denotes
3 difference from the VEH group ($p<0.05$; one-way ANOVA followed by the Tukey
4 test).

5

6 Fig. 3: The Means and SEMs for distance scores (M) during the five 30 minute
7 pharmacological treatment sessions in which VEH or MK-801 (0.025, 0.1 and 1.0
8 mg/kg) were administered immediately before testing sessions. ** denotes
9 difference for all groups, *denotes difference from the VEH group. ++ denotes
10 difference for all groups, except the MK-1.0 group. + denotes difference from the
11 VEH group ($p<0.05$; repeated two-way ANOVA followed by the Tukey test).

12

13 Fig. 4: The Means and SEMs for distance scores (M) during the within session
14 locomotor activity during the 5 pharmacological treatment days (A-E) in
15 experiment 2. The 30 min. total activity score was divided into 6 intervals of 5 min
16 each. ** denotes difference for all groups, *denotes difference from the VEH
17 group. ++ denotes difference for all groups, except the MK-1.0 group. + denotes
18 difference from the VEH group ($p<0.05$; repeated two-way ANOVA followed by
19 the Tukey test).

20

21 Fig. 5: The Means and SEMs for distance scores during the 30 minute
22 conditioning test session. A. Distance scores during the first 5 min. interval.

23 B. Distance scores during the 30 min. total session.

24 C. Within group comparisons in which the 30 min. total activity score was divided
25 into 6 intervals of 5 min. each.

26 *denotes difference from the VEH group. + denotes the MK-0.025 group had
27 higher locomotion than the MK-1.0 group ($p<0.05$; repeated two-way ANOVA
28 followed by the Tukey test).

1 Fig. 6: The Means and SEMs for distance scores for changes in locomotor activity
2 during habituation and the conditioning test (day 9).

3 A. Comparison between habituation day 1 versus habituation day 3.

4 B. Comparison between habituation day 3 versus the conditioning test session
5 9.

6 *denotes difference from the VEH group. # denotes difference between the days
7 (p<0.05; repeated two-way ANOVA followed by the Tukey test or the paired t-
8 test).

9

10 Fig. 7: The Means and SEMs for distance scores for locomotor activity in the 30
11 minute conditioning test including the 30 minute habituation day 3 of the VEH
12 group. For the within analysis, the 30 min. total locomotion was divided into 6
13 intervals of the 5 min. each. ** denotes difference for all groups. *denotes
14 difference from VEH group (p<0.05; repeated two-way ANOVA followed by the
15 Tukey test).

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16 TABLE. 1

17

Table 1: Experimental procedure

A: Timeline.

Days	1	2	3	4	5	6	7	8	9	10	11
	Habituation			Pharmacological Treatments				Withdrawal Period		CT	

B: Treatment protocols.

Groups	Pharmacological Treatment	Conditioning Test (CT)
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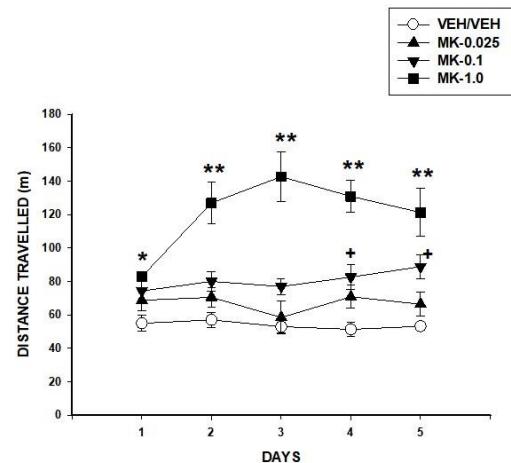
Experiment 1

VEH (n=5) MK-0.025 (n=5) MK-0.1 (n=5) MK-1.0 (n=5)	30 min. arena	5 min. arena
	VEH	VEH
	MK-0.025	VEH
	MK-0.1	VEH

Experiment 2

VEH (n=7) MK-0.025 (n=6) MK-0.1 (n=6) MK-1.0 (n=6)	30 min. arena	30 min. arena
	VEH	VEH
	MK-0.025	VEH
	MK-0.1	VEH

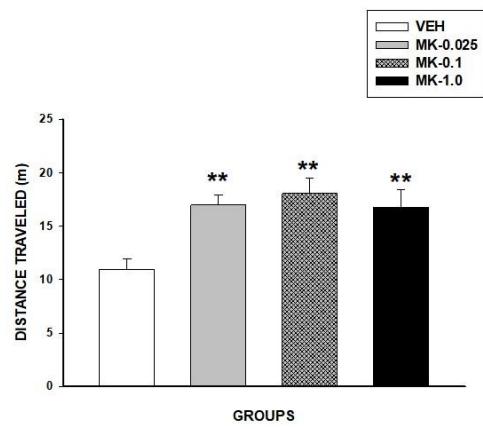
18 CT=Conditioning Test; VEH=vehicle; MK=MK-801.

1 **FIG. 1****EXPERIMENT 1: PHARMACOLOGICAL TREATMENT PHASE**

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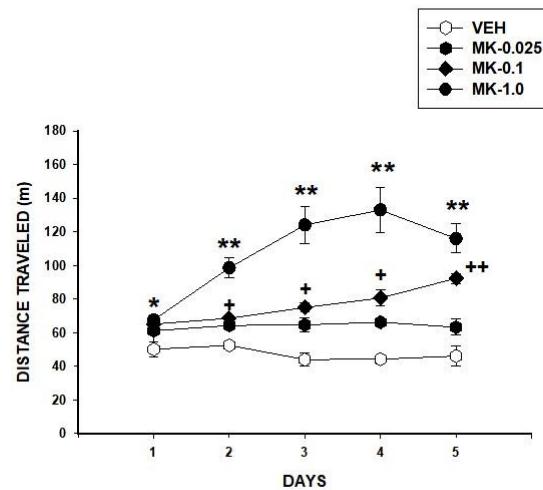
5 **FIG. 2****EXPERIMENT 1: CONDITIONING TEST**

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1 FIG. 3

EXPERIMENT 2: PHARMACOLOGICAL TREATMENT PHASE



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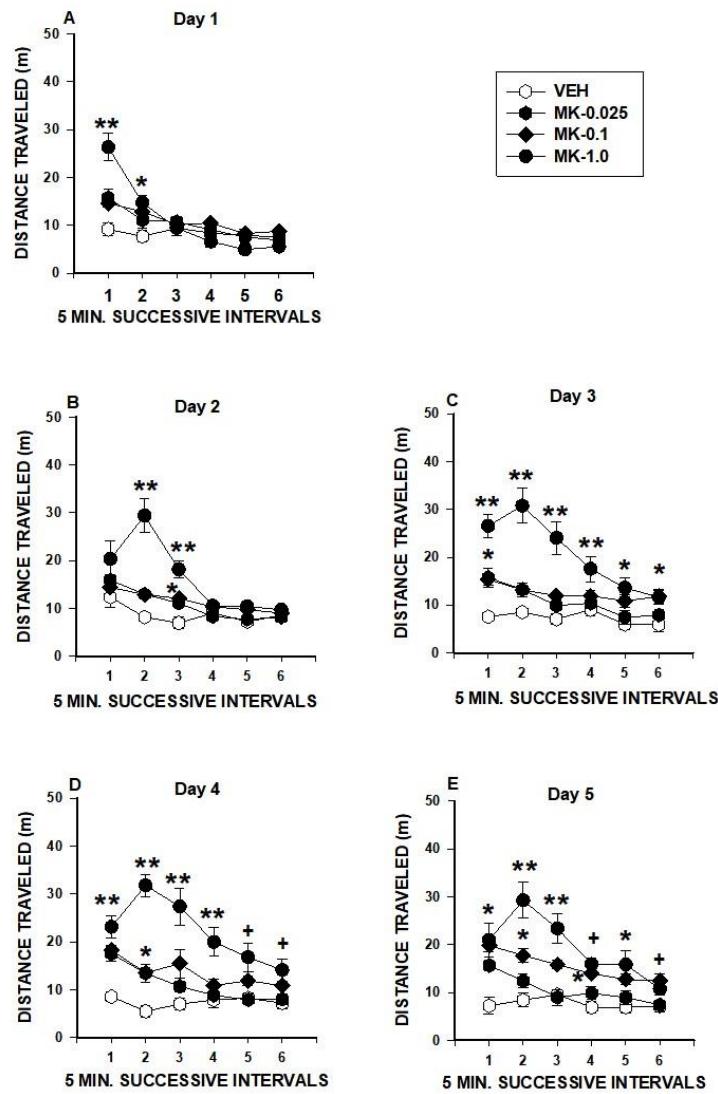
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1 FIG. 4

EXPERIMENT 2: PHARMACOLOGICAL TREATMENT PHASE

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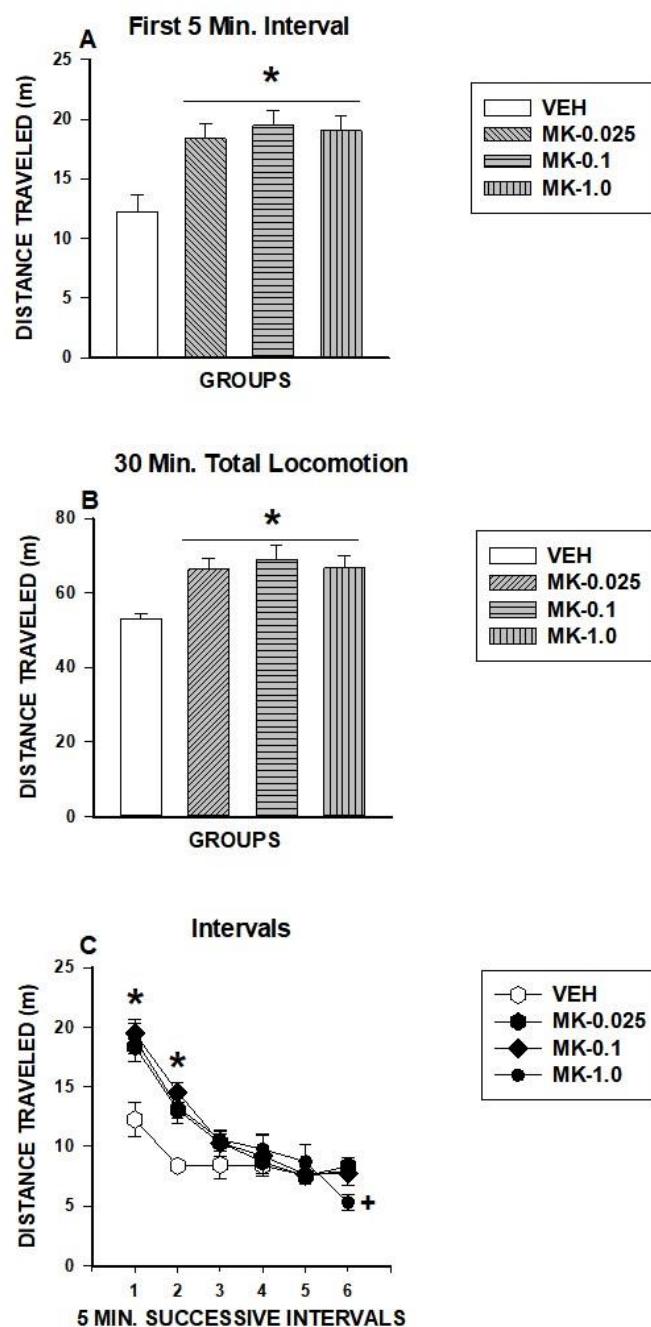
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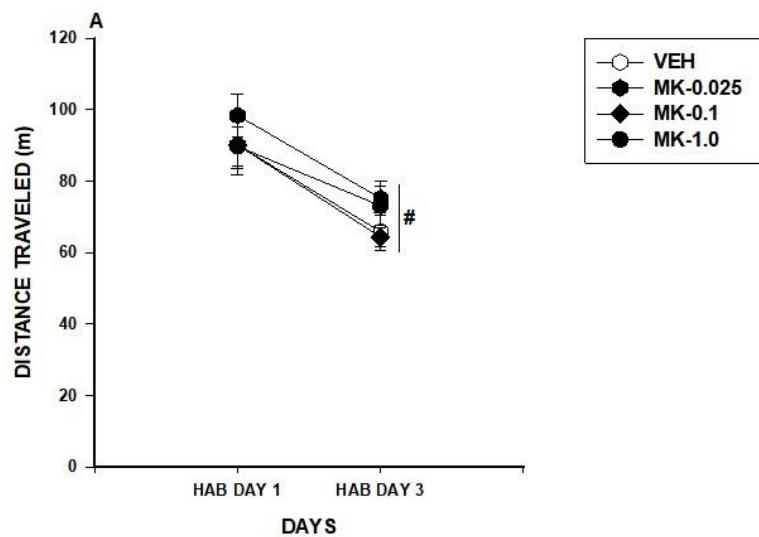
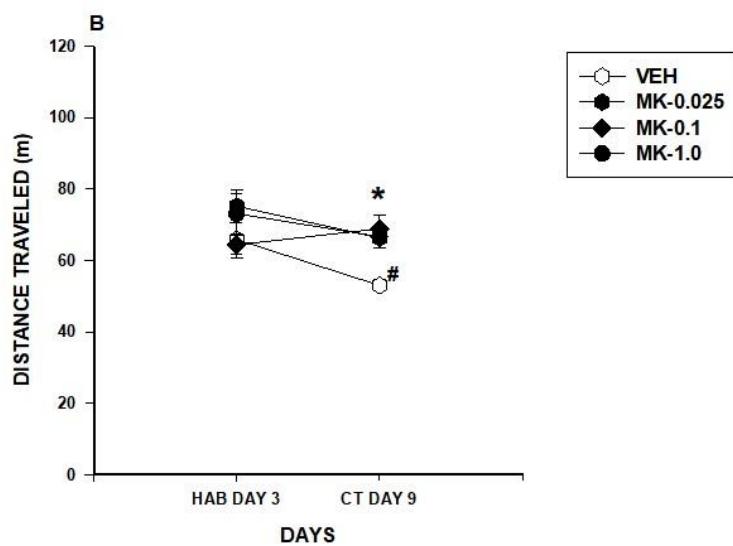
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1 FIG. 5

EXPERIMENT 2: CONDITIONING TEST

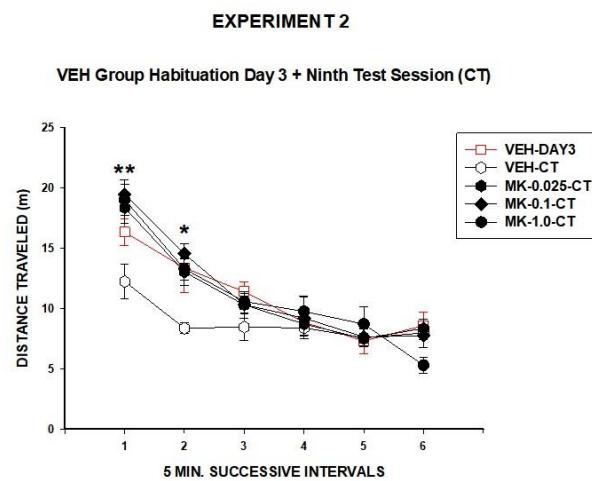
1 FIG. 6

EXPERIMENT 2**Habituation Day 1 - Habituation Day 3****Habituation Day 3 - Ninth Test Session (CT)**

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1 FIG. 7



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1 **6 - DISCUSSÃO GERAL**

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3 Curiosamente, com apenas uma exposição ao ambiente experimental, a
4 resposta comportamental de todos os animais do atual trabalho, incluindo os
5 grupos dos dois conjuntos experimentais foi semelhante. Em estudos anteriores
6 (SANTOS e colaboradores 2015) e (LEITE JUNIOR e colaboradores 2019) foram
7 mostrados os mesmos padrões de resposta.

8 A primeira etapa de cada um dos conjuntos experimentais, a habituação,
9 foi eficaz em reduzir a locomoção de todos os animais, deixando-os habituados
10 à arena experimental, pois o efeito da novidade causa um acréscimo nos níveis
11 circulantes de dopamina, gerando hiperatividade e aumento da locomoção
12 (COSTA *et al.*, 2014). A morfina e apomorfina são duas drogas com capacidade
13 de alterar os níveis dopaminérgicos, nesse caso, a influência de uma novidade
14 pode confundir os resultados (CERBONE e SADILE, 1994).

15 A morfina se mostrou uma droga boa para o estudo da dependência
16 química, os grupos tratados por 5 dias com morfina 10 mg/kg, tiveram um
17 aumento da locomoção e sensibilização comportamental, como mostrado nas
18 figuras 2, 3, 6 e 7 do conjunto experimental 1. Nos testes com apenas uma dose
19 de morfina (figura 9), não houve aumento da locomoção quando comparado aos
20 resultados do grupo veículo, mas houve hipolocomoção tanto nos animais do
21 grupo tratados com Apomorfina e veículo, quanto nos grupos tratados com
22 apomorfina e morfina, os mesmos resultados foram descritos por Leite Junior e
23 colaboradores 2019 e Crespo e colaboradores 2022.

24 Após a avaliação do condicionamento e da sensibilização, figura 2 e 3 do
25 primeiro conjunto, os grupos pré-tratados com apomorfina tiveram o bloqueio dos
26 efeitos comportamentais comuns no tratamento com morfina, quando o
27 tratamento foi feito antes da injeção de morfina, da mesma forma essa supressão
28 foi descrita por Santos e colaboradores 2015; Sanguedo e colaboradores 2015
29 e 2017 e De Mello e colaboradores 2020. No teste final (figura 7), os tratamentos

1 com apenas uma dose de apomorfina foram eficazes em evitar o aparecimento
2 dos efeitos do condicionamento previamente registrados com morfina.

3 Algumas estruturas encefálicas foram usadas nesse trabalho para avaliar
4 posteriormente o condicionamento e sensibilização, sendo que as regiões do
5 NAc e do VTA mostraram esses detalhes, descrito por Kobrin e colaboradores
6 2017. A morfina foi capaz de promover uma maior ativação da resposta ERK 1/2
7 no VTA e NAc. Conforme os dados expostos nas figuras 4, 5, 8 e 10 do conjunto
8 experimental 1 nos mostram que, houve maior ativação de ERK nos grupos
9 experimentais que receberam morfina na fase de indução (figura 4 e 5), mesmo
10 naqueles grupos que receberam veículo no teste final, essa observação nos
11 mostra que o condicionamento gerado pela morfina é duradouro e pode ocorrer
12 na ausência da droga, na forma de hiperlocomoção que reflete numa maior
13 ativação da ERK (DIAS *et al.*, 2021). A ativação da ERK foi bloqueada nos
14 animais que receberam pré-tratamentos com apomorfina, sendo essa
15 quantificação similar à encontrada no grupo veículo. No processo do uso de
16 drogas e dependência ocorre uma maior ativação da via mesocorticolímbica, que
17 se traduz em maior plasticidade em regiões responsáveis pelo prazer como o
18 NAc (VOLLSTÄDT-KLEIN *et al.*, 2011; KANTAK e c DHONNCHADHA *et al.*,
19 2011). Dessa forma o paciente tem dificuldade em ter sucesso no tratamento pra
20 inibir os estímulos de saliência deixados pelo uso de psicoativos, como por
21 exemplo os opioides (THOMPSON *et al.*, 2020). Quando foi usado um protocolo
22 experimental com apenas uma injeção de morfina (figuras 9 e 10 - experimento
23 3), não foi possível observar aumento da locomoção, mas houve maior ativação
24 de ERK. Curiosamente pode ser que ocorra um aumento da plasticidade
25 neuronal, que antecede o aumento da locomoção, ou seja, o reflexo ao uso de
26 drogas não ocorre de forma aguda no comportamento, mas ocorre mudanças
27 em regiões encefálicas como o VTA e NAc, similar ao observado por Bender e
28 Torregrossa, 2021 e Baimel e colaboradores 2019.

29 Aqui, os dados mostram que o MK-801 induz a sensibilização locomotora
30 após tratamentos repetidos, o que corrobora com os resultados encontrados
31 (WOLF E KHANSA 1991; CAREY *et al.*, 1995 e LEFEVRE *et al.*, 2016). Durante

1 o tratamento farmacológico, fase de indução mostrada nos gráficos das figuras
2 1 e 4, os efeitos de sensibilização foram relacionados à dose alta e amplamente
3 manifestados no grupo MK-801 1,0 mg/kg, como vistos em (TANG *et al.*, 2006).
4 Antagonistas NMDA como exemplo o MK-801, podem emular os sintomas
5 psicóticos da Esquizofrenia, como a hiper locomoção, que também são comuns
6 ao dependente químico (SNYDER e GAO, 2013). Quanto aos diferentes
7 tratamentos com MK-801, houve diferença na locomoção dos animais que
8 receberam as doses altas (1.0 mg/kg) e os animais tratados com as doses
9 médias (0,1 mg/kg). A sensibilização locomotora nos animais tratados com 1.0
10 mg/kg ocorreu logo ao 3º dia, de tratamento de indução, e continuou sendo
11 observada até o 5º dia, por outro lado os animais do grupo 0,1 mg/kg mostraram
12 sensibilização locomotora mais demorada, essa só ocorreu no quinto dia de
13 experimentação.

14 O fenômeno da sensibilização é muito importante para que um indivíduo
15 se torne um dependente químico, essa sensibilização foi encontrada também por
16 Cui e colaboradores 2014, com o uso de altas doses de MK-801 (0,5 mg/kg).
17 Entretanto os animais que receberam MK-801 em baixa dose (0,025 mg/kg), não
18 houve diferença na locomoção se comparados ao grupo veículo, essa ausência
19 de efeito locomotor também foi observada recentemente por Chen e
20 colaboradores 2022.

21 Um estudo Imunoistoquímico das estruturas encefálicas como o HIPO,
22 VTA, NAc, CPF e AM, relacionadas à dependência assim como foi feito com o
23 uso de apomorfina e morfina, se faz necessário para que os dados quanto ao
24 uso do MK-801 sejam melhor compreendidos.

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1 **7 - CONCLUSÃO**

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3 Os tratamentos com morfina 10 mg/kg, de forma associada ao contexto
4 ambiental, com uma duração de 5 dias, produziram tanto o condicionamento
5 quanto a sensibilização comportamental;

6 A morfina 10 mg/kg produz uma memória associativa, desenvolvida pela
7 associação do contexto ambiental como os efeitos da droga e também maior
8 ativação da ERK no VTA e Nac;

9 Os pré-tratamentos com apomorfina eliminaram tanto os efeitos
10 comportamentais da morfina no condicionamento e sensibilização, quanto a
11 ativação da morfina da resposta ERK no VTA e NAc;

12 Os tratamentos pré-arena com MK-801 na dose de 1.0 mg/kg, produziram
13 tanto sensibilização quanto condicionamento ambiental;

14 Para os efeitos da morfina, uma maior atividade do sistema
15 dopaminérgico é dependente dos efeitos desinibidores do GABA;

16 Os resultados dos tratamentos com apomorfina fornecem suporte
17 substancial para a importância o nível de atividade dos neurônios
18 dopaminérgicos para a eficácia da desinibição da morfina nos sistemas de
19 recompensa da dopamina na mediação da sensibilização comportamental e dos
20 efeitos condicionados;

21 Portanto, para uma melhor compreensão dos dados relacionados ao MK-
22 801, se faz necessário um estudo Imunoistoquímico da ERK nas estruturas
23 encefálicas como o HIPO, VTA, NAc, CPF e AM, que estão relacionadas à
24 dependência, assim como foi feito com o uso de apomorfina e morfina. Este
25 questionamento é de grande interesse para investigações em experimentos
26 futuros.

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